

# Reliable ISO-45001-Lead-Auditor Exam Practice, ISO-45001-Lead-Auditor Valid Practice Materials



## Practice questions



### Information for learners

#### This exam is open book

- All references to ISO 45001 refer to the latest issue (ISO 45001:2018).
- A clean copy of ISO 45001, ISO 19011, and a bilingual dictionary are permitted for reference.
- The practice paper is in five sections. Learners should attempt all sections and all 24 questions.
- Learners may attempt the practice questions up to 99 times.
- These are questions for learners to use ahead of the actual exam. They will familiarise learners with the questions in each section and the layout and functionality of the online exam platform.
- Learners should read each question carefully before answering. Learners are advised to consider the context given in the question as well as the knowledge they have from the course.
- All the questions are based on the learning and enabling objectives detailed in the CQI and IRCA QMS ISO 45001:2018 Lead Auditor course specification (PR357).

## Section 1 - Concepts and principles of Management Standards and Systems



Workers' participation is a key factor for the success of an OHSMS.

Which **three** of the following ISO 45001 activities require workers' participation?

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All contents of ISO-45001-Lead-Auditor training guide are being explicit to make you have explicit understanding of this exam. Their contribution is praised for their purview is unlimited. None cryptic contents in ISO-45001-Lead-Auditor learning materials you may encounter. And our ISO-45001-Lead-Auditor Exam Questions are easy to understand and they are popular to be sold to all over the world. Just look at the comments on the website, then you will know that we have a lot of loyal customers.

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## ISO-45001-Lead-Auditor Valid Practice Materials & Valid Brindumps ISO-45001-Lead-Auditor Ppt

As we all know, if you want to pass the ISO-45001-Lead-Auditor exam, you need to have the right method of study, plenty of preparation time, and targeted test materials. However, most people do not have one or all of these. That is why I want to introduce

our ISO-45001-Lead-Auditor Original Questions to you. So why not try our PECB original questions, which will help you maximize your pass rate? Even if you unfortunately fail to pass the exam, we will give you a full refund.

## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>ISO 45001 requirements for an OH&amp;S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.</li></ul>

## PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q64-Q69):

### NEW QUESTION # 64

An audit team leader arrives at a steel fabrication organisation that manufactures stairways to carry out a Stage2 certification audit. At a meeting with the OHSMS Manager, she is told that they have won their biggest contract from a construction organization to manufacture and install stairways.

During the audit, a team member found that several employees had suffered hand injuries over several months due to handrail welds not being properly polished. The OHSMS Manager blames the new employees they had to take on because of the big contract. The auditor raises a nonconformance against clause 10.2 of ISO 45001.

In relation to the nonconformity raised by the auditor and in line with the policy of the certification body, the audit team raise improvement opportunities in the audit report.

Which three of the options would represent acceptance opportunities for improvement in the report?

- A. A first aid station could be located next to the handrail polishing operation.
- B. A quality control programme could involve non-destructive testing of the welded handrails
- C. The induction programme for new employees could include an awareness training video in health and safety.
- D. The requirement for wearing protective gloves could be extended to shop floor workers across all operations.
- E. Additional internal audits of the OHS management system may reduce production problems.
- F. All workers should be given competence test.
- G. Operational planning activities may benefit from an improved risk based approach based on international standards for risk management.
- H. The molding polishers should be disciplined for Incompetency.

**Answer: C,D,G**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Opportunities for improvement (OFIs) are suggestions provided during audits that do not indicate nonconformities but are aimed at enhancing the system's performance.

Analysis of Options:

\* A. A quality control program could involve non-destructive testing of the welded handrails: While beneficial, this focuses on

product quality rather than OH&S improvements.

\* B. Operational planning activities may benefit from an improved risk-based approach based on international standards for risk management:Correct. Enhancing risk-based thinking aligns with Clause 6.1.1.

\* C. A first aid station could be located next to the handrail polishing operation:While useful, first aid station placement is an operational matter, not directly related to OH&S management system improvement.

\* D. Additional internal audits of the OHS management system may reduce production problems:  
Internal audits should address system performance, not production problems.

\* F. The induction program for new employees could include an awareness training video on health and safety:Correct. Enhanced training for new employees addresses Clause 7.2 (competence).

\* G. The requirement for wearing protective gloves could be extended to shop floor workers across all operations:Correct. This improves hazard control in line with Clause 8.1.2.

\* H. The molding polishers should be disciplined for incompetency:Disciplinary actions are outside the scope of system improvement.

ISO References:

\* Clause 6.1.1: Risk management.

\* Clause 7.2: Competence.

\* Clause 8.1.2: Hierarchy of controls.

### NEW QUESTION # 65

Showitoff is an organisation specialising in the design and production of wall decorating material for the domestic market. During an ISO 45001 certification audit of the site, the auditor comes across an open, walled area just outside the maintenance department. It contains various scraps of wood and metal as well as several rusty components. Several heavy concrete beams are leaning against a thin brick wall in which cracks are visible. When asked about it, the OHS Manager states that he presume that the material come from maintenance work, so it is the Maintenance Manager's responsibility.

The auditor interviews the Maintenance Manager in his department. He asks about the area outside and is told that it contains some excess materials that the Manager likes to keep in case they come in handy at some stage. The auditor points out that the wall appears to be unstable collapse at any moment. The Maintenance Manager is not aware of such a situation.

Which three statement represent good audit practice?

- A. The auditor should consider surveying more areas of the site for other potentially unsafe situations.
- B. The audit should refer the organization to the health and safety authorities.
- C. The auditor should advice the organisation to get rid of the walled area.
- D. The audit should ask the Maintenance Manager to conduct a safety survey.
- E. The audit should check the lines of communication between the OHS Manager and maintenance Manager.
- F. The auditor should check whether the organization has identified the safety hazards associated with the walled area.
- G. The audit should demand that the Maintenance Manager deals with the wall.
- H. The audit should raise a nonconformity against ISO 45001.

**Answer: A,E,F**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 6.1.2 of ISO 45001:2018 emphasizes the identification of hazards and assessment of risks, and Clause 5.4 highlights the importance of consultation and communication among roles. The auditor's role includes verifying conformity to these clauses through evidence gathering and observation.

Analysis of Options:

A :The auditor should advise the organization to get rid of the walled area.

This is outside the scope of an auditor's role, which is to observe and report findings, not to dictate specific actions.

B :The auditor should ask the Maintenance Manager to conduct a safety survey.

While safety surveys are useful, asking the Maintenance Manager to conduct one is not the auditor's responsibility.

C :The auditor should check the lines of communication between the OHS Manager and Maintenance Manager.

This aligns with ISO 45001:2018, Clause 5.4, as communication gaps may have contributed to the situation.

D :he auditor should check whether the organization has identified the safety hazards associated with the walled area.

Clause 6.1.2 requires hazard identification. The auditor must determine whether this has been done.

E:The auditor should consider surveying more areas of the site for other potentially unsafe situations.

Broadening the audit scope to identify additional risks is a good practice in line with Clause 9.2.2.

F :he auditor should demand that the Maintenance Manager deals with the wall.

Demanding action is not within the auditor's authority. The auditor should raise findings instead.

G :he auditor should raise a nonconformity against ISO 45001.

While this may be appropriate depending on evidence, raising a nonconformity is not a replacement for good audit practices.

H :The auditor should refer the organization to health and safety authorities.

This step is extreme and should only occur if there is an imminent danger and no action is being taken.

ISO Reference:

Clause 6.1.2: Hazard identification and risk assessment.

Clause 5.4: Worker consultation and participation.

Clause 9.2.2: Internal audit program and execution.

#### NEW QUESTION # 66

You are auditing clause 4.1 (Context of the organisation) with a member of the organisation's top management. You ask them for examples of external issues the OHSMS is currently facing.

Which three of their responses would you accept as valid examples of such issues?

- A. The training department have decided to use their budget on customer service training in preference to health and safety training.
- B. New health and safety legislation was implemented in our sector last month and we are all struggling to work out how best to comply with the new regulations.
- C. We are struggling to appoint an OH&S manager as the salary we can offer is not competitive in today's market.
- D. Our top management are demanding monthly health and safety performance reports ever since the fatal accident in warehouse C last year.
- E. We have to use outdated safety equipment as replacing it would require expensive retraining of the workers.
- F. The Government has just launched an initiative to provide research and development grants to organisations like ours.
- G. I accept our health and safety procedures have not been revised for 12 months now. We just keep pushing the review further and further down the 'to do' list.
- H. The worker OH&S suggestion scheme has been temporarily suspended after some inappropriate comments were made by staff.

**Answer: B,C,F**

#### NEW QUESTION # 67

An audit team leader prepares the audit plan for an initial Stage 2 certification audit. Which two of the following statements are false?

- A. The audit team leader does not select the OHS audit team members.
- B. The plan does not need to include the names of the senior managers.
- C. The organisation should cancel at staff leave during the audit.
- D. The organisation should review the audit plan for agreement.
- E. The audit team leader should present the plan to the auditee before the Stage 2 audit.
- F. The audit team leader should plan to interview each employee.

**Answer: A,F**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Analysis of Each Option:

Reference: ISO 19011:2018, Clause 6.3.2.

B). The audit team leader does not select the OHS audit team members.False.The audit team leader is responsible for selecting competent team members with the requisite expertise to evaluate the auditee's Occupational Health & Safety Management System (OHSMS). Selection is based on criteria such as knowledge of ISO 45001, auditing skills, and understanding of the industry.Reference: ISO 19011:2018, Clause 5.4.2 and 6.3.1.

C). The audit team leader should plan to interview each employee.False.While employee interviews are an essential part of gathering evidence during an ISO 45001 audit, interviewing every employee is impractical and unnecessary. A representative sampling strategy is used to assess compliance across various levels and departments.Reference: ISO 19011:2018, Clause 6.4.6.

D). The audit team leader should present the plan to the auditee before the Stage 2 audit.True.Presenting the audit plan to the auditee before the audit is essential to ensure all parties are informed and prepared for the audit. This practice is emphasized in ISO 19011 and supports transparency and effective audit execution.

Reference: ISO 19011:2018, Clause 6.3.3.

E). The organisation should cancel staff leave during the audit.False.ISO 45001 and related audit guidelines do not mandate the cancellation of staff leave during audits. While key personnel should be available, the audit team collaborates with the organization to plan around leave schedules to minimize disruption.Reference: Not explicitly covered in ISO 45001 or ISO 19011; falls under planning discretion.

F). The plan does not need to include the names of the senior managers.True.While the audit plan should outline the scope, objectives, and methods, it does not specifically require the inclusion of senior managers' names unless they are directly involved in

the audit. Reference: ISO 19011:2018, Clause 6.3.2.

Key ISO References:

ISO 45001:2018, Clause 9.2 (Internal Audit Requirements).

ISO 19011:2018, Clauses 5.4.2, 6.3.1, 6.3.2, and 6.4.6.

### NEW QUESTION # 68

Which three of the following statements about closing meetings are true?

- A. The audit team leader cannot instruct audit team members of their role at the closing meeting.
- B. The closing meeting represents a final opportunity for the auditee to have the audit report findings changed if they are unhappy.
- **C. A closing meeting for a first-party audit is likely to be less formal than the closing meeting for a third-party audit.**
- D. The first- and second-party closing meeting must always be documented.
- E. The audit team must meet formally immediately after the closing meeting to consider recommendations for the report.
- **F. The audit team leader can instruct members of the audit team to attend the closing meeting virtually.**
- G. A closing meeting should be held in all instances, irrespective of whether the audit conducted was first-, second- or third-party.
- H. Closing meetings should be chaired by the audit client or their designated representative.

**Answer: C,F**

### NEW QUESTION # 69

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