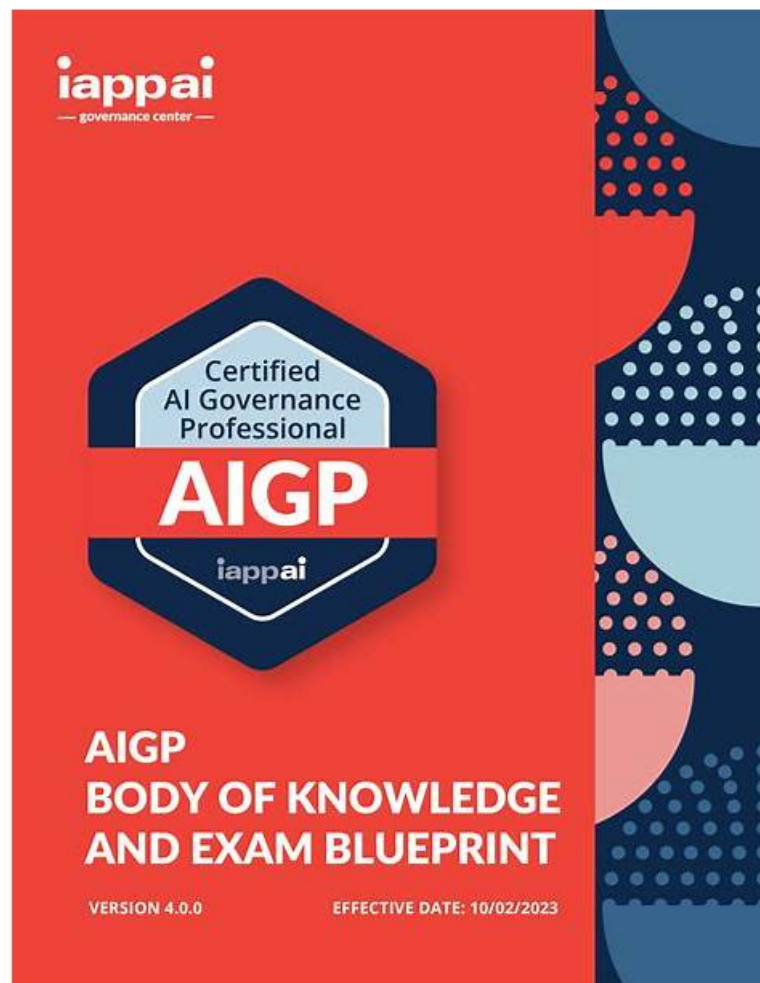


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IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.
Topic 2	<ul style="list-style-type: none">Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.
Topic 3	<ul style="list-style-type: none">Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.
Topic 4	<ul style="list-style-type: none">Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.

IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q33-Q38):

NEW QUESTION # 33

CASE STUDY

A company is considering the procurement of an AI system designed to enhance the security of IT infrastructure. The AI system analyzes how users type on their laptops, including typing speed, rhythm and pressure, to create a unique user profile. This data is then used to authenticate users and ensure that only authorized personnel can access sensitive resources.

All of the following are obligations of the company as a data controller when implementing its AI system EXCEPT?

- A. Allowing data subject access requests (DSARs)
- B. Ensuring that third-party processors are based in the same country as the company
- C. Conducting a Data Protection Impact Assessment (DPIA) / Privacy Impact Assessment (PIA)
- D. Implementing technical and organizational measures

Answer: B

Explanation:

The correct answer is A. While location of processors may have implications (such as for data transfers under GDPR), there is no absolute requirement that third-party processors be based in the same country.

From the AI Governance in Practice Report 2024 and ILT Guide:

"Data controllers are responsible for ensuring that third-party processors have adequate protections, but not necessarily that they reside in the same jurisdiction. What is required is legal safeguards (e.g., SCCs) for international transfers, not same-country location." In contrast, DPIAs, DSARs, and implementation of technical/organizational safeguards are explicitly required under GDPR and responsible AI frameworks.

NEW QUESTION # 34

During the first month when the company monitors the model for bias, it is most important to?

- A. Document the results of final decisions made by the human underwriter.
- B. Provide regular awareness training.
- C. Analyze the quality of the training and testing data.
- **D. Continue disparity testing.**

Answer: D

Explanation:

The initial deployment phase of an AI model is critical for post-deployment monitoring. When tracking for bias, the most important task is to continue disparity testing to determine whether outputs differ across protected groups.

From the AI Governance in Practice Report 2024:

"Performance monitoring protocols... should include mechanisms to assess and measure disparities in outcomes across different demographic groups." (p. 12)

"Bias may not be evident during pre-deployment testing but can emerge in real-world use." (p. 41)

- * B. Awareness training is helpful, but not a technical bias mitigation activity.
- * C. Analyzing training data is a pre-deployment task.
- * D. Documenting human decisions may support auditability but doesn't detect bias in AI outputs.

NEW QUESTION # 35

A company that deploys AI but is not currently a provider or developer intends to develop and market its own AI system. Which obligation would then be likely to apply?

- A. Implementing a risk management framework.
- B. Conducting an impact assessment including a post-deployment monitoring plan.
- **C. Developing documentation on the system, the potential risks and the safeguards applied.**
- D. Developing a reporting plan for any observed algorithmic discrimination or harms to individuals' rights and freedoms.

Answer: C

Explanation:

Once a company moves from being a deployer to also acting as a provider or developer, it assumes new obligations under regulations like the EU AI Act. One of the core requirements for providers is to produce and maintain technical documentation, including descriptions of the model, associated risks, and mitigation strategies.

From the AI Governance in Practice Report 2024:

"Providers of high-risk AI systems must draw up technical documentation demonstrating the system's conformity with the requirements... including potential risks and safeguards applied." (p. 34)

"This documentation must be available before placing the system on the market." (p. 35)

NEW QUESTION # 36

According to the GDPR's transparency principle, when an AI system processes personal data in automated decision-making, controllers are required to provide data subjects specific information on?

- A. The personal data used during processing, including inferences drawn by the AI system about the data.
- B. The data protection impact assessments carried out on the AI system and legal bases for processing.
- C. The contact details of the data protection officer and the data protection national authority.

- **D. The existence of automated decision-making and meaningful information on its logic and consequences.**

Answer: D

Explanation:

The GDPR's transparency principle requires that when personal data is processed for automated decision-making, including profiling, data subjects must be informed about the existence of such automated decision-making. Additionally, they must be provided with meaningful information about the logic involved, as well as the significance and the envisaged consequences of such processing for them. This requirement ensures that data subjects are fully aware of how their personal data is being used and the potential impacts, thereby promoting transparency and trust in the processing activities.

NEW QUESTION # 37

CASE STUDY

Please use the following answer the next question:

A local police department in the United States procured an AI system to monitor and analyze social media feeds, online marketplaces and other sources of public information to detect evidence of illegal activities (e.g., sale of drugs or stolen goods). The AI system works by surveilling the public sites in order to identify individuals that are likely to have committed a crime. It cross-references the individuals against data maintained by law enforcement and then assigns a percentage score of the likelihood of criminal activity based on certain factors like previous criminal history, location, time, race and gender.

The police department retained a third-party consultant assist in the procurement process, specifically to evaluate two finalists. Each of the vendors provided information about their system's accuracy rates, the diversity of their training data and how their system works. The consultant determined that the first vendor's system has a higher accuracy rate and based on this information, recommended this vendor to the police department.

The police department chose the first vendor and implemented its AI system. As part of the implementation, the department and consultant created a usage policy for the system, which includes training police officers on how the system works and how to incorporate it into their investigation process.

The police department has now been using the AI system for a year. An internal review has found that every time the system scored a likelihood of criminal activity at or above 90%, the police investigation subsequently confirmed that the individual had, in fact, committed a crime. Based on these results, the police department wants to forego investigations for cases where the AI system gives a score of at least 90% and proceed directly with an arrest.

Which AI risk would NOT have been identified during the procurement process based on the categories of information requested by the third-party consultant?

- A. Explainability.
- **B. Security.**
- C. Discrimination.
- D. Accuracy.

Answer: B

Explanation:

The AI risk that would not have been identified during the procurement process based on the categories of information requested by the third-party consultant is security. The consultant focused on accuracy rates, diversity of training data, and system functionality, which pertain to performance and fairness but do not directly address the security aspects of the AI system. Security risks involve ensuring that the system is protected against unauthorized access, data breaches, and other vulnerabilities that could compromise its integrity. Reference: AIGP Body of Knowledge on AI Security and Risk Management.

NEW QUESTION # 38

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