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CIPS L5M5 Exam Syllabus Topics:

Topic	Details

Topic 1	<ul style="list-style-type: none"> • Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.
Topic 2	<ul style="list-style-type: none"> • Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.
Topic 3	<ul style="list-style-type: none"> • Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.

CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q12-Q17):

NEW QUESTION # 12

Smith Industries, a production company, plans to hire an external organization to audit its operations. Which TWO qualifications should be required when selecting an independent third-party auditor?

- A. Be approved by a certified body
- B. Have strong communication skills
- C. At least 5 years of experience
- D. Appropriate professional qualification

Answer: A

Explanation:

Choice B - Approval or accreditation by a recognized certification body ensures that the auditor operates according to established professional and ethical standards. This is critical for maintaining trust and the reliability of the audit process.

Choice D- A formal qualification demonstrates the auditor's foundational knowledge and competency in auditing principles and methodologies. This is mandatory as it establishes the credibility and technical ability of the auditor.

Incorrect answer:

Choice A -Experience indicates practical exposure to audits, which can contribute to the auditor's ability to handle complex or unique scenarios. While valuable, this is not always mandatory, as less experienced auditors can still possess the necessary skills if well-qualified.

Choice C -Strong communication skills enable the auditor to clearly convey findings, recommendations, and areas for improvement to the organization. While important, this is often considered a soft skill rather than a mandatory criterion.

Reference:

LO-2.3; Page 210; Sourcing third parties for auditing services

NEW QUESTION # 13

Progoti Motors, a Bangladeshi company, has purchased a new fleet of vehicles from Japan. Which TWO of the following would be

included in the 'Total Landed Costs' of this purchase?

- A. Import duties
- B. Transportation costs
- C. Disposal costs
- D. Research and development costs
- E. Recycling costs

Answer: A,B

Explanation:

According to the study guide, total landed cost includes the original product price, transportation costs, fees, customs and duty charges, currency exchange rates, and handling costs. Therefore, Choices B and C are correct. Landed costs typically do not include expenses unrelated to the production and sale of a single item or costs that are difficult to determine precisely. Choices A, D, and E fall into this category.

Reference:

LO-1.4; Page 107; Demand Management and the need for urgent orders

NEW QUESTION # 14

What is the primary purpose of a supplier code of conduct?

- A. To outline the payment terms and delivery schedules for suppliers
- B. To define the penalties for non-compliance in supplier contracts
- C. To establish the expectations and standards for supplier behavior and practices
- D. To ensure suppliers take full responsibility for product quality

Answer: C

Explanation:

Choice B- A supplier code of conduct sets clear guidelines on ethical, social, environmental, and legal responsibilities that suppliers are expected to follow. Therefore B is the correct answer.

Incorrect answer:

Choice A- This is not the primary purpose of a supplier code of conduct. While the code may mention consequences for non-compliance, its primary focus is on establishing ethical and operational standards rather than detailing penalties.

Choice C- Payment terms and delivery schedules are typically included in contractual agreements, not in a supplier code of conduct. The code focuses more on ethical and sustainability standards rather than logistical or financial details.

Choice D- While product quality may be a part of a supplier's responsibilities, it is usually addressed in specific quality agreements or technical specifications rather than the supplier code of conduct. The code primarily deals with broader principles such as ethical labor practices, environmental impact, and compliance with laws.

Reference:

LO-2.2; Page 184; Monitoring Contract Performance

NEW QUESTION # 15

A supplier has contracted to deliver a customized piece of machinery to a manufacturing company under a "payment following performance" clause. After delivery, the buyer delays payment, citing the need for additional testing to verify compliance with the agreed specifications.

What is the greatest risk the supplier faces under this "payment following performance" system in this scenario?

- A. The buyer might reject the machinery without valid reasons
- B. The buyer might demand additional goods or services at no extra cost
- C. The supplier might receive full payment before addressing the buyer's concerns
- D. The supplier might fail to deliver on time and face penalties

Answer: A

Explanation:

Choice A- Under a "payment following performance" system, the supplier bears the risk of non-payment or delays if the buyer disputes the performance, even without valid reasons.

Incorrect answer:

Choice B- Payment before addressing concerns contradicts the "payment following performance" system.

Choice C- Demanding additional goods or services is not directly tied to the payment terms.

Choice D- Delivery timing issues relate to performance obligations, not payment risk.

Reference:

LO-2.2; Page 189; Monitoring Contract Performance

NEW QUESTION # 16

How do Fair Trade organizations protect raw material producers from economic hardship?

- A. They ensure the final products are labeled as Fair Trade
- B. They establish standards for working conditions
- C. They contribute money to communal funds for local investment
- **D. They set a minimum price for purchasing the raw materials**

Answer: D

Explanation:

Choice B- Fair Trade ensures producers receive a guaranteed minimum price or local living wage for their goods, providing financial security and protection against market fluctuations. This is the correct answer.

Incorrect answer:

Choice A- This is important for community development but does not directly address the immediate economic stability of producers.

Choice C- Although improving working conditions is a key component of Fair Trade, it does not directly protect producers from economic hardships related to pricing or income stability.

Choice D- Labeling products as Fair Trade can help attract ethical consumers, but it doesn't directly protect producers from unstable economic conditions.

Reference:

LO-3.3; Page 295; The World Fair Trade Organization (WFTO) and its principles and Charter of Fair Trade and implications for procurement and supply

NEW QUESTION # 17

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