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## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q90-Q95):

### NEW QUESTION # 90

Scenario:

A global organization wants to align with international frameworks on AI governance. They are reviewing guidance from the OECD on how to incorporate broader governance tools into their AI program.

Codes of conduct and collective agreements are what type of assessment tools as defined by the Organization for Economic Cooperation and Development (OECD)?

- A. Educational
- **B. Procedural**
- C. Technical
- D. Analytic

**Answer: B**

Explanation:

The correct answer is B - Procedural. The OECD Framework for Classifying AI Systems categorizes codes of conduct and collective agreements as procedural tools because they guide internal governance and decision-making processes.

From the AIGP ILT Participant Guide - Global Governance Models:

"Procedural tools include internal codes of conduct, collective agreements, and procedural audits that guide governance without necessarily involving technical measurement." AI Governance in Practice Report 2025 elaborates:

"These procedural tools support internal accountability mechanisms and ethics compliance frameworks... they are part of soft governance."

These tools do not measure or analyze technical performance, hence they are not technical or analytic.

**NEW QUESTION # 91**

Scenario:

An organization is building a compliance program to ensure responsible AI deployment. It aims to align operations with AI risk frameworks and mitigate legal, ethical, and operational risks, while still promoting innovation.

Which of the following would be the least likely step for an organization to take when designing an integrated compliance strategy for responsible AI?

- A. Meeting with and obtaining approval from senior management
- **B. Employing a new software platform to modernize existing compliance processes across the organization**
- C. Consulting experts to consider the ethical principles underpinning the use of AI within the organization
- D. Launching a survey to understand the concerns and interests of potentially impacted stakeholders

**Answer: B**

Explanation:

The correct answer is D. While modernization through software may support efficiency, it is not a foundational or essential component of designing an integrated strategy.

From the AI Governance in Practice Report 2024:

"Integrated strategies rely on senior management support, ethical reviews, and stakeholder engagement... The use of tools and platforms may come later as an operational enhancement." Also confirmed in AIGP Body of Knowledge:

"Key components of a governance framework include leadership buy-in, ethical analysis, and stakeholder input. Tools are supporting elements-not strategic drivers."

**NEW QUESTION # 92**

A US-based mortgage lender has purchased a chatbot. They plan to have the chatbot collect information from consumers who are interested in loans and offer the consumers 2-3 different options based on its current pricing and product offerings, which change frequently. This chatbot was initially developed and previously deployed by a Russian airline for booking flights.

The best option for the part of the process that generates the loan offers is?

- **A. Expert System**
- B. Quantum computing
- C. Retrieval-Augmented Generation
- D. Multimodal Generative AI.

**Answer: A**

Explanation:

Offering loan products based on current offerings and rules requires a system that can follow explicit business logic, not generate open-ended content. An expert system, which is a rules-based AI that uses "if-then" logic, is ideal here.

From the AI governance context:

"Rule-based AI systems are often preferred when decisions must adhere to precise regulatory or financial criteria." (aligned with AI best practices in regulated sectors)

- \* A. RAGis used to integrate external knowledge-not suitable for structured, rule-based logic.
- \* B. Multimodal modelshandle varied input types-not needed here.
- \* D. Quantum computingis not yet practical or relevant for this business use case.

### NEW QUESTION # 93

Which of the following may be permissible uses of an AI system under the EU AI Act EXCEPT?

- A. Using biometrics in abduction cases
- B. Detecting emotions in a telemedicine session
- C. Improving the response time for emergency services
- D. Detecting emotions in a workplace for employee morale

#### Answer: D

Explanation:

The correct answer is D. Emotion recognition in the workplace is flagged as unacceptable or highly restricted under the EU AI Act due to its intrusive nature and potential for misuse.

From the AIGP ILT Guide - EU AI Act Training Module:

"AI systems that monitor individuals' emotions in the workplace or educational settings are listed among prohibited or strictly limited practices under Article 5." AI Governance in Practice Report 2025 supports this interpretation:

"Emotion recognition systems, especially in sensitive contexts such as employment or education, raise significant concerns under EU fundamental rights law and are likely to be restricted." Other uses listed-such as emergency response or emotion detection in healthcare-may fall under lawful and beneficial uses, especially when justified by public interest.

### NEW QUESTION # 94

An EU bank intends to launch a multi-modal AI platform for customer engagement and automated decision-making assist with the opening of bank accounts. The platform has been subject to thorough risk assessments and testing, where it proves to be effective in not discriminating against any individual on the basis of a protected class.

What additional obligations must the bank fulfill prior to deployment?

- A. The bank must disclose the use of the AI system and implement suitable measures for users to contest automated decision-making
- B. The bank must obtain explicit consent from users under the privacy Directive.
- C. The bank must disclose how the AI system works under the EU Digital Services Act.
- D. The bank must subject the AI system an adequacy decision and publish its appropriate safeguards.

#### Answer: A

Explanation:

Under the EU regulations, particularly the GDPR, banks using AI for decision-making must inform users about the use of AI and provide mechanisms for users to contest decisions. This is part of ensuring transparency and accountability in automated processing. Explicit consent under the privacy directive (A) and disclosing under the Digital Services Act (B) are not specifically required in this context. An adequacy decision is related to data transfers outside the EU (C).

### NEW QUESTION # 95

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