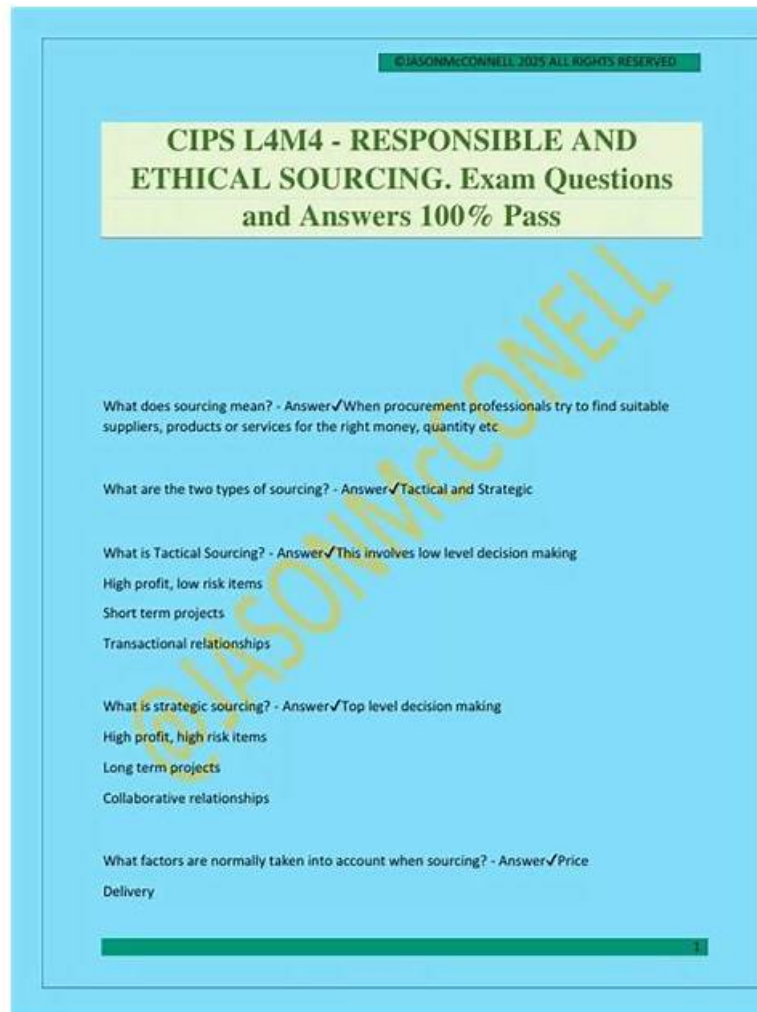


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## CIPS Ethical and Responsible Sourcing Sample Questions (Q156-Q161):

### NEW QUESTION # 156

When assessing social responsibility elements of a supplier's proposal, what type of supporting evidence could a supplier provide?

- A. Financial statements detailing profit and loss accounts
- B. Quality control reports documenting quality checks in the manufacturing process
- C. Results of a customer service survey on delivery performance
- **D. Evidence of compliance with fair trade standards**

**Answer: D**

Explanation:

Compliance with fair trade standards demonstrates the supplier's commitment to social responsibility, including fair wages and ethical working conditions. This aligns with responsible sourcing practices, ensuring that suppliers maintain ethical labor standards, as advocated in the principles of ethical sourcing.

### NEW QUESTION # 157

What course of action should be taken when an audit of a supplier identifies evidence of human rights malpractice?

- A. Immediately halt all orders and switch to an alternative source of supply
- **B. Immediately raise the issue with the supplier and jointly develop a timely action plan before a repeat audit**
- C. Remove all business and inform press organisations to escalate to government authorities
- D. Allow the supplier to complete current orders while pursuing alternatives

**Answer: B**

Explanation:

CIPS promotes a constructive and corrective approach when suppliers fall short of ethical standards, especially on critical issues like human rights. Immediately cutting ties can harm workers further and disrupt supply without addressing root causes. A responsible sourcing approach requires buyers to engage suppliers, escalate the concern, and establish a corrective action plan with monitoring to ensure compliance. Only if the supplier refuses to act or breaches persist should termination occur. This supports continuous improvement, supplier development, and ethical due diligence, reflecting global standards such as the UN Guiding Principles on Business and Human Rights.

Reference: CIPS L4M4 Study Guide (v2), LO: "Implementation" - audits, corrective action plans, ethical risk management.

### NEW QUESTION # 158

A buyer might opt to do an 'Invitation to Tender' rather than a 'Request for Quotation' process because ...

- A. The contract will be low value and there are many suppliers, so a simpler approach is required
- B. The supplier market is an oligopoly where there are a few large suppliers dominating the market
- C. Time is of the essence, and decisions need to be made quickly without additional stakeholder involvement
- **D. The nature of the goods or services being purchased is complex and high value**

**Answer: D**

Explanation:

An Invitation to Tender (ITT) is typically used for complex, high-value procurements where the quality and specific features of the goods or services are of paramount importance. This approach ensures that ethical and responsible sourcing criteria are met, aligning with best practices in procuring specialised goods or services.

### NEW QUESTION # 159

Which of the following are procurement procedures permissible under EU Public Procurement rules? Select TWO that apply.

- A. Open procedure
- B. Conformance procedure
- C. Restricted procedure
- D. Public procedure
- E. Complex procedure

**Answer: A,C**

Explanation:

Under EU Public Procurement rules, the permissible procurement procedures include the Open Procedure and the Restricted Procedure. The Open Procedure allows any interested party to submit a tender, while the Restricted Procedure involves a two-stage process where only selected candidates are invited to submit tenders. These procedures are designed to ensure transparency, competition, and equal treatment in public procurement.

Exact Extract from Study Guide:

"The EU Public Procurement Directive outlines several procedures including Open Procedure and Restricted Procedure, which are designed to facilitate fair and transparent procurement processes."

#### **NEW QUESTION # 160**

A company has actively included supporting of disadvantaged groups within their ESG commitment. Which of the following groups are considered disadvantaged?

- A. Muslims
- B. international suppliers
- C. pregnant women and children
- D. endangered species

**Answer: C**

Explanation:

Pregnant women and children are considered 'disadvantaged' by the UN. The other groups the UN call disadvantaged include; the elderly, the immunocompromised, those with disabilities and those living in poverty. This is the list given in the study guide.

A company could help disadvantaged groups in several ways. Firstly by offering employment (obviously not to the children). It could also include helping these groups as part of a Social Value out-come or community benefit scheme.

#### **NEW QUESTION # 161**

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