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CFA Institute Sustainable-Investing Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Introduction to ESG Investing: This section of the exam measures skills of Investment Analysts and Portfolio Managers and covers the foundational concepts of environmental, social, and governance (ESG) investing. It focuses on defining ESG investment, different responsible investment approaches, sustainability concepts, benefits and challenges of ESG integration, and key global initiatives in ESG.
Topic 2	<ul style="list-style-type: none"> Engagement and Stewardship: Designed for Asset Managers and Stewardship Professionals, this domain covers investor engagement strategies and stewardship principles. It highlights the purpose, importance, key principles, and practical application of engagement tactics within responsible investing frameworks.
Topic 3	<ul style="list-style-type: none"> Integrated Portfolio Construction and Management: Targeting Portfolio Managers and Investment Strategists, this section discusses ESG integration into portfolio construction. It covers ESG screening approaches, benchmarking, the effect on risk-return profiles, and managing ESG portfolios across various asset classes.
Topic 4	<ul style="list-style-type: none"> The ESG Market: This domain targets Financial Analysts and Institutional Investors, examining the size, scope, relevance, and key drivers of the ESG market. It also discusses risks and opportunities within the ESG investment landscape, helping candidates understand market dynamics and trends.

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CFA Institute Sustainable Investing Certificate (CFA-SIC) Exam Sample Questions (Q502-Q507):

NEW QUESTION # 502

Which of the following scenarios best illustrates the concept of a 'just' transition?

- A. A region transitioning to solar power subsidizes businesses to install solar arrays
- **B. A region transitioning away from iron ore mining helps displaced miners to work in the safe decommission of abandoned mines**
- C. A region transitioning to a smaller public sector workforce funds outplacement programs for displaced office workers

Answer: B

Explanation:

Concept of a 'Just' Transition:

A 'just' transition refers to the process of shifting to a more sustainable economy in a way that is fair and inclusive, ensuring that the benefits and opportunities of the transition are shared widely while minimizing the negative impacts on workers and communities.

1. Supporting Displaced Workers: A 'just' transition involves providing support and opportunities for workers and communities that are adversely affected by the shift to a more sustainable economy. This includes retraining, reskilling, and ensuring that there are alternative employment opportunities available.

2. Example of Iron Ore Mining: The scenario where a region transitioning away from iron ore mining helps displaced miners to work in the safe decommission of abandoned mines best illustrates the concept of a 'just' transition. This approach ensures that the affected workers are provided with new employment opportunities that leverage their existing skills while contributing to environmental remediation.

3. Other Scenarios:

Solar Power Subsidies (Option A): While subsidizing solar power installations supports the transition to renewable energy, it does not directly address the needs of displaced workers.

Outplacement Programs for Office Workers (Option B): Funding outplacement programs for displaced public sector workers helps to some extent but does not directly relate to the broader industrial and environmental implications of a 'just' transition.

Reference from CFA ESG Investing:

Just Transition Principles: The CFA Institute emphasizes the importance of a just transition in ensuring that the shift to a sustainable economy is inclusive and equitable. This includes providing support to affected workers and communities.

Case Studies and Examples: The concept of a just transition is illustrated through various case studies and examples where regions and industries have successfully managed the social and economic impacts of transitioning to more sustainable practices.

In conclusion, a region transitioning away from iron ore mining helping displaced miners to work in the safe decommission of abandoned mines best illustrates the concept of a 'just' transition, making option C the verified answer.

NEW QUESTION # 503

Which of the following most likely indicates strong corporate governance? A company board with:

- A. a chair who also serves as the company's CEO.
- B. directors that have similar professional backgrounds.
- **C. gender diversity.**

Answer: C

Explanation:

Gender diversity on a company's board is often seen as an indicator of strong corporate governance, as it promotes diverse perspectives and better decision-making. (ESGTextBook[PallasCatFin], Chapter 5, Page 236)

NEW QUESTION # 504

According to the Sustainability Accounting Standards Board (SASB) materiality risk mapping, greenhouse gas emissions (GHG) are

most material for the

- A. healthcare sector.
- **B. infrastructure sector**
- C. financial sector

Answer: B

Explanation:

SASB Materiality Map:

The SASB materiality map identifies which sustainability issues are likely to have a material impact on the financial performance of companies in different sectors. For the infrastructure sector, GHG emissions are identified as a key material issue.

SASB's framework emphasizes the financial relevance of GHG emissions for infrastructure companies due to their significant environmental impact and the regulatory and operational risks associated with emissions.

Environmental Impact:

Infrastructure projects, such as transportation systems, energy facilities, and construction projects, have substantial GHG emissions. Managing and mitigating these emissions is crucial for the sustainability and financial performance of companies in this sector.

The CFA Institute notes that the infrastructure sector's environmental footprint makes GHG emissions a critical focus area for ESG integration and risk management.

Regulatory and Market Pressure:

There is increasing regulatory pressure on the infrastructure sector to reduce GHG emissions. Compliance with environmental regulations and participation in carbon markets can have significant financial implications for infrastructure companies.

The SASB framework helps investors understand the material risks associated with GHG emissions and supports companies in improving their environmental performance to meet regulatory and market expectations.

Investor Focus:

Investors are increasingly focused on the ESG performance of infrastructure companies, particularly regarding GHG emissions. This focus is driven by the long-term risks and opportunities associated with climate change and the transition to a low-carbon economy. The CFA Institute highlights that addressing GHG emissions in the infrastructure sector is essential for aligning investments with sustainability goals and managing long-term risks.

References:

Sustainability Accounting Standards Board (SASB) materiality risk mapping.

CFA Institute, "Environmental, Social, and Governance Issues in Investing: A Guide for Investment Professionals."

NEW QUESTION # 505

Offshoring is best categorized under which of the following social megatrends?

- A. Urbanization
- **B. Globalization**
- C. Changes to work, leisure time, and education

Answer: B

Explanation:

Offshoring is directly linked to globalization, as it involves shifting production or services to lower-cost countries. This trend has been driven by technological advancements, supply chain optimization, and cost reductions.

Urbanization (A) relates more to city expansion, and changes to work and education (C) focus on job flexibility and learning trends, rather than corporate relocation strategies.

Reference:

World Economic Forum (WEF) Globalization Trends Report

OECD Report on Global Supply Chains

CFA Institute ESG Integration in Global Markets

NEW QUESTION # 506

According to the Taskforce on Nature-Related Financial Disclosures (TNFD), which of the following drivers of nature change can directly translate into a positive impact on circular economy principles?

- A. Pollution
- **B. Resource use**
- C. Climate change

Answer: B

Explanation:

The circular economy focuses on reducing waste and maximizing resource efficiency. Sustainable resource use (B) aligns directly with circular economy principles, as it promotes recycling, renewable materials, and closed-loop production systems.

Pollution (A) and climate change (C) are environmental risks but do not directly support the transition to a circular economy.

References:

Taskforce on Nature-Related Financial Disclosures (TNFD) Framework

Ellen MacArthur Foundation - Circular Economy Principles

UN Sustainable Development Goal 12 (Responsible Consumption & Production)

NEW QUESTION # 507

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