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CFA Institute CFA Level I Chartered Financial Analyst Sample Questions (Q1051-Q1056):

NEW QUESTION # 1051

William Michael, CFA, is the research analyst responsible for following Company X.

All the information he has accumulated and documented suggests the stock should be rated a weak 'hold,' because the outlook for the firm's new products is poor. During a recent luncheon, however, Michael overheard a financial analyst from another firm offer opinions that conflict with his own forecasts and expectations.

Upon returning to his office, Michael released a strong 'buy' recommendation to the public based on this new information. Michael:

- A. violated CFA Institute's Standards of Professional Conduct by failing to distinguish between facts and opinions in his recommendation.
- **B. violated CFA Institute's Standards of Professional Conduct, because he did not have a reasonable and adequate basis for his recommendation.**
- C. violated CFA Institute's Standards of Professional Conduct, because he did not seek approval of the change from his firm's compliance department.

Answer: B

NEW QUESTION # 1052

Which of the following statements is not true when it comes to conducting hypothesis tests for differences between population means and mean differences (paired comparison tests)?

- A. When conducting a test for the difference between the means of two populations which are independent, an assumption must be made as to whether the population variances are equal or not.
- **B. It is possible to conduct these types of tests even if the underlying populations are not normally distributed.**
- C. A paired comparison test must use $n-1$ degrees of freedom, where n equals the number of paired observations.

Answer: B

Explanation:

When conducting hypothesis tests for differences between population means and mean differences (paired comparison tests), it is imperative that the underlying populations be normally distributed.

NEW QUESTION # 1053

Which one of these patterns is not a continuation pattern?

- A. Rectangle.
- **B. Double top.**
- C. Flag.

Answer: B

Explanation:

It is a reversal pattern.

NEW QUESTION # 1054

Which statement about portfolio diversification is correct?

- **A. As more securities are added to a portfolio, total risk typically would be expected to fall at a decreasing rate.**
- B. Proper diversification reduces the portfolio's expected return because it can reduce or eliminate a portfolio's systematic risk.
- C. The risk-reducing benefits of diversification do not occur meaningfully until at least 30 individual securities are included in the portfolio.

Answer: A

NEW QUESTION # 1055

Under a system of fixed exchange rates, an upward revaluation of a nation's currency would

- A. not be permitted.
- **B. tend to cause an increase in imports.**
- C. tend to cause an increase in exports.

Answer: B

Explanation:

The higher value of the domestic currency would make foreign goods and services less expensive and would increase imports. Under fixed rate systems, changes are made by the governing authority rather than taking place freely in markets.

NEW QUESTION # 1056

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