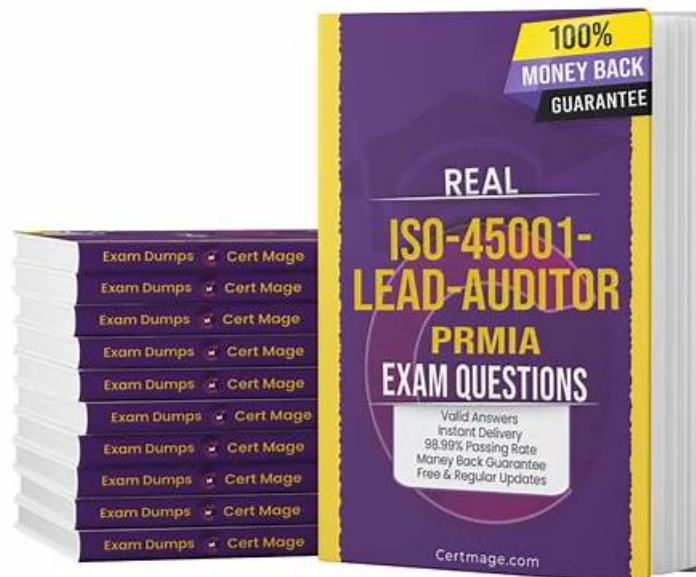


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PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">• ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 2	<ul style="list-style-type: none">• Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.
Topic 3	<ul style="list-style-type: none">• Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.

Topic 4	<ul style="list-style-type: none"> • Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.
Topic 5	<ul style="list-style-type: none"> • Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q44-Q49):

NEW QUESTION # 44

A well-known fast-food organisation (ISO 45001 certified) contracts young people to deliver orders; last week one of them died in a street accident riding for the first time a new motorbike provided by the organisation. You will lead the recertification audit in two weeks, and you plan to prepare a checklist to investigate this incident. The following are potential questions you might ask; match them to the related ISO 45001 clause/subclause.

Question related to the worker who had the accident	ISO 45001 clause/subclause
Was he made aware of the hazards related to this work?	
Was he trained to use the new motorbike?	
Was he asked to deliver too many orders in a short period of time?	
Was he carrying any contact details in case of an accident?	

To complete the table click on the blank section you want to complete so that it is highlighted in red, and then click on the applicable text from the options below.
Alternatively, drag and drop each option to the appropriate blank section.

6.1.2.1

8.2

7.2

7.3

Answer:

Explanation:

Question related to the worker who had the accident	ISO 45001 clause/subclause
Was he made aware of the hazards related to this work?	6.1.2.1
Was he trained to use the new motorbike?	7.2
Was he asked to deliver too many orders in a short period of time?	8.2
Was he carrying any contact details in case of an accident?	

To complete the table click on the blank section you want to complete so that it is highlighted in red, and then click on the applicable text from the options below. Alternatively, drag and drop each option to the appropriate blank section.

6.1.2.1

8.2

7.2

7.3

NEW QUESTION # 45

You are auditing a site of Good Food Shack, a restaurant chain. In the kitchen area, you notice a half-filled open drum sitting in a pool of liquid, which is dribbling across a work area. You ask the head Chef what is in the drum and are told it is waste from the frying process. When you ask why it is kept there, you are told that it is not normally kept there, but it is not a problem and says that he will arrange it to be moved to a storeroom and will give the floor a good clean.

Look around the kitchen, you observe knives and cleavers lying around on worktops, staff wearing no protective gloves and a large pot of boiling water with nobody near it. There is no evidence of any fire extinguishers or fire blankets within sight.

You decide to raise a nonconformance. Select one of the options that best describes the finding against clause 8.1.2 of ISO 45001.

- A. Training of the kitchen staff was not effective enough to prevent poor safety awareness in the kitchen area.
- **B. Failure to reduce risks associated with working in the kitchen.**
- C. Staff are at risk of serious injury while working in the kitchen.
- D. Measures to prevent slippage in the kitchen from waste cooking oil were not taken.

Answer: B

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 8.1.2 of ISO 45001 pertains to Eliminating Hazards and Reducing OH&S Risks. Organizations must implement appropriate controls to manage workplace hazards effectively.

Issue Identified:

The kitchen environment at the audited site presents multiple hazards, including:

Oil spillage creating a slip hazard.

Open drums of waste material posing a chemical or hygiene hazard.

Unsecured knives and cleavers increasing the risk of cuts.

Boiling water left unattended, posing a risk of burns.

Lack of visible fire extinguishers or fire blankets, increasing fire risk.

Analysis of Options:

A . Failure to reduce risks associated with working in the kitchen.

This option accurately captures the broader failure to implement effective measures to eliminate or reduce hazards across multiple areas in the kitchen.

B . Measures to prevent slippage in the kitchen from waste cooking oil were not taken.

This is specific to one hazard (oil spillage) and does not address other significant risks observed, such as fire safety or sharp tools.

C . Staff are at risk of serious injury while working in the kitchen.

While true, this is more of a general observation and does not address the root cause of the hazards or the failure of controls.

D . Training of the kitchen staff was not effective enough to prevent poor safety awareness in the kitchen area.

Although training deficiencies may contribute to the issue, this option does not reflect the overarching systemic failure to manage hazards effectively.

Best Description of the Nonconformance:

Failure to reduce risks associated with working in the kitchen (Option A) is the most comprehensive finding, reflecting the organization's lack of adequate controls to mitigate hazards.

ISO Reference:

Clause 8.1.2: Organizations must establish controls to eliminate or minimize OH&S risks systematically.

Clause 7.2: Ensuring competency through training is important but must be coupled with hazard control measures.

NEW QUESTION # 46

Select the two options which represent an advantage of using a sampling plan for the audit.

- A. May require an increased audit duration
- B. May miss key issues
- **C. Implements the audit plan efficiently**
- D. Use of the plan for consecutive audits without review
- **E. Gives confidence in the audit results**
- F. Overrides the auditor's instincts

Answer: C,E

NEW QUESTION # 47

Select three options that would provide evidence of conformance with clause 9.1.1 of ISO 45001.

- A. Competency of centre staff.
- **B. Periodic analysis of the results of improvement actions.**
- **C. A procedure on how to determine trends when analysing OHS data.**
- D. Signage that alerts visitors to hazards in the centre.
- E. Reports showing that elevators have passed the annual inspection.
- **F. Reports of accidents to staff members.**
- G. An increase in the visitors using the website.
- H. An OH&S objective to reduce the number of reported incidents.

Answer: B,C,F

NEW QUESTION # 48

Worker's participation is a key factor for the success of OHSMS. Which three of the following ISO 45001 activities require workers' participation?

- **A. Establishing OHS policy**
- B. Determining competence requirements
- **C. Determining actions to eliminate hazards**
- D. Managing internal audit programme
- **E. Establishing OHS objectives**
- F. Determining what needs to be communicated

Answer: A,C,E

Explanation:

Worker participation is a fundamental principle of ISO 45001 (Clause 5.4). It ensures workers at all levels are involved in key activities to enhance the OH&S management system's effectiveness.

Analysis of Options:

A . Determining competence requirements:

Incorrect. Determining competence is primarily the responsibility of management, though workers may provide input.

B . Establishing OHS objectives:

Correct. Worker involvement ensures objectives are realistic and relevant to workplace conditions (Clause 6.2).

C . Managing internal audit programme:

Incorrect. Managing audits is typically a managerial responsibility, though workers may participate in audits.

D . Determining actions to eliminate hazards:

Correct. Workers' insights are critical in identifying and implementing actions to eliminate hazards (Clause 8.1.2).

E . Determining what needs to be communicated:

Incorrect. While communication strategies impact workers, their participation in determining communication needs is not explicitly required.

F . Establishing OHS policy:

Correct. Workers should be involved in developing policies to ensure relevance and buy-in (Clause 5.2).

ISO Reference:

Clause 5.4: Worker participation.

Clause 6.2: OHS objectives.

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