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ISACA AAISM Exam Syllabus Topics:

Topic	Details
Topic 1	• AI Technologies and Controls: This section of the exam measures the expertise of AI Security Architects and assesses knowledge in designing secure AI architecture and controls. It addresses privacy, ethical, and trust concerns, data management controls, monitoring mechanisms, and security control implementation tailored to AI systems.
Topic 2	• AI Risk Management: This section of the exam measures the skills of AI Risk Managers and covers assessing enterprise threats, vulnerabilities, and supply chain risk associated with AI adoption, including risk treatment plans and vendor oversight.
Topic 3	• AI Governance and Program Management: This section of the exam measures the abilities of AI Security Governance Professionals and focuses on advising stakeholders in implementing AI security through governance frameworks, policy creation, data lifecycle management, program development, and incident response protocols.

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ISACA Advanced in AI Security Management (AAISM) Exam Sample Questions (Q144-Q149):

NEW QUESTION # 144
Which of the following BEST strengthens information security controls around the use of generative AI applications?

- A. Ensuring controls exceed industry benchmarks
- B. Monitoring AI outputs against policy
- C. Implementing a kill switch
- D. Validating AI model training data

Answer: B

Explanation:
AAISM identifies continuous monitoring of AI outputs-especially generative outputs-as the most effective security control, ensuring that violations, unsafe responses, data leakage, and policy-breaking behavior are detected and corrected.
A kill switch (C) is a last-resort measure, not a primary control. Exceeding benchmarks (A) does not ensure relevance. Validating training data (D) is important but insufficient for generative output risks.
References: AAISM Study Guide - Generative AI Security Controls; Output Monitoring and Policy Alignment.

NEW QUESTION # 145
When integrating AI for innovation, which of the following can BEST help an organization manage security risk?

- A. Re-evaluating the risk appetite
- B. Adopting a phased approach
- C. Evaluating compliance requirements
- D. Seeking third-party advice

Answer: B

Explanation:
AAISM emphasizes that when introducing innovative AI systems, organizations reduce security and compliance risk by following a phased adoption approach. This allows incremental deployment, controlled testing, and gradual scaling while monitoring risks in real time. Re-evaluating risk appetite and evaluating compliance are important governance steps but do not directly mitigate risks during implementation. Seeking third-party advice can add expertise but does not provide the structured control that phased integration offers.
The most effective risk management approach for AI innovation is to adopt a phased rollout strategy.
References:
AAISM Exam Content Outline - AI Risk Management (Innovation and Risk Control) AI Security Management Study Guide - Phased Implementation Strategies

NEW QUESTION # 146
When evaluating a third-party AI service provider, which of the following master services agreement provisions is MOST critical for managing security risk?

- A. Prohibiting the use of customer data for model training
- B. Guaranteeing unlimited model retraining requests

- C. Sharing real-time log information
- D. Restricting query volume thresholds

Answer: A

Explanation:
The most material contractual control for reducing security and privacy risk in outsourced AI services is a data-use restriction that prohibits the provider from using customer data for model training (and from derivative model improvements) unless explicitly authorized. This prevents unintended secondary processing, model inversion exposure of proprietary data, unauthorized profiling, and downstream data proliferation across multi-tenant systems. AAISM positions third-party risk controls to prioritize data minimization, purpose limitation, confidentiality, and downstream controls; among common MSA provisions, data-use limitations directly constrain the provider's technical and organizational handling of sensitive inputs, making it the highest-impact risk-reducing clause. Query throttling (B) and logging (C) are useful operational controls but are secondary to legal/processing authority. Unlimited retraining (D) increases attack surface and cost without addressing the core risk of misuse of customer data.
References: AI Security Management (AAISM) Body of Knowledge - Third-Party & Supply-Chain Governance; Contractual Controls for AI Services; Data Minimization and Purpose Limitation. AAISM Study Guide - Procurement & MSA/DPA Clauses for AI; Provider Model Training and Data-Use Restrictions; Privacy & Confidentiality Safeguards in Outsourced AI.

NEW QUESTION # 147
Which of the following would BEST protect trade secrets related to AI technologies during their life cycle?

- A. Enforcing trademark rights in AI systems
- B. Restricting access to sensitive data
- C. Introducing watermarks when generating AI output
- D. Patenting AI algorithms along with data sets

Answer: B

Explanation:
Restricting access to sensitive data and artifacts (e.g., training data, feature stores, model weights, prompts, system designs) using least-privilege, segregation, encryption, and monitoring is the most effective way to protect trade secrets throughout the AI lifecycle. Patents require public disclosure, trademarks protect branding (not secrets), and output watermarks help provenance/abuse deterrence but do not secure underlying proprietary know-how.
References: AI Security Management (AAISM) Body of Knowledge: Information Protection for AI- Access Control, Segmentation, and Secrets Management; AAISM Study Guide: Lifecycle Security of AI Artifacts and Trade-Secret Safeguards.

NEW QUESTION # 148
Which of the following is the BEST way to reduce the risk of misuse of an AI agent that has access to critical data and systems?

- A. Allow users to configure the agent for productivity
- B. Prohibit users from manipulating agent behavior
- C. Limit human review of AI decisions
- D. Validate agent compliance with output restrictions

Answer: D

Explanation:
AAISM frameworks highlight output-based controls, including output filtering, restriction validation, and policy-aligned guardrails as primary defenses for AI agents with high privileges. Ensuring the agent does not output unauthorized instructions or sensitive data directly mitigates misuse.
Allowing user configuration (B) increases risk. Prohibiting manipulation entirely (C) is impractical. Reducing human oversight (D) increases system abuse potential.
References: AAISM Study Guide - AI Agents, Output Controls, and Guardrails.

NEW QUESTION # 149
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