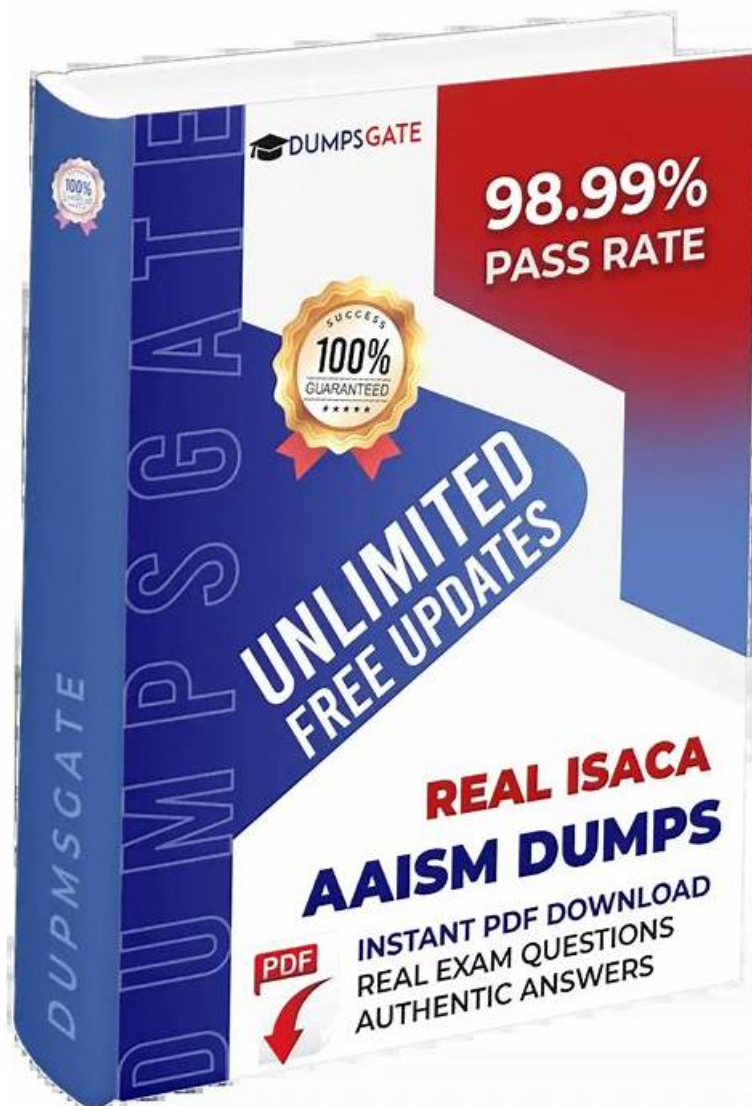


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ISACA Advanced in AI Security Management (AAISM) Exam Sample Questions (Q98-Q103):

NEW QUESTION # 98

During the creation of a new large language model (LLM), an organization procured training data from multiple sources. Which of the following is MOST likely to address the CISO's security and privacy concerns?

- A. Data classification
- B. Data minimization
- C. Data augmentation
- D. Data discovery

Answer: B

Explanation:

AAISM guidance highlights data minimization as a critical practice for addressing both security and privacy concerns. By ensuring that only the minimum necessary data is collected and retained, the organization reduces the risk of sensitive information being exposed or misused during training. Data augmentation expands data but does not mitigate privacy risk. Classification organizes data but does not limit exposure.

Data discovery helps locate sources but does not directly reduce risks. The control that directly aligns with privacy-by-design principles is data minimization.

References:

AAISM Exam Content Outline - AI Risk Management (Data Privacy and Minimization) AI Security Management Study Guide - Privacy Safeguards in AI Training

NEW QUESTION # 99

Which of the following controls BEST mitigates the inherent limitations of generative AI models?

- A. Classifying and labeling AI systems
- B. Adopting AI-specific regulations
- C. Ensuring human oversight
- D. Reverse engineering the models

Answer: C

Explanation:

The AAISM governance framework emphasizes that the inherent limitations of generative AI—including hallucinations, bias, and unpredictability—are best mitigated by human oversight. Human-in-the-loop review ensures that outputs are validated before being used in sensitive or high-risk contexts. Regulatory adoption, system classification, and reverse engineering all play supporting roles but do not directly safeguard against the model's inherent unpredictability. Governance best practices highlight human oversight as the critical safeguard.

References:

AAISM Exam Content Outline - AI Governance and Program Management (Human Oversight and Accountability) AI Security Management Study Guide - Mitigating Generative AI Limitations

NEW QUESTION # 100

An organization has discovered that employees have started regularly utilizing open-source generative AI without formal guidance. Which of the following should be the CISO's GREATEST concern?

- A. Model hallucinations
- B. Lack of monitoring

- C. Policy violations
- D. Data leakage

Answer: D

Explanation:

The greatest immediate risk from unsanctioned use of public or open-source generative AI tools is data leakage-employees may paste confidential or regulated information into third-party systems, resulting in loss of confidentiality, regulatory exposure, and loss of intellectual property. AAISM emphasizes that when AI use occurs outside approved channels, the top control priority is preventing exfiltration of sensitive data via prompts, attachments, and context sharing. Monitoring and policy are necessary enablers, but leakage is the highest-impact failure mode in the short term; hallucinations primarily affect accuracy, not confidentiality.

References:* AI Security Management™ (AAISM) Body of Knowledge: Generative AI governance; human- in-the-loop risks; data loss and exfiltration vectors in prompts; sanctioned vs. unsanctioned AI usage.* AI Security Management™ Study Guide: Immediate risk triage for shadow AI; DLP and input-control safeguards; confidentiality-first posture for generative AI adoption.

NEW QUESTION # 101

Which of the following BEST represents a combination of quantitative and qualitative metrics that can be used to comprehensively evaluate AI transparency?

- A. AI ethical impact and user feedback metrics
- B. AI system availability and downtime metrics
- C. AI model complexity and accuracy metrics
- D. AI explainability reports and bias metrics

Answer: A

Explanation:

The AAISM governance framework emphasizes that AI transparency cannot be evaluated using only technical statistics; it requires a combination of quantitative and qualitative metrics. The best pairing is ethical impact assessments (qualitative) with user feedback metrics (quantitative and perception-based). Availability and accuracy metrics measure performance, not transparency. Explainability reports and bias metrics are useful but still technical and limited. Comprehensive evaluation of transparency requires consideration of ethical dimensions and stakeholder perspectives, which is achieved through ethical impact analysis and user feedback.

References:

AAISM Study Guide - AI Governance and Program Management (Transparency and Accountability) ISACA AI Security Management - Measuring Ethical AI Practices

NEW QUESTION # 102

After deployment, an AI model's output begins to drift outside of the expected range. Which of the following is the development team's BEST course of action?

- A. Return to an earlier phase in the AI life cycle
- B. Adjust the hyperparameters of the AI model
- C. Take the AI model offline
- D. Create an emergency change request to correct the issue

Answer: A

Explanation:

AAISM emphasizes that when model drift occurs, the best response is not a quick fix but rather to revisit an earlier phase of the AI life cycle to address data quality, retraining, or evaluation processes. Simply taking the model offline halts functionality without resolution, while adjusting hyperparameters or issuing emergency changes treats the symptom rather than the root cause. Proper governance requires returning to the design or training phases to re-establish stability, accuracy, and compliance of the model. Thus, the correct approach is to return to an earlier AI lifecycle phase.

References:

AAISM Exam Content Outline - AI Risk Management (Model Drift and Lifecycle Responses) AI Security Management Study Guide - Continuous Improvement in AI Lifecycle

NEW QUESTION # 103

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