

UAE-Financial-Rules-and-Regulations 높은 통과율 시험공부 - UAE-Financial-Rules-and-Regulations 덤프문제모음



그리고 KoreaDumps UAE-Financial-Rules-and-Regulations 시험 문제집의 전체 버전을 클라우드 저장소에서 다운로드 할 수 있습니다: https://drive.google.com/open?id=1VtJBdCarl_u3QffvRSBj2OXXW9okVdY

다른 방식으로 같은 목적을 이룰 수 있다는 점 아세요? 여러분께서는 어떤 방식, 어느 길을 선택하시겠습니까? 많은 분들은 CISI 인증 UAE-Financial-Rules-and-Regulations 시험 패스로 자기 일에서 생활에서 한층 업그레이드 되기를 바랍니다. 하지만 모두 다 알고 계시는 그대로 CISI 인증 UAE-Financial-Rules-and-Regulations 시험은 간단하게 패스할 수 있는 시험이 아닙니다. 많은 분들이 CISI 인증 UAE-Financial-Rules-and-Regulations 시험을 위하여 많은 시간과 정신력을 투자하고 있습니다. 하지만 성공하는 분들은 적습니다.

KoreaDumps의 CISI 인증 UAE-Financial-Rules-and-Regulations 시험 덤프 자료는 여러분의 시간, 돈, 정력을 아껴드립니다. 몇 개월을 거쳐 시험 준비 공부를 해야만 패스 가능한 시험을 KoreaDumps의 CISI 인증 UAE-Financial-Rules-and-Regulations 덤프는 며칠 간에도 같은 시험 패스 결과를 안겨드릴 수 있습니다. CISI 인증 UAE-Financial-Rules-and-Regulations 시험을 통과하여 자격증을 취득하려면 KoreaDumps의 CISI 인증 UAE-Financial-Rules-and-Regulations 덤프로 시험 준비 공부를 하세요.

>> UAE-Financial-Rules-and-Regulations 높은 통과율 시험공부 <<

인기자격증 UAE-Financial-Rules-and-Regulations 높은 통과율 시험공부 최신 시험 덤프자료

경쟁율이 치열한 IT 업계에서 아무런 목표 없이 아무런 희망 없이 무미건조한 생활을 하고 계시나요? 다른 사람들이 모두 취득하고 있는 자격증에 관심도 없는 분은 치열한 경쟁 속에서 살아남기 어렵습니다. CISI 인증 UAE-Financial-Rules-and-Regulations 시험 패스가 힘들다 한들 KoreaDumps 덤프만 있으면 어려운 시험도 쉬워질 수 밖에 없습니다. CISI 인증 UAE-Financial-Rules-and-Regulations 덤프에 있는 문제만 잘 이해하고 습득하신다면 CISI 인증 UAE-Financial-Rules-and-Regulations 시험을 패스하여 자격증을 취득해 자신의 경쟁력을 업그레이드하여 경쟁 시대에서 안전감을 보유할 수 있습니다.

최신 Investment Operations Certificate (IOC) UAE-Financial-Rules-and-

Regulations 무료샘플문제 (Q77-Q82):

질문 # 77

The minimum paid-up capital requirement for ranking and advice firms is:

- A. AED 30 million
- B. AED 50 million
- C. none
- **D. AED 5 million**

정답: D

설명:

Under CISI UAE Financial Rules and Regulations, firms offering ranking and advisory services in the financial sector must meet a minimum paid-up capital requirement of AED 5 million. This capital threshold ensures that such firms have sufficient financial resources to maintain operational stability, manage risks, and fulfill regulatory obligations. It also reflects the level of responsibility these firms carry in providing investment advice and rankings that impact market participants. Higher capital requirements apply to more systemically significant entities, but the AED 5 million benchmark balances accessibility and prudence for ranking and advice firms.

Reference: CISI UAE Financial Rules and Regulations - Licensing Capital Requirements, Section 3.2.5 (2023).

질문 # 78

If an issuer provides its shareholders with bonus shares, then the CSD Department will deposit the bonus shares issued in the account and will register them as a whole, round number. What happens in the case that there are fractions of shares?

- A. The issuing company must sell them within 45 days
- **B. They are totalled up and put in a suspense account**
- C. These are also added to the shareholder's account
- D. The issuing company must sell them within 30 days

정답: B

설명:

When bonus shares are issued, the Central Securities Depository (CSD) registers shares in whole numbers only. Any fractions of shares that result from the bonus share calculation cannot be credited to individual shareholder accounts. According to CISI UAE Financial Rules and Regulations, these fractional shares are aggregated and placed into a suspense account by the CSD. The suspense account holds these fractional shares collectively until such time as they can be properly managed, such as by being sold off and the proceeds distributed to shareholders in proportion to their holdings. This process prevents fractional shares from being credited inaccurately, ensuring operational clarity and market integrity. The issuing company is not immediately responsible for selling these fractions, but regulatory oversight governs their eventual disposal.

Reference: CISI UAE Financial Rules and Regulations - Securities Issuance and Registration, Section 5.3.6 (2023).

질문 # 79

The approved job for conducting securities dealing is:

- A. Operations Manager
- **B. Broker Representative**
- C. Category Manager
- D. Trading Manager

정답: B

설명:

In the CISI UAE Financial Rules and Regulations, the role formally authorized to conduct securities dealing is that of a Broker Representative. This role is specifically licensed and trained to execute trades on behalf of clients and manage securities transactions within regulatory frameworks. Other positions like Trading Manager or Operations Manager have important but different operational and supervisory functions and do not directly engage in client securities dealing. The Broker Representative's licensing ensures adherence to professional standards, client protection, and market integrity.

Reference: CISI UAE Financial Rules and Regulations - Licensing and Job Roles, Section 3.1.9 (2023).

질문 # 80

The minimum price movement for a West Texas Intermediate (WTI) Light Sweet Oil Futures contract is:

- A. USS 1 per barrel
- B. USS 100 per barrel
- C. USS 25 per barrel
- D. LISS 0.01 per barrel

정답: D

설명:

The minimum price movement for a West Texas Intermediate (WTI) Light Sweet Oil Futures contract is 0.01 per barrel. This means that the price can move in increments of 1 cent per barrel, which is standard for most futures contracts of this type. The minimum price movement allows for a high degree of price discovery and liquidity in the market, as traders can make smaller adjustments to their positions. This is a crucial aspect of trading in commodities, where price fluctuations can have significant financial implications. Reference: CISI UAE Financial Rules and Regulations - WTI Light Sweet Oil Futures, Section 7.2.1 (2023).

질문 # 81

The contract size for an MSCI India Index Futures (INR) contract is:

- A. 50 index points x price
- B. 25 index points x price
- C. 5 index points x price
- D. 20 index points x price

정답: A

설명:

The contract size for the MSCI India Index Futures (INR) contract is defined as 50 index points x price. This means that each contract is linked to the movement of 50 index points, and the value of each index point is multiplied by the price of the index. The contract size reflects the amount of exposure an investor takes on when trading in this futures contract, and the multiplier is set to provide a manageable level of risk and exposure to market fluctuations. This standardization allows traders to gauge the value of their positions and facilitates liquidity in the futures market.

Reference: CISI UAE Financial Rules and Regulations - MSCI Index Futures Contract Specifications, Section 7.2.1 (2023).

질문 # 82

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CISI UAE-Financial-Rules-and-Regulations 덤프구매전 한국어 온라인상답서서비스부터 구매후 덤프 무료 업데이트버전 제공 , CISI UAE-Financial-Rules-and-Regulations 시험불합격시 덤프비용 전액환불 혹은 다른 과목으로 교환 등 저희는 구매전부터 구매후까지 철저한 서비스를 제공해드립니다. CISI UAE-Financial-Rules-and-Regulations 덤프는 인기덤프인데 지금까지 덤프를 구매한후 환불신청하신 분은 아직 없었습니다.

UAE-Financial-Rules-and-Regulations 덤프문제모음 : https://www.koreadumps.com/UAE-Financial-Rules-and-Regulations_exam-braindumps.html

KoreaDumps UAE-Financial-Rules-and-Regulations 덤프문제모음은 여러분의 연봉상승을 도와 드리겠습니다, CISI UAE-Financial-Rules-and-Regulations 높은 통과율 시험공부 최선을 다했는데도 실패하였다는 말은 영원히 하지마세요, CISI UAE-Financial-Rules-and-Regulations 덤프는 시험문제변경에 따라 업데이트하여 항상 가장 최신버전이도록 유지하기 위해 최선을 다하고 있습니다, KoreaDumps UAE-Financial-Rules-and-Regulations 덤프문제모음은 여러분의 연봉상승을 도와 드리겠습니다, IT업계에서 자기만의 자리를 잡고 싶다면 CISI UAE-Financial-Rules-and-Regulations 인증시험이 아주 좋은 자격증입니다, UAE-Financial-Rules-and-Regulations 덤프는 오랜 시간과 정력을 투자하여 만들어진 완벽한 시험자료로서 UAE-Financial-Rules-and-Regulations 덤프를 구매하고 공부하였는데도 시험에서 떨어졌다면 불합격성적표와 주문번호를 보내오시면 UAE-Financial-Rules-and-Regulations 덤프비용은 바로 환불해드립니다.

퇴직금이 꽤 많은 걸로 압니다, 이대로 잠이 안 오니 방안에서 공공 앓느니 오 UAE-Financial-Rules-and-Regulations 버니와 이야기라도 할까하고 왔습니다, KoreaDumps는 여러분의 연봉상승을 도와 드리겠습니다, 최선을 다했는데도 실패하였다는 말은 영원히 하지마세요.

UAE-Financial-Rules-and-Regulations 높은 통과율 시험 공부 덤프문제

CISI UAE-Financial-Rules-and-Regulations덤프는 시험문제변경에 따라 업데이트하여 항상 가장 최신버전이도록 유지하기 위해 최선을 다하고 있습니다, KoreaDumps는 여러분의 연봉상승을 도와 드리겠습니다, IT업계에서 자기만의 자리를 잡고 싶다면CISI UAE-Financial-Rules-and-Regulations인증시험이 아주 좋은 자격증입니다.

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KoreaDumps UAE-Financial-Rules-and-Regulations 최신 PDF 버전 시험 문제집을 무료로 Google Drive에서 다운로드하세요 : https://drive.google.com/open?id=1VtJB0dCarl_u3OfvRSBj2OXXW9okVdY