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IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q14-Q19):

NEW QUESTION # 14

CASE STUDY

Please use the following to answer the next question:

A leading insurance provider that offers a range of coverage options to individuals has decided to utilize AI to streamline and improve its customer acquisition and underwriting process, including the accuracy and efficiency of pricing policies. The company has engaged a cloud provider to utilize and fine-tune its pre-trained, general purpose large language model ("LLM").

The company intends to use its historical customer data - including applications, policies and claims - and proprietary pricing and risk strategies to provide an initial qualification assessment of potential customers, which would then be routed to a human underwriter for final review.

The company and the cloud provider have completed training and testing the LLM, performed a readiness assessment, and made the decision to deploy the LLM into production. They have designated an internal compliance team to monitor the model during the first month, specifically to evaluate the accuracy, fairness and reliability of its output.

After the first month in production, the company realizes that the LLM declines a higher percentage of women's applications.

During the first month when the company monitors the model for bias, it is most important to:

- A. Document the results of final decisions made by the human underwriter.
- B. Analyze the quality of the training and testing data.
- C. Provide regular awareness training.
- **D. Continue disparity testing.**

Answer: D

Explanation:

During initial production monitoring, the most important action is to continue disparity testing to detect and quantify whether the model is producing biased outcomes across protected groups.

This directly aligns with the goal of evaluating fairness, accuracy, and reliability, enabling the organization to identify and respond to discriminatory patterns early.

NEW QUESTION # 15

Which risk management framework/guide/standard focuses on value-based engineering methodology?

- A. ISO/IEC Guide 51 (Safety).
- **B. IEEE 7000-2021 Standard Model Process for Addressing Ethical Concerns during System Design.**
- C. Council of Europe Human Rights, Democracy, and the Rule of Law Assurance Framework (HUDERIA) for AI Systems.
- D. ISO 31000 Guidelines (Risk Management).

Answer: B

Explanation:

IEEE 7000-2021 focuses on value-based engineering by integrating ethical concerns into system design processes.

NEW QUESTION # 16

The OECD's Ethical AI Governance Framework is a self-regulation model that proposes to prevent societal harms by?

- A. Establishing explainability criteria to responsibly source and use data to train AI systems.
- **B. Balancing AI innovation with ethical considerations.**
- C. Focusing on AI technical design and post-deployment monitoring.
- D. Defining requirements specific to each industry sector and high-risk AI domain.

Answer: B

Explanation:

The OECD's Ethical AI Governance Framework aims to ensure that AI development and deployment are carried out ethically while

fostering innovation. The framework includes principles like transparency, accountability, and human rights protections to prevent societal harm. It does not focus solely on technical design or post-deployment monitoring (C), nor does it establish industry-specific requirements (B). While explainability is important, the primary goal is to balance innovation with ethical considerations (D).

NEW QUESTION # 17

A company initially intended to use a large data set containing personal information to train an AI model. After consideration, the company determined that it can derive enough value from the data set without any personal information and permanently obfuscated all personal data elements before training the model. This is an example of applying which privacy-enhancing technique (PET)?

- A. Federated learning.
- B. Pseudonymization.
- C. Differential privacy.
- **D. Anonymization.**

Answer: D

Explanation:

Anonymization permanently removes personal identifiers from data, preventing re-identification and enhancing privacy.

NEW QUESTION # 18

CASE STUDY

Please use the following to answer the next question:

A mid-size US healthcare network has decided to develop an AI solution to detect a type of cancer that is most likely to arise in adults. Specifically, the healthcare network intends to create a recognition algorithm that will perform an initial review of all imaging and then route records to a radiologist for secondary review pursuant to agreed-upon criteria (e.g., a confidence score below a threshold).

To date, the healthcare network has:

- Defined its AI ethical principles.
- Conducted discovery to identify the intended uses and success criteria for the system.
- Established an AI risk committee.
- Assembled a cross-functional team with clear roles and responsibilities.
- Created policies and procedures to document standards, workflows, timelines and risk thresholds during the project.

The healthcare network intends to retain a cloud provider to host the solution. It also intends to retain a large consulting firm to supplement its small data science team and help develop the algorithm using the healthcare network's existing data and de-identified data that is licensed from a large US clinical research partner.

Which of the following steps can best mitigate the possibility of discrimination prior to training and testing the AI solution?

- A. Procure more data from clinical research partners.
- B. Create a bias bounty program.
- **C. Perform an impact assessment.**
- D. Engage a third party to perform an audit.

Answer: C

Explanation:

Performing an impact assessment prior to training and testing helps identify potential discrimination risks early, enabling mitigation strategies before deployment.

NEW QUESTION # 19

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