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## APMG-International ISO/IEC 27001 (2022) Foundation Exam Sample Questions (Q16-Q21):

### NEW QUESTION # 16

Which statement about the conduct of audits is true?

- A. One of the focus areas for a surveillance audit is the output from internal audits and management reviews
- B. Third party audits are conducted by a customer of the organization
- C. The certificate issued after a successful re-certification audit in typical schemes lasts for one year
- D. During Stage 1 of a certification audit, evidence is collected by observing activities

**Answer: A**

Explanation:

Clause 9.2 (Internal Audit) and Clause 9.3 (Management Review) highlight that audit outputs and management reviews are key inputs for evaluating ISMS performance. Surveillance audits, conducted by Certification Bodies, check ongoing compliance and effectiveness. ISO certification schemes (per ISO/IEC

17021) require surveillance audits to verify whether corrective actions and continuous improvements are being made. A critical focus area is the results of internal audits and management reviews, ensuring that the organization maintains its ISMS between certification cycles.

Option A is incorrect - third-party audits are performed by independent Certification Bodies, not customers.

Option B is incorrect - certificates are typically valid for three years with annual surveillance. Option D is incorrect - Stage 1 is primarily a documentation and readiness review, not evidence observation.

Therefore, the verified correct answer is C.

#### NEW QUESTION # 17

What activity is done first when preparing for an initial certification audit?

- A. Provide documents to the Certification Body auditor for the Stage 1 audit
- B. Provide evidence that nonconformities from an internal audit have been actioned
- C. Provide records to the Certification Body auditor for the Stage 2 audit
- **D. Agree the scope of the ISMS with the Certification Body auditor**

**Answer: D**

Explanation:

Comprehensive and Detailed Explanation From Exact Extract ISO/IEC 27001:2022 standards and certification guidance:

Before a certification audit can begin, the scope of the ISMS must be clearly defined and agreed with the Certification Body.

ISO/IEC 27001 Clause 4.3 requires: "The scope shall be available as documented information." Certification Bodies require this scope statement to plan audit duration, resources, and coverage. Only after the scope is agreed does the Stage 1 audit begin, which reviews documented information and readiness. Stage

2 focuses on implementation and effectiveness. Evidence of corrective actions (C) is checked at Stage 2 if issues were identified earlier. Records provision (D) occurs during Stage 2, not first.

Thus, the first step in preparing for certification is A: Agreeing the scope of the ISMS with the Certification Body auditor.

#### NEW QUESTION # 18

When are the information security policies required to be reviewed, according to the Policies for information security control?

- **A. At planned intervals and if significant changes occur**
- B. Every six months
- C. Annually
- D. According to a schedule defined by the Certification Body

**Answer: A**

Explanation:

Comprehensive and Detailed Explanation From Exact Extract ISO/IEC 27002:2022 standards:

Annex A.5.1 (Policies for information security) specifies:

"Information security policy and topic-specific policies should be defined, approved by management, published, communicated to and acknowledged by relevant personnel and relevant interested parties, and reviewed at planned intervals and if significant changes occur." This clearly identifies the review frequency requirement: planned intervals and whenever there are significant changes. Options A and B (six-monthly or annually) are not prescribed by ISO - timing is left to the organization. Option C is also wrong, since Certification Bodies do not dictate policy review schedules.

Therefore, the verified correct answer is D.

#### NEW QUESTION # 19

Which action is an organization required to take to ensure that personnel are competent to perform their assigned tasks within the ISMS?

- A. Identify products which could be used in the organization to improve ISMS performance and effectiveness
- B. Ensure that the controls for compliance with legal and contractual requirements are implemented
- C. Hold up-to-date records on training, skills, experience and qualifications
- D. Ensure all personnel are trained to ISO/IEC 27001 Foundation level

**Answer: C**

Explanation:

Clause 7.2 (Competence) requires the organization to:

- \* "determine the necessary competence of person(s) doing work under its control that affects its information security performance;"
- \* "ensure that these persons are competent on the basis of appropriate education, training, or experience;"
- \* "retain appropriate documented information as evidence of competence." This makes holding up-to-date records on training, skills, experience, and qualifications (D) the correct answer. Option A is irrelevant to competence. Option B is incorrect since ISO does not require Foundation-level training - competence is context-based. Option C is related to compliance but does not ensure individual competence.

Thus, the verified correct answer is D.

### NEW QUESTION # 20

Which ISMS documentation is part of the minimum scope of documented information required to be managed and controlled?

- A. Records of management decisions related to continual improvement
- B. Third party information security awareness materials
- C. The budget assigned to operate the ISMS and its related allocations
- D. A statement of correspondence between other ISO standards and the ISMS

**Answer: A**

Explanation:

Clause 7.5 (Documented Information) specifies that organizations must maintain documentation necessary for the effectiveness of the ISMS. Additionally, Clause 9.3 (Management Review) requires "records of decisions related to continual improvement opportunities" as an output of management review. This is a core requirement and forms part of the documented information that must be retained and controlled. Third-party materials (B), budgets (C), and cross-reference statements to other ISO standards (D) are not required by ISO/IEC 27001. Only documents that directly demonstrate compliance, decision-making, and continual improvement are mandated. Therefore, the verified minimum required documentation includes records of management review decisions related to continual improvement, confirming answer: A.

### NEW QUESTION # 21

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