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| <b>Standard:</b> ISO 14001<br><b>Latest Version:</b> ISO 14001:2015<br><b>Revision of:</b> ISO 14001:2004                                                                                                                                                                                                                                                                                                                                                                                                                     | <b>Duration:</b><br>30 hours approx.<br>(including auditor certification exam) | <b>Delivery:</b><br>Online<br>(on-demand and self-paced) | <b>Payment Options:</b><br>Pay in four equal monthly installments. |
| <b>Description:</b><br><p>This ISO 14001 lead auditing course is an ISO 21001 certified lead auditor training course designed to provide lead auditors with the skills and knowledge needed to audit Environmental Management Systems (EMS).</p> <p>It is based on the requirements of ISO 14001:2015, known in full as Environmental management systems — Requirements with guidance for use</p>                                                                                                                             |                                                                                |                                                          |                                                                    |
| <b>Course Content:</b> <ul style="list-style-type: none"> <li>• <b>Module 0:</b> Introduction and background to the Standard and to auditing.</li> <li>• <b>Module 1:</b> Auditing Skills based on the auditing standard ISO 19011:2018</li> <li>• <b>Module 2:</b> Knowledge of the Standard, ISO 14001:2015, Environmental management systems – Requirements</li> <li>• <b>Module 3:</b> Practice with Scenarios, based on actual audits and includes advice on dealing with awkward situations and individuals.</li> </ul> |                                                                                |                                                          |                                                                    |

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## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

| Topic   | Details                                                                                                                                                                                                                                                                                                                                                                                                                                        |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Topic 1 | <ul style="list-style-type: none"> <li>• Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li> </ul>                                                                                                             |
| Topic 2 | <ul style="list-style-type: none"> <li>• Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.</li> </ul>                                                                                                          |
| Topic 3 | <ul style="list-style-type: none"> <li>• Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.</li> </ul> |

|         |                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|---------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Topic 4 | <ul style="list-style-type: none"> <li>Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.</li> </ul> |
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## >> Valid ISO-45001-Lead-Auditor Test Questions <<

### Valid Braindumps ISO-45001-Lead-Auditor Questions - ISO-45001-Lead-Auditor Valid Braindumps

We offer three different formats for preparing for the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) exam questions, all of which will ensure your definite success on your PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) exam dumps. PDFBraindumps is there with updated ISO-45001-Lead-Auditor Questions so you can pass the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) exam and move toward the new era of technology with full ease and confidence.

### PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q10-Q15):

#### NEW QUESTION # 10

You are an audit team leader conducting a Stage 2 audit of a Financial Services Provider. You are currently interviewing the organisation's Risk and Compliance Officer, who appears very competent when it comes to the management of business risks. As the Risk and Compliance Officer, she is also identified as having lead responsibility for the management of OH&S risk, and you are now seeking evidence that she understands the requirements relating to risk that are contained within ISO 45001. During your conversation, she makes the following six statements about risk within an ISO 45001-based OHSMS. Which four of his statements are correct?

- A. The organisation is required to assess risks arising from OH&S hazards.
- B. Risk assessment is an activity that must be carried out by top management.
- C. Although organisations are required to carry out risk management, the method by which they do so is up to them.
- D. The organisation must attempt to identify and address every risk it faces.
- E. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones.
- F. Risk is often expressed as a combination of likelihood and impact.

**Answer: A,C,E,F**

Explanation:

Analysis of Options:

\* A. The organisation must attempt to identify and address every risk it faces: Incorrect. ISO 45001 focuses on OH&S risks and not every risk faced by the organization.

\* B. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones:

Correct. Clause 3.20 defines risk as the "effect of uncertainty," which may result in positive or negative outcomes.

\* C. Although organisations are required to carry out risk management, the method by which they do so is up to them: Correct. ISO 45001 does not prescribe specific risk management methods, leaving the organization to choose the approach that best suits its context (Clause 6.1.2).

\* D. Risk assessment is an activity that must be carried out by top management: Incorrect. Risk assessment can involve workers and other personnel; it is not limited to top management.

\* E. The organisation is required to assess risks arising from OH&S hazards: Correct. Clause 6.1.2.2 requires organizations to assess OH&S risks associated with hazards.

\* F. Risk is often expressed as a combination of likelihood and impact: Correct. This is a common way to express risk, aligned with Clause 6.1.2.

ISO References:

\* Clause 3.20: Definition of risk.

\* Clause 6.1.2: Hazard identification and risk assessment

### NEW QUESTION # 11

You are the OH and S manager in an organisation that makes plastic toys using injection moulding machines.

You are currently training three new internal auditors who will be responsible for carrying out first- and second-party audits on behalf of your organisation. You ask them to identify which of the following statements about grading first and/or second-party nonconformities are correct.

Identify which three of the following are true:

- A. It is acceptable for the organisation to grade its nonconformities quantitatively (e.g. 1 to 5) if it so wishes.
- B. Grading of nonconformities is an option available to organisations.
- C. Any grading of nonconformities should recognise the risk the nonconformity presents to the organisation.
- D. The grading of a nonconformity must be agreed with the individual(s) managing the audit programme.
- E. Second-party audit teams must adopt the grading system used by the auditee they are auditing to ensure a common understanding of the severity of nonconformities.
- F. Additional grading categories must be agreed with the auditee before the closing meeting.
- G. The grading of a nonconformity must be changed at the closing meeting if additional documented information is provided.
- H. ISO 19011 recommends top management grade nonconformities because they are the ones who control the budgets needed to pay for any corrective action.

**Answer: A,B,C**

Explanation:

The correct answers are D, F, H.

ISO 19011 guidance on generating audit findings says that nonconformities can be graded depending on the context of the organization and its risks. That directly supports D, because any grading should reflect the risk the nonconformity presents to the organization. The same guidance also says this grading can be quantitative (for example 1 to 5) or qualitative (for example minor/major), which makes F correct.

Because the wording is "can be graded", grading is optional rather than mandatory, so H is also true. ( Synerisia Foundation ) Why the other options are not true:

\* A is not a requirement in ISO 19011. An organization may define grading rules in its audit programme or procedure, but there is no rule that grading must be agreed with the individual(s) managing the audit programme. ( ISO )

\* B is false. ISO 19011 does not recommend that top management grade nonconformities. Audit findings are generated by auditors based on objective evidence and audit criteria. ( ISO )

\* C is false. A second-party audit team does not have to adopt the auditee's grading system. The grading approach can follow the auditing organization's own rules and purpose for the audit. ( ISO )

\* E is false. Additional documented information at the closing meeting does not mean the grading must be changed. The evidence should be reviewed, but unresolved issues may simply remain recorded in the audit report. ( Synerisia Foundation )

\* G is false. There is no requirement that extra grading categories must be agreed with the auditee before the closing meeting. The auditee should understand the findings, but the grading framework is not something ISO 19011 requires to be negotiated at that point. ( ISO )

### NEW QUESTION # 12

An audit team leader prepares the audit plan for an initial Stage 2 certification audit. Which two of the following statements are false?

- A. The audit team leader should present the plan to the auditee before the Stage 2 audit.
- B. The plan does not need to include the names of the senior managers.
- C. The audit team leader does not select the OHS audit team members.
- D. The audit team leader should plan to interview each employee.
- E. The organisation should cancel at staff leave during the audit.
- F. The organisation should review the audit plan for agreement.

**Answer: C,D**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Analysis of Each Option:

Reference: ISO 19011:2018, Clause 6.3.2.

B). The audit team leader does not select the OHS audit team members.False.The audit team leader is responsible for selecting competent team members with the requisite expertise to evaluate the auditee's Occupational Health & Safety Management System (OHSMS). Selection is based on criteria such as knowledge of ISO 45001, auditing skills, and understanding of the industry.Reference: ISO 19011:2018, Clause 5.4.2 and 6.3.1.

C). The audit team leader should plan to interview each employee.False.While employee interviews are an essential part of gathering

evidence during an ISO 45001 audit, interviewing every employee is impractical and unnecessary. A representative sampling strategy is used to assess compliance across various levels and departments. Reference: ISO 19011:2018, Clause 6.4.6.

D). The audit team leader should present the plan to the auditee before the Stage 2 audit. True. Presenting the audit plan to the auditee before the audit is essential to ensure all parties are informed and prepared for the audit. This practice is emphasized in ISO 19011 and supports transparency and effective audit execution.

Reference: ISO 19011:2018, Clause 6.3.3.

E). The organisation should cancel staff leave during the audit. False. ISO 45001 and related audit guidelines do not mandate the cancellation of staff leave during audits. While key personnel should be available, the audit team collaborates with the organization to plan around leave schedules to minimize disruption. Reference: Not explicitly covered in ISO 45001 or ISO 19011; falls under planning discretion.

F). The plan does not need to include the names of the senior managers. True. While the audit plan should outline the scope, objectives, and methods, it does not specifically require the inclusion of senior managers' names unless they are directly involved in the audit. Reference: ISO 19011:2018, Clause 6.3.2.

Key ISO References:

ISO 45001:2018, Clause 9.2 (Internal Audit Requirements).

ISO 19011:2018, Clauses 5.4.2, 6.3.1, 6.3.2, and 6.4.6.

### NEW QUESTION # 13

Which two of the following are examples of activities that may occur after the third-party audit has been closed by the individual(s) managing the audit programme?

- A. Conducting a review of opportunities for Improvement.
- B. Revising the audit 's objectives.
- C. Writing the audit report.
- D. Updating risks and opportunities to the audit programme.
- E. Addressing any audit complaints.
- F. Conducting a closing meeting.

**Answer: A,E**

Explanation:

Activities following the closure of a third-party audit are generally related to improving the audit process and addressing any unresolved issues.

Analysis of Options:

\* A. Addressing any audit complaints: Correct. Post-audit, complaints or concerns from stakeholders are addressed to improve the audit process and maintain credibility.

\* B. Conducting a closing meeting: Incorrect. The closing meeting occurs before the audit is closed.

\* C. Conducting a review of opportunities for improvement: Correct. Reviewing opportunities for improvement post-audit helps in refining processes and aligning them with organizational goals.

\* D. Revising the audit 's objectives: Incorrect. Audit objectives are established during the planning phase, not after the audit has been closed.

\* E. Updating risks and opportunities to the audit programme: Incorrect. Updates to risks and opportunities occur during ongoing audits, not specifically post-audit.

\* F. Writing the audit report: Incorrect. The audit report is prepared before the audit is officially closed.

ISO References:

\* Clause 9.2.2: Audit process review.

\* ISO 19011:2018, Clause 6.7: Managing complaints and follow-up.

### NEW QUESTION # 14

During an internal audit of an OHSMS, what evidence would the auditor look for to demonstrate conformity to ISO 45001? Match each item with the correct ISO 45001 clause number.

| Evidence                                                        | ISO 45001 clause number |
|-----------------------------------------------------------------|-------------------------|
| Documented information on the organisation's legal requirements |                         |
| Assessment of OH&S risks                                        |                         |
| Internal and external issue determination                       |                         |
| Agreements with the organisation's external providers           |                         |

To complete the table click on the blank section you want to complete so that it is highlighted in red, and then click on the applicable text from the options below. Alternatively, drag and drop each option to the appropriate blank section.

Clause 6.1.3
Clause 8.1.4.2
Clause 6.1.2.2
Clause 4.1

**Answer:**

**Explanation:**

| Evidence                                                        | ISO 45001 clause number |
|-----------------------------------------------------------------|-------------------------|
| Documented information on the organisation's legal requirements | Clause 6.1.3            |
| Assessment of OH&S risks                                        | Clause 6.1.2.2          |
| Internal and external issue determination                       | Clause 4.1              |
| Agreements with the organisation's external providers           | Clause 8.1.4.2          |

To complete the table click on the blank section you want to complete so that it is highlighted in red, and then click on the applicable text from the options below. Alternatively, drag and drop each option to the appropriate blank section.

Clause 6.1.3
Clause 8.1.4.2
Clause 6.1.2.2
Clause 4.1

**Reference:**

Clause 4.1: Understanding the organization and its context.

Clause 6.1.2.2: Assessment of risks and opportunities related to OH&S.

Clause 6.1.3: Compliance obligations.

Clause 8.1.4.2: Control of external providers.

## NEW QUESTION # 15

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