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## CMT Association CMT Level 1 Exam Sample Questions (Q194-Q199):

### NEW QUESTION # 194

Open interest in a futures contract represents:

- A. The number of outstanding contracts on any given day
- B. The number of contracts traded in a day
- C. The sum of total volume and open interest
- D. The number of outstanding contracts on the first day of the month

**Answer: A**

Explanation:

Detailed Explanation:

Open Interest is the total number of futures contracts that have been entered into and not yet closed out (offset or delivered). It represents the number of outstanding contracts.

### NEW QUESTION # 195

Manuel Luscher, CMT, is meeting with a potential client. Luscher tells the potential client that "My biggest client, Tom Cardazo, is a good friend of yours and has been very happy with his compound annual return of over 10%." Luscher also tells the potential client that "I will make sure that your transactions are completed before trading for my family's accounts." With respect to the MTA Code of Ethics, Luscher's actions are:

- A. In violation of the Code with respect to both disclosing client information and the priority of transactions
- B. In violation of the Code with respect to the priority of transactions, but not with respect to disclosing client information
- C. Not in violation of the Code
- D. In violation of the Code with respect to disclosing client information, but not with respect to the priority of transactions

**Answer: D**

Explanation:

Detailed Explanation:

\* Client Info: Luscher violated confidentiality by revealing the name of a client (Tom Cardazo) and his returns without permission.

\* Priority: Stating that client transactions come before family accounts is actually consistent with the Code (Priority of Transactions). Clients come first. Therefore, he is only in violation regarding the client information disclosure.

### NEW QUESTION # 196

The resistance level of a price trend refers to:

- A. The boundaries of the trading range of the trend
- B. The level under the market where buying interest is sufficiently strong to overcome selling pressure
- C. The target price indicated by the count
- D. The level over the market where selling pressure is sufficiently strong to overcome buying pressure and a price advance is expected to be turned back

**Answer: D**

Explanation:

Detailed Explanation:

Resistance is defined as a price level above the current market price where selling interest is strong enough to overcome buying pressure, causing the price advance to halt or reverse. Option A describes support.

### NEW QUESTION # 197

Which of the following would NOT be considered a support level?

- A. Trading range
- B. Previous high
- C. Previous low

- D. All the above are considered to be support levels

**Answer: D**

Explanation:

Detailed Explanation:

All the options listed serve as support. A previous low is natural support. A trading range acts as a support zone. A previous high, once broken to the upside, changes its polarity and becomes a support level for future corrections (Polarity Principle).

#### NEW QUESTION # 198

Which of the following is NOT a momentum indicator?

- A. Difference between two moving averages
- B. Trident index
- C. Relative strength index
- D. Difference between price and moving average

**Answer: B**

Explanation:

Detailed Explanation:

RSI, MACD (Difference between MAs), and Price-Oscillators (Price minus MA) are all classic momentum indicators. The Trident Index is generally associated with distinct wave analysis or specialized systems, not standard momentum classification in the CMT curriculum context (Note: Trident is often considered a counter-trend or reversal tool, not pure momentum)19191919.

#### NEW QUESTION # 199

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