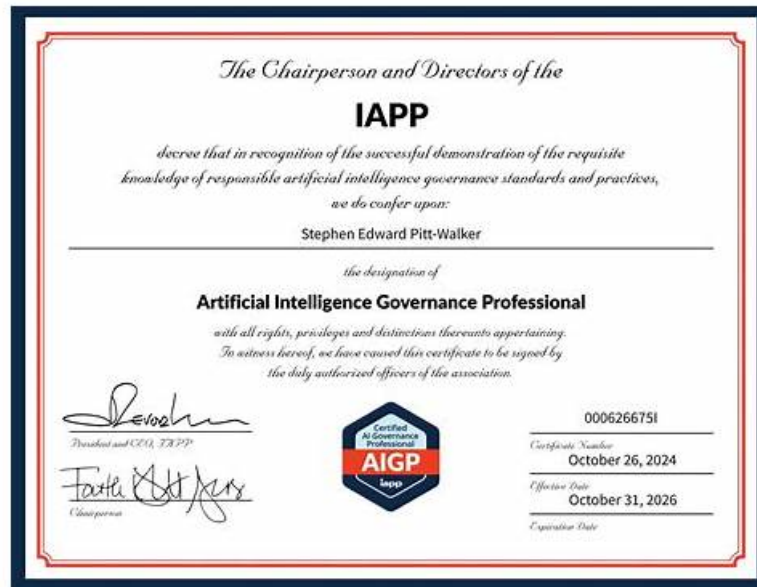


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## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q45-Q50):

### NEW QUESTION # 45

A company that deploys AI but is not currently a provider or developer intends to develop and market its own AI system. Which obligation would then be likely to apply?

- A. Conducting an impact assessment including a post-deployment monitoring plan.
- B. Implementing a risk management framework.

- C. Developing documentation on the system, the potential risks and the safeguards applied.
- D. Developing a reporting plan for any observed algorithmic discrimination or harms to individuals' rights and freedoms.

**Answer: C**

Explanation:

Once a company moves from being a deployer to also acting as a provider or developer, it assumes new obligations under regulations like the EU AI Act. One of the core requirements for providers is to produce and maintain technical documentation, including descriptions of the model, associated risks, and mitigation strategies.

From the AI Governance in Practice Report 2024:

"Providers of high-risk AI systems must draw up technical documentation demonstrating the system's conformity with the requirements... including potential risks and safeguards applied." (p. 34)

"This documentation must be available before placing the system on the market." (p. 35)

#### NEW QUESTION # 46

You are part of your organization's ML engineering team and notice that the accuracy of a model that was recently deployed into production is deteriorating.

What is the best first step to address this?

- A. Replace the model with a previous version.
- B. Perform an audit of the model.
- C. Conduct champion/challenger testing.
- D. Run red-teaming exercises.

**Answer: C**

Explanation:

When the accuracy of a model deteriorates, the best first step is to conduct champion/challenger testing. This involves deploying a new model (challenger) alongside the current model (champion) to compare their performance. This method helps identify if the new model can perform better under current conditions without immediately discarding the existing model. It provides a controlled environment to test improvements and understand the reasons behind the deterioration. This approach is preferable to directly replacing the model, performing audits, or running red-teaming exercises, which may be subsequent steps based on the findings from the champion/challenger testing.

Reference: AIGP BODY OF KNOWLEDGE, sections on model performance management and testing strategies.

#### NEW QUESTION # 47

All of the following are required for high-risk AI systems under the EU AI Act EXCEPT?

- A. Publishing a detailed report on the training data used.
- B. Conducting post-market monitoring.
- C. Conducting a conformity assessment.
- D. Retaining system-generated logs for at least six months.

**Answer: A**

Explanation:

The EU AI Act imposes several mandatory obligations on high-risk AI systems, but publishing a detailed report on training data is not one of them.

From the AI Governance in Practice Report 2024:

"It mandates drawing up technical documentation for high-risk AI systems, and requires high-risk AI systems to come with instructions for use that disclose various information, including characteristics, capabilities and performance limitations." (p. 34)

"To make high-risk AI systems more traceable, it also requires AI systems to be able to automatically allow for the maintenance of logs throughout the AI life cycle."

"Conducting post-market monitoring" and "conformity assessments" are explicit requirements for high-risk systems. (p. 34-35)

However, publishing detailed training data is typically required only for general-purpose AI systems with systemic risk, not standard high-risk AI systems.

\* A. Log retention, B. Post-market monitoring, and C. Conformity assessments are all required under the EU AI Act for high-risk systems.

### NEW QUESTION # 48

Which of the following best defines an "AI model"?

- A. A system of controls that is used to govern an AI algorithm.
- **B. A program that has been trained on a set of data to find patterns within the data.**
- C. A system that applies defined rules to execute tasks.
- D. A corpus of data which an AI algorithm analyzes to make predictions.

**Answer: B**

Explanation:

An AI model is best defined as a program that has been trained on a set of data to find patterns within that data. This definition captures the essence of machine learning, where the model learns from the data to make predictions or decisions. Reference: AIGP BODY OF KNOWLEDGE, which provides a detailed explanation of AI models and their training processes.

### NEW QUESTION # 49

What is the most important reason for documenting risks when developing an AI system?

- A. To align with industry standards.
- B. To provide transparency to stakeholders.
- **C. To mitigate potential liability.**
- D. To promote knowledge sharing.

**Answer: C**

Explanation:

The most critical reason for documenting AI-related risks is to reduce exposure to legal, regulatory, and reputational liabilities. Clear documentation demonstrates that risks were identified, assessed, and addressed, which is essential for accountability and defensibility in the face of audits, litigation, or enforcement actions.

From the AI Governance in Practice Report 2024:

"An effective AI governance model is about collective responsibility... which should encompass oversight mechanisms such as privacy, accountability, compliance." (p. 13)

"Accountability... is based on the idea that there should be a person or entity that is ultimately responsible for any harm resulting from the use of the data, algorithm and AI system's underlying processes." (p. 28) While transparency, alignment with standards, and knowledge sharing are all secondary benefits, risk documentation's primary role is liability mitigation.

### NEW QUESTION # 50

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