

NISM-Series-VII Training Solutions - Reliable NISM-Series-VII Test Materials



Our NISM-Series-VII exam simulation is selected by many experts and constantly supplements and adjusts our questions and answers. When you use our NISM-Series-VII study materials, you can find the information you need at any time. When we update the NISM-Series-VII preparation questions, we will take into account changes in society, and we will also draw user feedback. If you have any thoughts and opinions in using our NISM-Series-VII Study Materials, you can tell us. We hope to grow with you and the continuous improvement of NISM-Series-VII training engine is to give you the best quality experience.

Since our NISM-Series-VII study guide has various versions which contain the PDF, Software and APP online, you can study whenever you are or even offline state according to their different merits. In addition, Our NISM-Series-VII training quiz will be very useful for you to improve your learning efficiency, because you can make full use of your all spare time to do test. It will bring a lot of benefits for you beyond your imagination if you buy our NISM-Series-VII Study Materials.

>> NISM-Series-VII Training Solutions <<

Reliable NISM-Series-VII Test Materials - NISM-Series-VII Reliable Test Preparation

Most IT workers prefer to choose our online test engine for their NISM-Series-VII exam prep because online version is more flexible and convenient. With the help of our online version, you can not only practice our NISM-Series-VII Exam PDF in any electronic equipment, but also make you feel the atmosphere of NISM-Series-VII actual test. The exam simulation will mark your mistakes and help you play well in NISM-Series-VII practice test.

NISM Series VII - Securities Operations and Risk Management Certification Sample Questions (Q332-Q337):

NEW QUESTION # 332

A stock broker intends to expand their business scope by offering Portfolio Management Services (PMS) and functioning as a Depository Participant. According to the regulatory framework for 'Other Services Provided by Brokers', which of the following statements accurately reflects the registration requirement for these additional services?

- A. The existing stock broker registration with SEBI is comprehensive and covers all financial services including PMS and Depository services.
- B. Registration is only required with the Stock Exchange for PMS, while Depository services require SEBI registration.
- C. The broker needs to convert into a Non-Banking Financial Company (NBFC) to offer these services, but no separate SEBI registration is needed.
- **D. The broker must obtain separate registration/licenses from SEBI for each of the specific services like PMS and Depository services.**
- E. These services can be offered under a general 'Investment Advisory' license without specific product registration.

Answer: D

Explanation:

According to the Introduction section of 'Other Services Provided by Brokers', while brokers provide services like PMS, IPO applications, etc., 'for all the other services, the brokers need to be separately registered with SEBI.' Licenses and certifications have to be obtained for each of the services offered.

NEW QUESTION # 333

What is the mandatory mechanism for effecting the pay-in of securities for sell obligations in the T+0 settlement cycle?

- A. Inter-depository transfer from the client's account to the Clearing Corporation's account.
- B. Automatic sweeping of securities from the client's demat account at 1 PM.
- **C. Only by way of early pay-in using block mechanism.**
- D. Transfer from Client Beneficiary Account to CM Pool Account via standard market instruction.
- E. Physical Delivery Instruction Slip submitted to the DP by 10:00 AM.

Answer: C

Explanation:

The source specifies: 'Pay-in for T+0 sell obligations shall be allowing only by way of early pay-in using block mechanism'

NEW QUESTION # 334

For the computation of initial margins in the Equity Derivatives segment using the SPAN methodology, how is the **Price Scan Range** determined for **Index Derivatives** (standard contracts)?

- A. Flat rate of 10% of the contract value.
- B. Based on 3.5 sigma scaled up by root 3.
- C. Based on 6 sigma, scaled up by $\sqrt{2}$, subject to a minimum of 14.2% of the underlying price.
- D. 25% of annualized EWMA volatility subject to minimum 10%.
- **E. Based on 6 sigma, scaled up by $\sqrt{2}$, subject to a minimum of 9.3% of the underlying price.**

Answer: E

Explanation:

For Index Derivatives, the Price Scan Range is based on 6 sigma, scaled up by square root of 2, subject to at least of the underlying price after considering scaling up. (14.2% applies to Single Stock Derivatives).

NEW QUESTION # 335

When a company announces a Bonus Issue in the ratio of A:B, specific adjustments are made to the Strike Price, Market Lot, and Position of Equity F&O contracts. Which of the following formulas correctly represents the Adjustment Factor used for these calculations?

- A. $(A - B) / B$
- B. $(A * B) / (A + B)$
- **C. $(A + B) / B$**
- D. $B / (A + B)$
- E. $A / (A + B)$

Answer: C

Explanation:

For a Bonus Issue with ratio A:B, the Adjustment factor is calculated as $(A+B)/B$. For example, for a 1:1 bonus, $A=1$, $B=1$, Factor = $(1+1)/1 = 2$. This factor is then used to divide the old strike price and multiply the old market lot/position.

NEW QUESTION # 336

Stock Exchanges are required to maintain Investor Service Centres (ISCs) to assist investors. Which of the following facilities is mandatorily required to be provided at all ISCS according to SEBI guidelines?

- A. A banking counter for immediate disbursement of Investor Protection Fund claims.
- **B. Arbitration and appellate arbitration facility including video-calling facility.**
- C. A direct hotline to the Ministry of Finance.
- D. A dedicated legal aid clinic with free lawyers for filing civil suits.
- E. A library containing all annual reports of listed companies for the last 10 years.

Answer: B

Explanation:

SEBI has advised Exchanges to provide certain minimum facilities in ISCs, which includes arbitration and appellate arbitration facility at all ISCS including video-calling facility to investors for attending their online arbitration or Grievance Redressal meetings.

NEW QUESTION # 337

.....

So you rest assured that with the NISM NISM-Series-VII actual questions you will not only ace the NISM NISM-Series-VII exam predation but also boost confidence to perform well in the final NISM NISM-Series-VII test. With the NISM NISM-Series-VII pdf questions you can experience the type and pattern of the final NISM-Series-VII exam. In this way, you will be confident on the day of the NISM Series VII - Securities Operations and Risk Management Certification NISM-Series-VII Exam and solve all the NISM NISM-Series-VII exam questions. The NISM wants to make the NISM-Series-VII exam preparation simple and quick. To achieve this objective the NISM is offering the top-notch and top-rated NISM-Series-VII practice test questions in three user-friendly and compatible formats.

Reliable NISM-Series-VII Test Materials: <https://www.testkingpdf.com/NISM-Series-VII-testking-pdf-torrent.html>

Not only does it not provide poor quality NISM-Series-VII exam materials like some websites, it does not have the same high price as some websites, What's more, we pass guarantee and money back guarantee if you fail to pass the exam after purchasing NISM-Series-VII exam torrent from us, With NISM-Series-VII exam torrent, you will be much more competitive and get more promotion opportunities, NISM NISM-Series-VII Training Solutions It's difficult for them to learn a skill.

With that, hopefully, comes a community of people NISM-Series-VII who adore you—or at least respect you, Looping, Downloadable Version, Not only does it not provide poor quality NISM-Series-VII Exam Materials like some websites, it does not have the same high price as some websites.

Provides complete coverage of every objective on exam NISM-Series-VII Training Solutions

What's more, we pass guarantee and money back guarantee if you fail to pass the exam after purchasing NISM-Series-VII exam torrent from us, With NISM-Series-VII exam torrent, you will be much more competitive and get more promotion opportunities.

It's difficult for them to learn a skill, We spend much time & money on improving the quality of NISM-Series-VII exam cram and better service satisfaction.

- NISM-Series-VII Reliable Dumps Ebook □ Test NISM-Series-VII Centres □ Test NISM-Series-VII Guide Online □ Search for **【 NISM-Series-VII 】** and easily obtain a free download on □ www.exam4labs.com □ □ Valid NISM-Series-VII Mock Exam
- NISM-Series-VII Pass4sure Pass Guide □ NISM-Series-VII Training Online □ NISM-Series-VII Trustworthy Exam Torrent □ Search for □ NISM-Series-VII □ on ➡ www.pdfvce.com □ immediately to obtain a free download □ □ NISM-Series-VII Practice Exam Pdf
- Training NISM-Series-VII Material □ Test NISM-Series-VII Guide Online □ NISM-Series-VII Exam Training □ Copy URL ⇒ www.verifiedumps.com ⇐ open and search for (NISM-Series-VII) to download for free □ Test NISM-Series-VII Guide Online
- Your Best Choice to Get NISM NISM-Series-VII Certification is Pdfvce □ Search for ▷ NISM-Series-VII ◁ and download it for free immediately on ► www.pdfvce.com ◀ □ NISM-Series-VII Practice Exam Pdf
- 2026 NISM-Series-VII Training Solutions | Pass-Sure NISM-Series-VII: NISM Series VII - Securities Operations and Risk Management Certification 100% Pass □ The page for free download of [NISM-Series-VII] on □ www.dumpsquestion.com □ will open immediately □ NISM-Series-VII Exam Training
- NISM-Series-VII Torrent PDF - NISM-Series-VII Exam Torrent - NISM-Series-VII Test Dumps □ Go to website ✓ www.pdfvce.com □ ✓ □ open and search for □ NISM-Series-VII □ to download for free □ New NISM-Series-VII Dumps Questions

- [illegible]