

# CSI CSC2 100% Accuracy | Interactive CSC2 Practice Exam



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## CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Portfolio Analysis: This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.</li></ul>

Topic 5	<ul style="list-style-type: none"> <li>Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading; equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.</li> </ul>
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### CSI Canadian Securities Course Exam2 Sample Questions (Q18-Q23):

#### NEW QUESTION # 18

TRU Fund portfolio manager decided to deviate from the portfolio long-term target asset mix in order to capitalize on investment opportunities in the domestic bond market. What type of asset allocation is the TRU Fund portfolio manager using?

- A. Dynamic.
- B. Timing.
- C. Strategic.
- D. Tactical.

**Answer: D**

#### NEW QUESTION # 19

An investment analyst at a bank is reviewing the high, low and closing prices of a financial services stock. What type of analysis is this analyst conducting?

- A. Quantitative analysis
- B. Chart analysis
- C. Moving average analysis
- D. Cycle analysis

**Answer: B**

#### NEW QUESTION # 20

The XYZL mutual fund distributes realized capital gains of \$1.50. What is the effect of this distribution?

- A. Each investor will have to report taxable income of \$1.50 per share.
- B. Investors will reduce the adjusted cost base of their holdings in the fund.
- C. The net asset value per share will drop \$1.50 as a result of the distribution.
- D. The mutual fund will have to declare taxable income of \$0.75 per share.

**Answer: A**

#### NEW QUESTION # 21

What does a simplified prospectus typically allow a fund company to do?

- A. Quality one or more mutual funds for sale.
- B. Provide up-to-date holding information to the public.
- C. Replace the financial reporting documents.
- D. To qualify a real property funds for sale.

**Answer: A**

Explanation:

A simplified prospectus is a streamlined legal document that allows fund companies to qualify mutual funds for sale under National Instruments 81-101. It provides essential information about a fund's investment objectives, risks, fees, and performance in a concise and accessible format, enabling investors to make informed decisions. This document complements the more detailed financial disclosures and annual reports rather than replacing them.

Simplified prospectuses apply specifically to mutual funds and are not used for real property funds or to provide detailed holding updates.

\* References:

\* CSC Volume 2, Chapter 17: Mutual Funds - The Simplified Prospectus.

\* CSC Volume 2, Chapter 23: Structured Products - Legal and Regulatory Frameworks.

## NEW QUESTION # 22

Which type of trader specializes in managing block trades on behalf of institution clients?

- A. Market maker
- B. Liability trader
- C. Agency trader
- D. Responsible designated trader.

**Answer: C**

Explanation:

An agency trader specializes in executing large block trades for institutional clients without taking ownership of the securities. Their role is critical in facilitating liquidity and minimizing market impact during the execution of trades.

\* Managing Block Trades:

\* Agency traders handle large transactions on behalf of institutions like pension funds or mutual funds, ensuring the trades are completed efficiently.

\* They do not use the firm's capital; instead, they act as intermediaries between the buyer and seller.

\* Minimizing Market Impact:

\* Large trades can significantly impact stock prices if not executed strategically. Agency traders use methods like algorithmic trading or dark pools to mitigate this impact.

\* Role vs. Other Traders:

\* Liability Trader: Trades using the firm's capital, assuming the risk of the position.

\* Market Maker: Provides liquidity by quoting buy and sell prices.

\* Responsible Designated Trader: Oversees order flow for specific securities on the exchange.

\* The question specifies managing block trades for institutional clients. This matches the role of agency traders, as they focus on executing trades on behalf of clients without taking positions themselves.

Key Responsibilities of Agency Traders: Why Option B Is Correct: References from CSC Study Materials:

\* Volume 2, Chapter 27: "Working with the Institutional Client," Section on Roles and Responsibilities in the Institutional Market.

## NEW QUESTION # 23

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