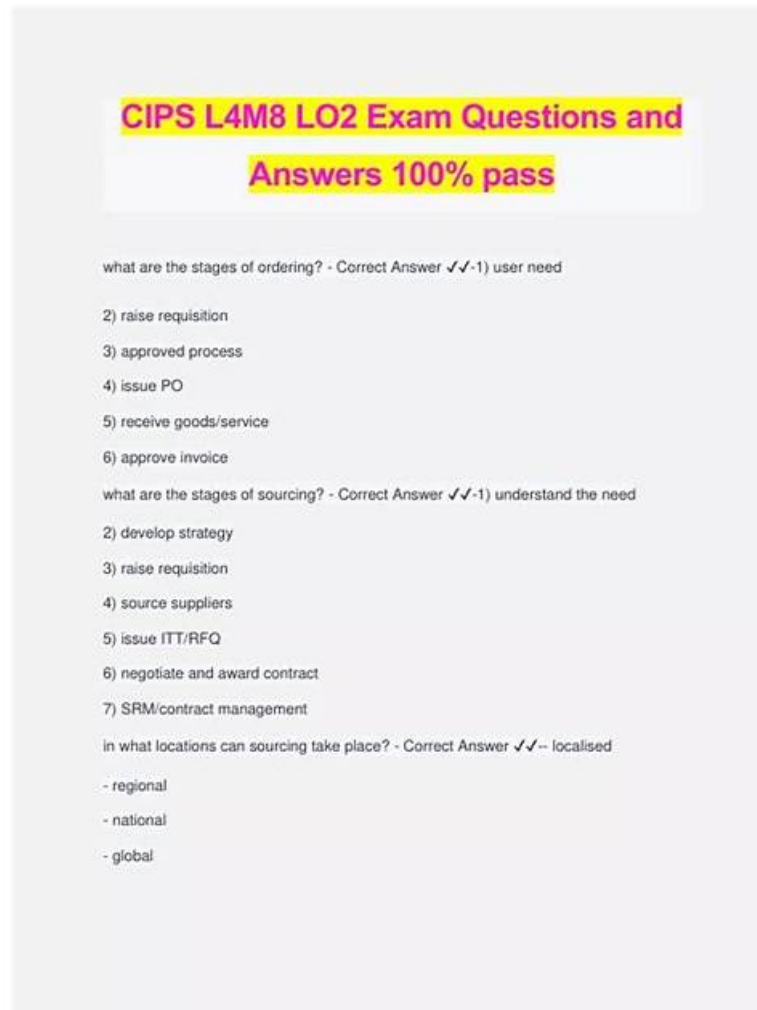


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CIPS L5M5 Exam Syllabus Topics:

Topic	Details

Topic 1	Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.
Topic 2	Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.
Topic 3	Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.

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CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q33-Q38):

NEW QUESTION # 33

A company frequently ships high-value goods internationally, and the logistics team identifies a significant risk of financial loss due to potential cargo theft or damage during transit. To mitigate this risk, the company hires an external freight forwarder who provides insurance coverage and assumes full responsibility for the goods while in transit.

What is the most appropriate risk management strategy in this scenario?

- A. Tolerate
- B. Transfer
- C. Treat
- D. Terminate

Answer: B

Explanation:

Option B- By hiring the external freight forwarder with insurance coverage, the company shifts the responsibility and potential financial loss to a third party. Thus, the correct answer is B.

Incorrect answer:

Option A- "Treat" involves taking action to mitigate the risk internally. For example, the company could implement its own security

measures, such as using GPS tracking, enhanced packaging, or employing in-house logistics staff. In this scenario, the company is not mitigating the risk itself but instead transferring the responsibility to the freight forwarder.

Option C- The risk of theft or damage is significant in this scenario, and ignoring it would likely result in substantial financial losses. Hence, tolerating the risk is not a viable strategy.

Option D- Terminating the activity is not practical for the business, as shipping goods internationally is likely critical to operations. Instead, transferring the risk to the freight forwarder is a more reasonable approach.

Reference:

LO-2.1; Page 166; Use of risk mitigation strategies to achieve ESG objectives to secure ethical and sustainable procurement and supply arrangements

NEW QUESTION # 34

When a major garments factory faces adverse publicity due to alleged child labor, which of the following recognized techniques would be most effective in identifying the root cause of the issue?

- A. Value engineering
- B. Whole life costs
- C. Supply chain mapping
- D. Six sigma

Answer: C

Explanation:

Choice C - Supply chain mapping is a technique that enables a comprehensive analysis of the entire supply chain involved in the production and distribution of garment products. It helps identify the origin of the issue and how it spread. Therefore, it is the correct answer.

Incorrect answer:

Choice A - WLC is used to assess the total cost of an asset over its whole life, taking account of its initial cost and the cost of operation, maintenance, upgrade and disposal. It does not help in identifying the child labor issue.

Choice B - Value engineering is a method used to improve the value of a product or process, not primarily focused on issue identification.

Choice D- Six sigma is a methodology aimed at process improvement and reducing defects, not specifically tailored for issue identification.

Reference:

LO-2.1; Page 145; Mapping supply chains to achieve supply chain transparency

NEW QUESTION # 35

Which term describes non-binding recommendations that offer guidance on best practices?

- A. Statutes
- B. Guidelines
- C. Regulations
- D. Directives

Answer: B

Explanation:

Choice A- These are non-binding recommendations or advice that suggest best practices but do not carry the force of law. This A is the correct choice.

Incorrect answer:

Choice B- These are binding legal rules that must be followed as they have the force of law. They come into force on a set date in all of the member states.

Choice C- In legal contexts (e.g., EU), directives set goals that member states must achieve, but each state decides how to implement them.

Choice D- These are formal written laws passed by a legislative body of a government, and they are legally binding.

Reference:

LO-2.2; Page 185; Monitoring Contract Performance

NEW QUESTION # 36

SA8000 measures an organisation's social accountability. Which of the following is a disadvantage of this standard?

- A. It requires a management system which can be costly to implement
- B. It is only applicable to larger organisations
- C. It is industry specific
- D. It is not recognised in some countries

Answer: A

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

SA8000 is an internationally recognised certification standard for social accountability. It requires organisations to adopt management systems to monitor compliance with social standards, including child labour elimination, safe working conditions, and fair wages. According to the L5M5 study guide (p.264), one disadvantage is the cost of implementing and maintaining the management system, which can be resource-intensive for smaller organisations. SA8000 is not industry-specific, is recognised globally, and is applicable to both large and small organisations. However, the investment in audits, training, and compliance documentation can be significant, making this a barrier for some companies.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.264

NEW QUESTION # 37

NIMBUS TeK, a leading call center employing cost-effective English-speaking workers in countries like India, Pakistan, and the Philippines, has gained a competitive advantage through global sourcing. Which of the following are effective global sourcing practices that the call center has implemented? Select any TWO.

- A. Key Performance Indicator
- B. Service Level Agreement
- C. Detailed research of the market and potential suppliers
- D. Managing relationship with supplier before selection

Answer: B,C

Explanation:

Governance	Having the appropriate systems, rules and processes in place.
Research	Undertaking detailed research of the market and potential suppliers.
Process	Involving all stakeholders to get them to support the sourcing decision.
Clear specification	Providing detailed essential characteristics associated with an item or product to be purchased, including weight, size, dimensions, quality, any safety requirements and, if available, supporting samples.
Service level agreement (SLA)	Giving suppliers a clear understanding of the business' expectations, how their performance will be assessed and that regular reviews will be undertaken, either on site or remotely.
External factors	Using STEEPLED analysis to remain aware of these factors.
Selection	Trialling suppliers and using suppliers that have an existing customer base in the business' country.
Supplier relationship management (SRM)	Managing the relationship with the supplier once it has been selected.

Table 1.15 Good practices in global sourcing

Choice B- Option B is the correct answer as it is a part of RESEARCH under good practices. Choice D- Giving suppliers a clear understanding of the business expectations is a good practice in global sourcing. Therefore is also an answer. Incorrect answer:

Choice A- Supplier relationship management is essential but once the supplier has been selected. Choice C- Key Performance Indicator is not a part of good practices in global sourcing. Reference: LO-1.2; Page 70; Competitive advantage through global sourcing

NEW QUESTION # 38

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