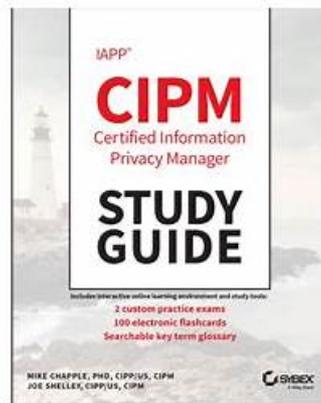


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The CIPM Certification is geared towards privacy professionals who wish to gain a better understanding of the legal and regulatory frameworks surrounding data privacy. Among other things, those who hold this certification are well-versed in the laws and regulations that govern the collection, management, and use of customer data. They are also equipped to handle data breaches and work with their organizations to ensure compliance with these laws.

IAPP Certified Information Privacy Manager (CIPM) Sample Questions (Q21-Q26):

NEW QUESTION # 21

According to the General Data Protection Regulation (GDPR), the requirements of a Data Protection Impact Assessment (DPIA) include that it?

- A. Publish the report to demonstrate the transparency of the data processing.

- B. Is required if the processing activity entails risk to the rights and freedoms of an EU individual.
- C. Be reported to the corresponding supervisory authority.
- **D. Provide a description of the proposed processing operation and its purpose.**

Answer: D

Explanation:

Comprehensive and Detailed Explanation:

A Data Protection Impact Assessment (DPIA) is required under Article 35 of the GDPR and must include a description of the proposed processing operation and its purpose to assess risks to data subjects.

* Option A (Reported to the supervisory authority) - DPIAs are generally not reported automatically unless the risks cannot be mitigated.

* Option B (Publishing the report for transparency) - While organizations should be transparent, GDPR does not require public publication of DPIAs.

* Option D (Required if the activity entails risk to individuals' rights and freedoms) - This is true, but it is a condition for conducting a DPIA, not a specific requirement of the DPIA itself.

* Option C (Provide a description of the proposed processing operation and its purpose) is the correct answer because Article 35 of GDPR explicitly requires this information in the DPIA.

Reference:GDPR Article 35 (DPIA Requirements) - CIPM Official Textbook, Module: Privacy Impact Assessments and Risk Management.

NEW QUESTION # 22

When devising effective employee policies to address a particular issue, which of the following should be included in the first draft?

- A. Explanation of how the policy is applied within the organization.
- **B. Points of contact for the employee.**
- C. Roles and responsibilities of the different groups of individuals.
- D. Rationale for the policy.

Answer: B

NEW QUESTION # 23

SCENARIO

Please use the following to answer the next QUESTION:

Amira is thrilled about the sudden expansion of NatGen. As the joint Chief Executive Officer (CEO) with her long-time business partner Sadie, Amira has watched the company grow into a major competitor in the green energy market. The current line of products includes wind turbines, solar energy panels, and equipment for geothermal systems. A talented team of developers means that NatGen's line of products will only continue to grow.

With the expansion, Amira and Sadie have received advice from new senior staff members brought on to help manage the company's growth. One recent suggestion has been to combine the legal and security functions of the company to ensure observance of privacy laws and the company's own privacy policy. This sounds overly complicated to Amira, who wants departments to be able to use, collect, store, and dispose of customer data in ways that will best suit their needs. She does not want administrative oversight and complex structuring to get in the way of people doing innovative work.

Sadie has a similar outlook. The new Chief Information Officer (CIO) has proposed what Sadie believes is an unnecessarily long timetable for designing a new privacy program. She has assured him that NatGen will use the best possible equipment for electronic storage of customer and employee data. She simply needs a list of equipment and an estimate of its cost. But the CIO insists that many issues are necessary to consider before the company gets to that stage.

Regardless, Sadie and Amira insist on giving employees space to do their jobs. Both CEOs want to entrust the monitoring of employee policy compliance to low-level managers. Amira and Sadie believe these managers can adjust the company privacy policy according to what works best for their particular departments. NatGen's CEOs know that flexible interpretations of the privacy policy in the name of promoting green energy would be highly unlikely to raise any concerns with their customer base, as long as the data is always used in course of normal business activities.

Perhaps what has been most perplexing to Sadie and Amira has been the CIO's recommendation to institute a privacy compliance hotline. Sadie and Amira have relented on this point, but they hope to compromise by allowing employees to take turns handling reports of privacy policy violations. The implementation will be easy because the employees need no special preparation. They will simply have to document any concerns they hear.

Sadie and Amira are aware that it will be challenging to stay true to their principles and guard against corporate culture strangling creativity and employee morale. They hope that all senior staff will see the benefit of trying a unique approach.

What Data Lifecycle Management (DLM) principle should the company follow if they end up allowing departments to interpret the privacy policy differently?

- A. Arrange for official credentials for staff members.
- B. Prove the authenticity of the company's records.
- **C. Adequately document reasons for inconsistencies.**
- D. Create categories to reflect degrees of data importance.

Answer: C

NEW QUESTION # 24

SCENARIO

Please use the following to answer the next QUESTION:

Perhaps Jack Kelly should have stayed in the U.S. He enjoys a formidable reputation inside the company, Special Handling Shipping, for his work in reforming certain "rogue" offices. Last year, news broke that a police sting operation had revealed a drug ring operating in the Providence, Rhode Island office in the United States. Video from the office's video surveillance cameras leaked to news operations showed a drug exchange between Special Handling staff and undercover officers.

In the wake of this incident, Kelly had been sent to Providence to change the "hands off" culture that upper management believed had let the criminal elements conduct their illicit transactions. After a few weeks under Kelly's direction, the office became a model of efficiency and customer service. Kelly monitored his workers' activities using the same cameras that had recorded the illegal conduct of their former co-workers.

Now Kelly has been charged with turning around the office in Cork, Ireland, another trouble spot. The company has received numerous reports of the staff leaving the office unattended. When Kelly arrived, he found that even when present, the staff often spent their days socializing or conducting personal business on their mobile phones. Again, he observed their behaviors using surveillance cameras. He issued written reprimands to six staff members based on the first day of video alone.

Much to Kelly's surprise and chagrin, he and the company are now under investigation by the Data Protection Commissioner of Ireland for allegedly violating the privacy rights of employees. Kelly was told that the company's license for the cameras listed facility security as their main use, but he does not know why this matters. He has pointed out to his superiors that the company's training programs on privacy protection and data collection mention nothing about surveillance video.

You are a privacy protection consultant, hired by the company to assess this incident, report on the legal and compliance issues, and recommend next steps.

Knowing that the regulator is now investigating, what would be the best step to take?

- A. Use your background and knowledge to set a course of action.
- B. Negotiate the terms of a settlement before formal legal action takes place.
- **C. Consult an attorney experienced in privacy law and litigation.**
- D. If you know the organization is guilty, advise it to accept the punishment.

Answer: C

Explanation:

This answer is the best step to take knowing that the regulator is now investigating, as it can help the organization to obtain legal advice and representation on how to respond to and cooperate with the investigation, as well as how to defend or resolve any potential claims or disputes that may arise from the incident. Consulting an attorney experienced in privacy law and litigation can also help the organization to understand its rights and obligations under the applicable laws and regulations, as well as the possible outcomes and consequences of the investigation. An attorney can also assist the organization in preparing and submitting any required documents or evidence, communicating with the regulator or other parties, negotiating a settlement or agreement, or challenging or appealing any decisions or actions taken by the regulator. Reference: IAPP CIPM Study Guide, page 871; ISO/IEC 27002:2013, section 16.1.5

NEW QUESTION # 25

SCENARIO

Please use the following to answer the next QUESTION:

You lead the privacy office for a company that handles information from individuals living in several countries throughout Europe and the Americas. You begin that morning's privacy review when a contracts officer sends you a message asking for a phone call. The message lacks clarity and detail, but you presume that data was lost.

When you contact the contracts officer, he tells you that he received a letter in the mail from a vendor stating that the vendor improperly shared information about your customers. He called the vendor and confirmed that your company recently surveyed exactly 2000 individuals about their most recent healthcare experience and sent those surveys to the vendor to transcribe it into a

database, but the vendor forgot to encrypt the database as promised in the contract. As a result, the vendor has lost control of the data.

The vendor is extremely apologetic and offers to take responsibility for sending out the notifications. They tell you they set aside 2000 stamped postcards because that should reduce the time it takes to get the notice in the mail. One side is limited to their logo, but the other side is blank and they will accept whatever you want to write. You put their offer on hold and begin to develop the text around the space constraints. You are content to let the vendor's logo be associated with the notification.

The notification explains that your company recently hired a vendor to store information about their most recent experience at St. Sebastian Hospital's Clinic for Infectious Diseases. The vendor did not encrypt the information and no longer has control of it. All 2000 affected individuals are invited to sign-up for email notifications about their information. They simply need to go to your company's website and watch a quick advertisement, then provide their name, email address, and month and year of birth. You email the incident-response council for their buy-in before 9 a.m. If anything goes wrong in this situation, you want to diffuse the blame across your colleagues. Over the next eight hours, everyone emails their comments back and forth. The consultant who leads the incident-response team notes that it is his first day with the company, but he has been in other industries for 45 years and will do his best. One of the three lawyers on the council causes the conversation to veer off course, but it eventually gets back on track. At the end of the day, they vote to proceed with the notification you wrote and use the vendor's postcards.

Shortly after the vendor mails the postcards, you learn the data was on a server that was stolen, and make the decision to have your company offer credit monitoring services. A quick internet search finds a credit monitoring company with a convincing name: Credit Under Lock and Key (CRUDLOK). Your sales rep has never handled a contract for 2000 people, but develops a proposal in about a day which says CRUDLOK will:

1. Send an enrollment invitation to everyone the day after the contract is signed.
2. Enroll someone with just their first name and the last-4 of their national identifier.
3. Monitor each enrollee's credit for two years from the date of enrollment.
4. Send a monthly email with their credit rating and offers for credit-related services at market rates.
5. Charge your company 20% of the cost of any credit restoration.

You execute the contract and the enrollment invitations are emailed to the 2000 individuals. Three days later you sit down and document all that went well and all that could have gone better. You put it in a file to reference the next time an incident occurs. What is the most concerning limitation of the incident-response council?

- A. The leader just joined the company as a consultant
- B. The council has an overabundance of attorneys
- C. You convened it to diffuse blame
- **D. It takes eight hours of emails to come to a decision**

Answer: D

Explanation:

Explanation

This answer is the most concerning limitation of the incident-response council, as it indicates a lack of efficiency, urgency and coordination in handling the incident. It takes eight hours of emails to come to a decision means that the council is wasting valuable time and resources in communicating and resolving the incident, which may result in delayed or inadequate actions, increased harm or impact to the affected individuals or the organization, or non-compliance with any legal or contractual obligations or deadlines.

NEW QUESTION # 26

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