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CIPS L5M5 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.
Topic 2	<ul style="list-style-type: none">Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.

Topic 3	<ul style="list-style-type: none"> Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA8000. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.
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CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q50-Q55):

NEW QUESTION # 50

A procurement team runs a tender for new office furniture, prioritising cost. What is the main risk of this approach?

- A. Receiving too many bids
- B. Rushed tender process
- C. Suppliers may sacrifice sustainability agenda**
- D. Not receiving any bids

Answer: C

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

When cost is the overriding priority, suppliers may compromise on sustainability. The L5M5 study guide explains that cheap materials may be unsustainably sourced (e.g., timber from non-certified forests). This is particularly highlighted in the old syllabus under LO 3.4, which noted risks when cost or speed is prioritised over ESG. Procurement professionals must balance cost, quality, speed, and sustainability to avoid reputational damage and unethical practices. Focusing solely on cost undermines long-term ESG commitments.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, section on sustainability vs cost priorities

NEW QUESTION # 51

The Modern Slavery Act (2015) ensures supply chains are free from forced and child labour. Which of the following is a limitation of the Act?

- A. It only applies to companies with a large turnover**
- B. It doesn't apply if supply chains are outside the UK
- C. It is optional to comply with
- D. There are no repercussions for non-compliance

Answer: A

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The Modern Slavery Act (2015) requires companies with a turnover above £36 million to publish a slavery and human trafficking statement. The L5M5 study guide (p.45) highlights this as a limitation because it excludes smaller businesses, even though they may also operate complex supply chains. The Act applies regardless of where supply chains are located (so A is incorrect), and

compliance is mandatory (C is incorrect). Non-compliance can lead to civil proceedings (so D is incorrect). Procurement professionals must be aware of this threshold and ensure compliance in larger organisations.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.45

NEW QUESTION # 52

Marge is reading a government-issued statement which helps a company decide which course of action to take but is not legally binding. What type of document is Marge reading?

- A. Directive
- **B. Guideline**
- C. Statute
- D. Regulation

Answer: B

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The L5M5 study guide (p.185) explains the distinction between regulatory documents. Guidelines are advisory documents providing best practice but are not legally binding. Regulations and statutes (A and C) are legally binding, and directives (B) are binding once incorporated into national legislation. Procurement professionals must be able to differentiate between binding legislation and advisory guidelines to ensure compliance. While guidelines help shape ethical practices, failure to follow them usually does not carry legal penalties.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.185

NEW QUESTION # 53

GlobalPharm Ltd, a pharmaceutical company, has engaged a third-party auditor to assess its compliance with regulatory standards. During the audit, the company identifies potential risks. What is the most significant challenge GlobalPharm Ltd might face when working with a third-party auditor?

- A. Reduced decision-making authority within management
- B. Loss of operational control over manufacturing processes
- C. Lack of independence in the auditor's recommendations
- **D. Reputational damage if non-compliance issues are exposed**

Answer: D

Explanation:

Choice B- Reputational damage is a critical concern, as non-compliance findings could harm stakeholder trust, regulatory relationships, and the company's public image.

Incorrect answer:

Choice A- The company retains control over operations; auditors only provide assessments.

Choice C- Audits do not reduce management's decision-making authority.

Choice D- Certified auditors are expected to maintain independence.

Reference:

LO-2.3; Page 217-218; Challenges with third party auditors

NEW QUESTION # 54

Which of the following best defines a cartel, and which party in the supply chain is most impacted by it?

- A. An informal agreement among suppliers to lower costs; primarily affects retailers
- B. A coalition of businesses to increase competition; primarily affects shareholders
- C. A collaboration between manufacturers to innovate; primarily affects suppliers
- **D. A formal agreement between competing firms to set prices and limit production; primarily affects consumers**

Answer: D

Explanation:

Choice A- This accurately describes a cartel. Firms collude to manipulate the market, resulting in higher prices and limited availability

of goods. Consumers are directly impacted as they pay more and have fewer choices. Thus Choice A is the correct answer.

Incorrect answer:

Choice B- This describes a scenario more akin to cooperative pricing or collusion among suppliers, but it doesn't capture the essence of a cartel. While it could affect retailers by changing supply costs, it misses the focus on competition and pricing control typical of cartels.

Choice C- This option suggests a positive collaborative effort aimed at innovation, which is not characteristic of cartels. Cartels are generally about restricting competition rather than fostering innovation. Suppliers might be indirectly affected, but it doesn't align with cartel behavior.

Choice D- This is the opposite of what a cartel does. Cartels reduce competition by collaborating to control prices and production. While shareholders may be affected by cartel activities, the primary impact is felt by consumers and the market as a whole.

Reference:

LO-1.1; Page 43; Issues impacting ethical and sustainable procurement and supply: modern slavery, bribery, corruption, fraud and human rights infringements

NEW QUESTION # 55

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