

# CSC2 Latest Questions & CSC2 Free Updates



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## CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> <li>Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.</li> </ul>
Topic 2	<ul style="list-style-type: none"> <li>Portfolio Analysis: This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.</li> </ul>

Topic 5	<ul style="list-style-type: none"> <li>• Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.</li> </ul>
Topic 6	<ul style="list-style-type: none"> <li>• The Canadian Investment Marketplace: This section of the exam measures the skills of a Securities Industry Professional and covers the structure and operation of Canada's investment marketplace. It includes the roles of investment dealers and financial intermediaries, capital market functions, financial instruments, and the complete Canadian regulatory environment with its regulatory bodies, principles of regulation, client remediation options, and ethical standards for financial services professionals.</li> </ul>
Topic 7	<ul style="list-style-type: none"> <li>• The Economy: This section of the exam measures the skills of an Economic Analyst and covers fundamental economic concepts including microeconomics and macroeconomics, economic growth measurement, business cycles, labor markets, interest rates, inflation, international trade, and both fiscal and monetary policy with emphasis on the Bank of Canada's role and government policy challenges.</li> </ul>

>> CSC2 Latest Questions <<

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### CSI Canadian Securities Course Exam2 Sample Questions (Q141-Q146):

#### NEW QUESTION # 141

An investment analyst at a bank is reviewing the high, low and closing prices of a financial services stock. What type of analysis is this analyst conducting?

- A. Chart analysis
- B. Quantitative analysis
- C. Moving average analysis
- D. Cycle analysis

**Answer: A**

#### NEW QUESTION # 142

What is most likely true of a portfolio that is managed from a value basis?

- A. The portfolio will realize higher dividend yields than a growth equity portfolio
- B. Portfolio turnover is high, so investors can expect to incur frequent capital gains
- C. Stock selections tend to have a higher beta than those chosen by a growth manager
- D. This portfolio style tends to perform best in up markets, with minimal gains in down markets

**Answer: A**

#### NEW QUESTION # 143

Who manages trades for institutional clients at a dealer firm?

- A. Investment banker
- B. Liability trader
- C. Market maker
- D. Agency trader

**Answer: D**

**NEW QUESTION # 144**

What is the main advantage ETFs have over mutual funds?

- A. Flexible dividend reinvestment
- **B. Improved tax efficiency**
- C. Active management
- D. Ability to set up pre-authorized contributions

**Answer: B**

**NEW QUESTION # 145**

When considering management accounts, what is most accurate regarding model-based account management?

- **A. It requires client permission before executing trades.**
- B. It permits tax loss selling.
- C. It requires solicitation.
- D. It is only intended for short-term use.

**Answer: A**

Explanation:

Model-based account management refers to discretionary accounts where advisors execute trades following a predefined model portfolio. Client consent is crucial as advisors must adhere to fiduciary responsibilities and ethical standards. This consent is typically obtained through agreements and clear disclosure documents when opening such accounts. The necessity for client approval ensures alignment with the investor's risk tolerance and financial objectives.

Tax loss selling and solicitation are unrelated to the operational mechanics of model-based accounts, while the emphasis on short-term use contradicts the long-term nature of these accounts.

\* References:

\* CSC Volume 2, Chapter 25: Fee-Based Accounts - Documentation for Managed Accounts.

\* CSC Volume 2, Chapter 26: Working with Retail Clients - Ethical Standards and Client Consent Requirements.

**NEW QUESTION # 146**

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