

# Sample CSC2 Questions & CSC2 Test Pass4sure



BTW, DOWNLOAD part of PassReview CSC2 dumps from Cloud Storage: <https://drive.google.com/open?id=1Lsj8toOY9Te6F8GdnBNnXSnW2UOt0ieQ>

The learning material is open in three excellent formats; CSI CSC2 dumps PDF, a desktop CSI CSC2 dumps practice test, and a web-based CSI CSC2 dumps practice test. CSI CSC2 dumps is organized by experts while saving the furthest down-the-line plan to them for the CSI CSC2 Exam. The sans bug plans have been given to you all to drift through the Canadian Securities Course Exam2 certificate exam

## CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> <li>Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.</li> </ul>
Topic 2	<ul style="list-style-type: none"> <li>Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.</li> </ul>
Topic 5	<ul style="list-style-type: none"> <li>The Corporation: This section of the exam measures the skills of a Corporate Finance Analyst and covers corporate structures, financial statements, disclosure requirements, investor rights, financing methods, capital raising processes, prospectus requirements, securities distribution, and exchange listing procedures for corporations.</li> </ul>
Topic 6	<ul style="list-style-type: none"> <li>The Economy: This section of the exam measures the skills of an Economic Analyst and covers fundamental economic concepts including microeconomics and macroeconomics, economic growth measurement, business cycles, labor markets, interest rates, inflation, international trade, and both fiscal and monetary policy with emphasis on the Bank of Canada's role and government policy challenges.</li> </ul>

Topic 7

- **Portfolio Analysis:** This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.

>> **Sample CSC2 Questions** <<

## **CSC2 Test Pass4sure | Valid CSC2 Exam Topics**

We are now in a fast-paced era, and for this we have no right to choose. Just as a proverb says "Time is money." This is the reason why we must value time. That is to say, we should make full use of our time to do useful things. As examinee whose want to pass the CSC2, you shouldn't waste your time on some useless books or materials. Our CSC2 Materials are tool that can not only to help you save a lot of time, but also help you pass the CSC2 exam. In this way, you can much time to complete your other goals and improve yourself better. What a rare opportunity it is! Never miss it because of your hesitation.

### **CSI Canadian Securities Course Exam2 Sample Questions (Q122-Q127):**

#### **NEW QUESTION # 122**

Which statutory right allows a purchaser to caned their order if a prospectus has a misrepresentation?

- A. Right of withdrawal.
- B. Right of amended prospectus delivery
- **C. Right of rescission.**
- D. Right of action for damages

**Answer: C**

Explanation:

The right of rescission allows a purchaser to cancel their purchase if the prospectus contains a misrepresentation. This statutory right protects investors by ensuring that they are not bound by transactions based on incorrect or misleading information. Under Canadian securities law, the right of rescission is an important safeguard to maintain market integrity and investor confidence.

This right is distinct from the right of action for damages, which allows investors to sue for compensation, and the right of withdrawal, which permits cancellation within a limited time after agreeing to the purchase, typically two business days.

References:

\* Volume 1, Chapter 3: The Canadian Regulatory Environment, section on "Rights of Purchasers" describes the statutory rights related to prospectuses and their misrepresentations.

#### **NEW QUESTION # 123**

The principle of retraction in retractable preferred shares is identical to what other security?

- A. Callable preferred shares.
- B. Retractable common shares
- **C. Retractable bonds and debentures**
- D. Redeemable preferred shares.

**Answer: C**

Explanation:

The principle of retraction in retractable preferred shares allows the shareholder to force the issuing company to redeem the shares for cash at a predetermined price on or after a specified date. This feature is identical to retractable bonds and debentures, which give the bondholder the option to require the issuer to repay the principal before maturity.

\* A. Callable preferred shares: Callability benefits the issuer, not the holder, and is not similar to retraction.

\* B. Retractable common shares: Such securities are not common in the market and are not comparable to retractable preferred shares.

\* C. Redeemable preferred shares: Redemption is at the issuer's discretion, unlike retraction, which is at the holder's discretion.

#### NEW QUESTION # 124

What event would trigger an amendment of the account application while monitoring a portfolio?

- A. When the advisor's views are influenced by a recent news headline.
- B. The annual client meeting.
- C. When a new market cycle is formed.
- **D. When a client's job situation has changed.**

**Answer: D**

#### NEW QUESTION # 125

The XYZL mutual fund distributes realized capital gains of \$1.50. What is the effect of this distribution?

- **A. Each investor will have to report taxable income of \$1.50 per share.**
- B. Investors will reduce the adjusted cost base of their holdings in the fund.
- C. The mutual fund will have to declare taxable income of \$0.75 per share.
- D. The net asset value per share will drop \$1.50 as a result of the distribution.

**Answer: A**

#### NEW QUESTION # 126

An advisor to explain the benefits of labour sponsored funds (LSVCC) to some of his clients. With which client should the advisor have this discussion?

- A. Client 2
- B. Client 4
- **C. Client 1**
- D. Client 3

**Answer: C**

Explanation:

Labour Sponsored Venture Capital Corporations (LSVCCs), or labour-sponsored funds, are high-risk investments designed to stimulate job creation and economic growth. They provide tax benefits in the form of federal and, in some cases, provincial tax credits, making them attractive to investors in higher income brackets who are comfortable with the following:

- \* Increased portfolio risk
- \* Reduced liquidity due to long lockup periods
- \* High potential tax incentives

Analysis of Clients:

\* Client 1:

\* In their prime earning years and comfortable with higher risk and long lockup periods.

\* Interested in tax benefits in the form of federal tax credits.

\* Matches the profile of an ideal candidate for LSVCCs.

\* Correct answer: C

\* Client 2:

\* In early earning years and prioritizes liquidity over other factors.

\* LSVCCs are unsuitable due to their lack of liquidity (e.g., lockup periods).

\* Incorrect

\* Client 3:

\* Focused on investments with offsetting tax credits but insists on tax credits being carried forward.

\* LSVCC tax credits cannot typically be carried forward, making them unsuitable.

\* Incorrect

\* Client 4:

\* Stable income but sensitive to high fees.

\* LSVCCs generally have high management fees, making them unsuitable.

\* Incorrect

References to Canadian Securities Course Exam 2 Study Materials:

\* Volume 2, Chapter 22 - Labour Sponsored Venture Capital Corporations

\* Discusses LSVCCs, their tax advantages, high-risk nature, and reduced liquidity.

