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CFA Institute CFA Level I Chartered Financial Analyst Sample Questions (Q1590-Q1595):

NEW QUESTION # 1590

You are an industry analyst for an investment firm. In your spare time, you form an investment club with former college friends. You agree to manage a portfolio of commingled funds for a fee and a performance incentive and intend to use information gained at your firm to manage the portfolio. You inform your supervisor of this venture in writing and he approves.

- A. You have violated the Standard because you intend to use information obtained at work.
- B. You have not violated the Standard because you informed your supervisor in writing and your supervisor approved.
- C. You have violated the Standard because it precludes all activities competing with your employer.

Answer: A

NEW QUESTION # 1591

Given equal circumstances, which inventory method is the best to use for tax purposes (assume prices are rising)?

- A. average cost
- B. LIFO
- C. FIFO

Answer: B

Explanation:

LIFO reduces taxable income and thus reduces taxes.

NEW QUESTION # 1592

Which of the following is/are source(s) of economic inefficiency that arise under monopoly?

- I). Since legally protected monopolists can often earn economic profit, government protection of monopoly producers will encourage rent-seeking activities.
- II). A monopolist will fail to expand output to the level where the consumer's valuation of the marginal unit equals the producer's cost of producing the unit.
- III). Monopoly reduces the ability of consumers to discipline the seller of a product.

- A. I, II and III.
- B. I and II.
- C. II and III.

Answer: A

Explanation:

Monopolies are inefficient because of barriers to entry which imply that monopolists are not subject to the discipline of competition. Since monopolists produce where $P > MC$ the monopolist does not expand output to the point where the consumer's valuation of the marginal unit equals the producer's cost of the unit. Finally, since there are profits available under monopoly organization, producers will use their resources to lobby politicians to allow them to operate as monopolies.

NEW QUESTION # 1593

Of the following statements:

- I). The level of significance of a hypothesis test is the probability of rejecting the null hypothesis when it is actually true.
- II). Type II error is failing to reject the null hypothesis when it is actually false.

- A. Only I is true
- **B. I and II are true**
- C. Only II is true

Answer: B

NEW QUESTION # 1594

Which of the following statements is incorrect concerning the CAPM?

- A. The Security Market Line is the graphic depiction of the CAPM.
- B. A diagram of the CAPM has beta on the horizontal axis.
- **C. It is intended to allow investors to calculate the realized rate of return on a security.**

Answer: C

Explanation:

The CAPM is intended to allow investors to calculate the required or appropriate expected rate of return on a security, not a realized rate of return. Realized returns are calculated, by definition, after the fact while the CAPM is used before the fact.

NEW QUESTION # 1595

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