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The ISACA Advanced in AI Audit (AAIA) certification is a valuable credential that every ISACA professional should earn it. The ISACA AAIA certification exam offers a great opportunity for beginners and experienced professionals to demonstrate their expertise. With the ISACA Advanced in AI Audit (AAIA) certification exam everyone can upgrade their skills and knowledge. There are other several benefits that the AAIA Exam holders can achieve after the success of the ISACA Advanced in AI Audit (AAIA) certification exam. However, you should keep in mind to pass the ISACA AAIA certification exam is not an easy task. It is a challenging job.

ISACA AAIA Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">• AI GOVERNANCE AND RISK: It encompasses understanding different AI models and their life cycles, guiding AI strategy, defining roles and policies, managing AI-related risks, overseeing data privacy and governance, and ensuring adherence to ethical practices, standards, and regulations.
Topic 2	<ul style="list-style-type: none">• AI Operations: It covers managing AI-specific data needs—including collection, quality, security, and classification—applying development lifecycle methodologies with privacy and security by design, change and incident management, testing AI solutions, identifying AI-related threats and vulnerabilities, and supervising AI deployments.
Topic 3	<ul style="list-style-type: none">• Auditing Tools and Techniques: This section of the exam measures the skills of AI auditors and centers on auditing AI systems using appropriate tools and methods. It includes audit planning and design, sampling methodologies specific to AI, collecting audit evidence, using data analytics for quality assurance, and producing AI audit outputs and reports, including follow-up and quality control measures.

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ISACA Advanced in AI Audit Sample Questions (Q43-Q48):

NEW QUESTION # 43

An organization uses an AI image generation platform to create promotional materials. An IS auditor identifies that the platform includes copyrighted images in its training data. Which of the following is the auditor's BEST recommendation to address this issue?

- A. Use a platform that certifies the provenance and licensing of its training data.
- B. Suspend the use of the platform until the training data is sanitized.
- C. Implement a manual review process to ensure no copyrighted images are used in generated outputs.
- D. Label all AI-generated images to disclaim the possibility of third-party content.

Answer: A

Explanation:

Ensuring that AI tools are trained on properly licensed and documented data sets is critical to avoiding copyright infringement and legal exposure. The AAIA™ Study Guide emphasizes using platforms with certified and traceable training data to meet ethical and legal standards.

"Organizations must verify the provenance and licensing of data used to train AI systems. Platforms that certify data sources reduce the risk of using protected intellectual property without consent." Manual review (A) is resource-intensive and may not detect embedded copyright violations. Labeling (C) is not sufficient for legal protection. Suspension (D) may be excessive without first attempting remediation.

Thus, B is the most strategic and effective recommendation.

Reference: ISACA Advanced in AI Audit™ (AAIA™) Study Guide, Section: "Ethical and Legal Considerations in AI," Subsection: "Intellectual Property and Data Licensing in AI Systems"

NEW QUESTION # 44

When reviewing contracts or other lengthy documentation in the planning phase, which of the following tools would BEST extract relevant information?

- A. Robotic process automation (RPA)
- B. Natural language processing
- C. Autoregressive sequencing model
- D. Predictive analytics

Answer: B

Explanation:

Natural Language Processing (NLP) enables systems to understand, summarize, and extract relevant insights from unstructured text data such as contracts, emails, or reports. The AAIA™ Study Guide recognizes NLP as the optimal tool for document review during audit planning or risk assessment phases.

"NLP techniques can efficiently process large volumes of documentation, highlight key clauses, and identify inconsistencies or risk indicators. This enhances audit productivity and thoroughness." While RPA (A) automates repetitive tasks, it doesn't interpret content. Predictive analytics (C) forecasts trends, and autoregressive models (B) generate sequences, not summarize documents. Reference: ISACA Advanced in AI Audit™ (AAIA™) Study Guide, Section: "AI in Audit Processes," Subsection: "NLP Applications in Audit Planning and Risk Identification"

NEW QUESTION # 45

What should be done FIRST when an AI-powered chatbot starts giving incorrect financial advice after a backend API change?

- A. Push a patch to improve chatbot response speed.
- B. Retrain the model with historical and updated data.

- C. Add more rules to override the model's output.
- **D. Suspend the chatbot and assess the impact.**

Answer: D

Explanation:

When an AI system begins giving incorrect financial advice, the FIRST step is to suspend the chatbot (D) to prevent ongoing harm. AAIA emphasizes protecting users from unsafe decisions as the top priority in AI operations.

Suspension allows the organization to:

- * Stop distribution of harmful advice
- * Assess impact and identify faulty API dependencies
- * Prevent regulatory or customer harm
- * Conduct root-cause analysis safely

Retraining (C) is corrective but must occur after assessment. Adding rules (B) risks masking deeper issues.

Speed patches (A) are irrelevant to correctness.

References:

AAIA Domain 2: Incident Management, Safety Controls, and Output Validation

NEW QUESTION # 46

Which of the following is the GREATEST risk associated with deploying an AI system with ineffective anomaly detection?

- **A. Undetected data poisoning that impacts AI decision quality**
- B. Delayed incident response to AI model drift
- C. Failure to comply with AI reporting standards
- D. Inconsistent AI system configuration management

Answer: A

Explanation:

Ineffective anomaly detection means the system fails to recognize abnormal patterns in data or model behavior. The GREATEST risk is undetected data poisoning (B), which jeopardizes data integrity and leads to corrupted, biased, or unsafe AI decisions. AAIA emphasizes that anomaly detection is critical for identifying tampering, data drift, or malicious manipulation.

Configuration inconsistencies (A) are operational issues but far less damaging. Slower drift response (C) can cause performance degradation but is not as severe as undetected poisoning. Reporting standard failures (D) are compliance risks-not as critical as compromised decision integrity.

Thus, undetected poisoning poses the highest risk due to its direct impact on model trustworthiness and safety.

References:

ISACA, AAIA Exam Content Outline- Domain 2: AI Operations; Threats and Vulnerabilities in AI.

NEW QUESTION # 47

Which of the following testing techniques would BEST validate whether an organization's data governance program effectively ensures data quality and integrity for AI model training and deployment?

- A. Performing a business impact analysis (BIA) to assess the consequences of AI model failure
- B. Conducting a penetration test to identify vulnerabilities in the model
- C. Reviewing the organization's AI software development life cycle documentation
- **D. Assessing data lineage to verify the traceability of data sources**

Answer: D

Explanation:

Assessing data lineage provides insight into the origin, flow, and transformation of data across its lifecycle, which is crucial for validating data governance. The AAIA™ Study Guide states that data lineage is essential to ensure the accuracy, consistency, and trustworthiness of data used in training AI models.

"Traceability of data sources is a core tenet of effective data governance. Data lineage validation ensures data quality, prevents unauthorized modifications, and maintains auditability." BIA (A) focuses on impact, not data quality. Reviewing SDLC (B) is broad and may not highlight data-specific risks. Penetration testing (C) addresses security, not governance. Therefore, D is the best method.

Reference: ISACA Advanced in AI Audit™ (AAIA™) Study Guide, Section: "AI Governance and Risk Management," Subsection:

NEW QUESTION # 48

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