

# PECB ISO-45001-Lead-Auditor Latest Test Vce | ISO-45001-Lead-Auditor Answers Free



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## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.</li></ul>

Topic 2	<ul style="list-style-type: none"> <li>• Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>• Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>• ISO 45001 requirements for an OH&amp;S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.</li> </ul>

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## **PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q85-Q90):**

### **NEW QUESTION # 85**

An audit team leader arrives at a fabrication organisation that manufactures stairways to ..certification audit.

At a meeting with the OHSMS Manager the audit finds that the responsibility for worker consultation and participation lies with the managerial team. Select from the options four actions by the managerial team that encourage worker consultation and participation.

- **A. Appointing worker ' s representatives**
- B. Ignoring worker ' s suggestions
- **C. Implementing methods tor engaging workers**
- D. threatening reprisals to whistleblowers
- E. Promoting collaborating workers to higher positions
- **F. Giving ownership of health and safety matters**
- G. Implementing a payment scheme to reward worker ' s OHS performance
- **H. Conducting toolbox talks on health and safety**

**Answer: A,C,F,H**

Explanation:

Clause 5.4 of ISO 45001:2018 emphasizes the importance of worker consultation and participation in the OHS management system. It requires organizations to establish processes that ensure workers are actively involved in decision-making and implementation of OHS practices.

Analysis of Options:

\* A. Promoting collaborating workers to higher positions: While promotions may boost morale, they do not directly contribute to worker consultation and participation in the OHSMS.

\* B. Appointing worker representatives: This action fosters direct communication and representation of workers ' interests in health and safety matters.

\* C. Conducting toolbox talks on health and safety: Toolbox talks are an effective way to engage workers in discussing and addressing health and safety issues.

\* D. Giving ownership of health and safety matters: Involving workers in decision-making and giving them responsibility for specific health and safety tasks encourages participation.

- \* E. Ignoring workers' suggestions: This undermines consultation and is contrary to ISO 45001 principles.
  - \* F. Implementing a payment scheme to reward workers' OHS performance: While incentives can motivate safe behavior, they are not a consultation or participation mechanism as required by Clause 5.4.
  - \* G. Implementing methods for engaging workers: This aligns directly with Clause 5.4, as effective engagement methods (e.g., surveys, focus groups) enhance participation.
  - \* H. Threatening reprisals to whistleblowers: This violates ISO 45001 principles and discourages worker participation.
- ISO References:
- \* Clause 5.4: Worker consultation and participation.
  - \* Clause 5.1: Leadership's responsibility to foster participation.

#### NEW QUESTION # 86

Which one option defines what is to be achieved by an audit?

- A. The scope of the audit"
- B. An audit criterion
- C. The objective of the audit
- D. A finding of the audit

**Answer: C**

#### NEW QUESTION # 87

A trainee audit team leader is being coached by an experienced audit team leader prior to carrying out his first Stage 2 certification audit in the team leader role. They are discussing the typical contents of audit reports and the experienced audit team leader is keen to ensure the trainee understands what such reports should contain.

Which three of the trainee's responses are incorrect?

- A. Contact details for all members of the audit team
- B. Audit findings and any related evidence
- C. A copy of the certification body invoice for the audit
- D. Confirmation of the audit scope
- E. A corrective action plan that add-cross the identified nonconformities
- F. The audit conclusions reached
- G. A reference to the audit criteria used
- H. A statement of the audit objectives

**Answer: A,C,E**

Explanation:

Audit reports should provide a clear summary of the audit process, findings, and conclusions based on the defined scope, objectives, and criteria. They do not include administrative details (e.g., invoices) or operational aspects (e.g., corrective action plans).

Analysis of Options:

- \* A. A copy of the certification body invoice for the audit: Incorrect. Invoices are unrelated to the content of audit reports and are handled separately.
- \* B. A reference to the audit criteria used: Correct. Audit criteria (e.g., ISO 45001 standards) must be included in the report to define the basis for the audit.
- \* C. A statement of the audit objectives: Correct. The report must outline the objectives to ensure clarity on the purpose of the audit.
- \* D. Audit findings and any related evidence: Correct. Findings and evidence are essential to support conclusions and recommendations.
- \* E. Confirmation of the audit scope: Correct. The scope defines the boundaries of the audit and must be documented in the report.
- \* F. Contact details for all members of the audit team: Incorrect. Personal contact details are unnecessary and not typically included in reports.
- \* G. The audit conclusions reached: Correct. Conclusions summarize the outcomes of the audit, such as conformity, nonconformities, or recommendations.
- \* H. A corrective action plan that addresses the identified nonconformities: Incorrect. Corrective action plans are the auditee's responsibility and not included in the audit report.

ISO References:

- \* ISO 19011:2018, Clause 6.7.3: Content of audit reports.

### NEW QUESTION # 88

In the initial communication by the audit team leader for a certification audit, the OHSMS Manager asks that the audit includes detailed coverage of the OHSMS legislation of the local authority, which has been specified in a recent customer contract. Which two of the following responses should the audit team leader make?

- A. Advise the OHSMS Manager that the new criteria can be included without any problem.
- B. Inform the OHSMS Manager that an audit against the regulatory criteria may be possible but will require a new audit team.
- C. Advise the OHSMS Manager that the audit criteria has been set as ISO 45001 and the audit programme for certification will need to proceed as planned.
- D. Add a legal expert to the audit team in order to accept the request.
- E. Suggest that the OHSMS Manager cancels the audit contract and reapplies to include the additional criteria.
- F. Ask the individual(s) managing the programme whether the audit criteria can be revised to include the new request.

Answer: C,F

### NEW QUESTION # 89

ISO 45001 requires the organisation to maintain and retain documented OH&SMS information. Check each statement and determine which two are true:

- A. Industry-specific standards provided by the client should be maintained.
- B. The terms "maintain" and "retain" can be used interchangeably."
- C. The results of emergency drills should be kept.
- D. Documented information to be retained includes material safety data sheets.
- E. The occupational health and safety policy is an example of a document to be kept.
- F. A documented plan for achieving occupational health and safety objectives should be maintained.

Answer: E,F

### NEW QUESTION # 90

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