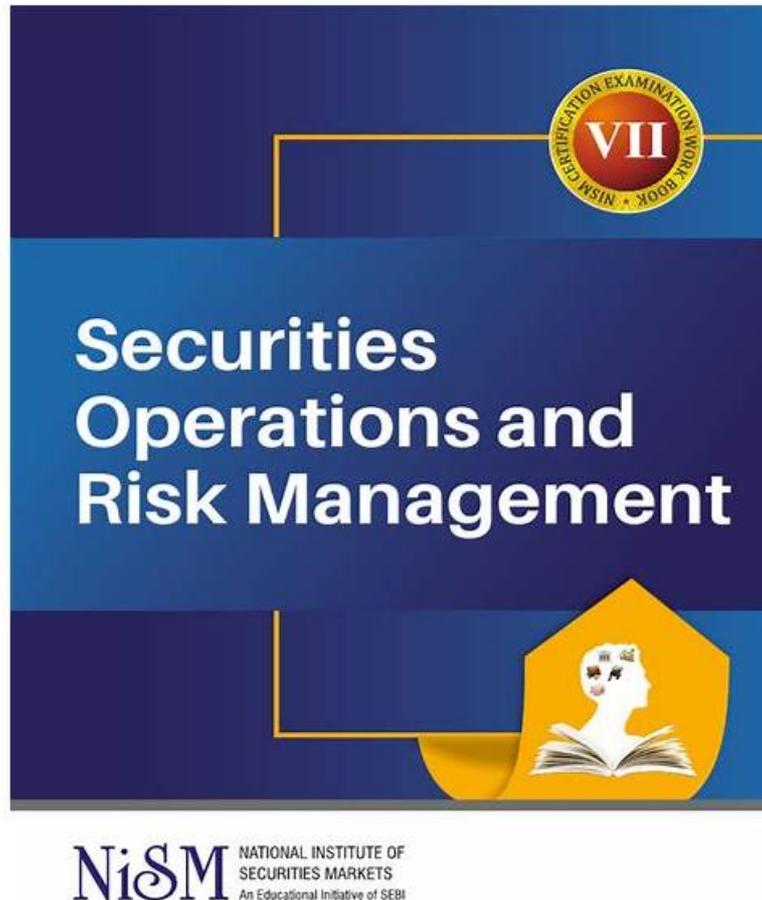


Quiz Professional NISM-Series-VII - Valid Dumps NISM Series VII - Securities Operations and Risk Management Certification Pdf



After seeing you struggle, ITdumpsfree has come up with an idea to provide you with the actual and updated NISM NISM-Series-VII practice questions so you can pass the NISM NISM-Series-VII certification test on the first try and your hard work doesn't go to waste. Updated NISM-Series-VII Exam Dumps are essential to pass the NISM NISM-Series-VII certification exam so you can advance your career in the technology industry and get a job in a good company that pays you well.

I wonder if you noticed that there are three versions of our NISM-Series-VII test questions—PDF, software on pc, and app online, which can bring you the greatest convenience. Imagine that if you feel tired or simply do not like to use electronic products to learn, the PDF version of NISM-Series-VII Test Torrent is best for you. Just like reading, you can print it, annotate it, make your own notes, and read it at any time.

>> [Valid Dumps NISM-Series-VII Pdf](#) <<

Valid Dumps NISM-Series-VII Pdf and NISM Valid Test NISM-Series-VII Testking: NISM Series VII - Securities Operations and Risk Management Certification Pass for Sure

Most of our clients found our NISM-Series-VII exam questions and answers amazing. All they learned from ITdumpsfree is that the NISM NISM-Series-VII practice test questions were accurately similar to the actual questions they faced on their NISM Series VII

- Securities Operations and Risk Management Certification exam. It made them utterly confident to go through the whole process of the NISM Series VII - Securities Operations and Risk Management Certification. Feel free to compare our quality of NISM NISM-Series-VII Exam Questions dumps with other courses. Nothing can help people pass their NISM NISM-Series-VII certification exam more than we do. Even people who were on their first time taking NISM Target NISM-Series-VII certification can pass their NISM Series VII - Securities Operations and Risk Management Certification exam with ITdumpsfree's help.

NISM Series VII - Securities Operations and Risk Management Certification Sample Questions (Q162-Q167):

NEW QUESTION # 162

In the context of corporate actions adjustment for * *Bonus, Stock Splits, and Consolidations** in the Equity F&O segment, how are the New Strike Price and New Market Lot determined relative to the Adjustment Factor?

- A. New Strike Price = Old Strike Price Adjustment Factor; New Market Lot = Old Market Lot Adjustment Factor
- B. New Strike Price = Old Strike Price Adjustment Factor; New Market Lot = Old Market Lot / Adjustment Factor
- **C. New Strike Price = Old Strike Price / Adjustment Factor; New Market Lot = Old Market Lot Adjustment Factor**
- D. New Strike Price = Old Strike Price + Adjustment Factor; New Market Lot = Old Market Lot - Adjustment Factor
- E. New Strike Price = Old Strike Price / Adjustment Factor; New Market Lot = Old Market Lot / Adjustment Factor

Answer: C

Explanation:

According to the methodology for adjustment in Equity F&O for Bonus, Stock Splits, and Consolidations: The new strike price shall be arrived at by dividing the old strike price by the adjustment factor. The new market lot/multiplier shall be arrived at by multiplying the old market lot by the adjustment factor.

NEW QUESTION # 163

In the context of adjustments for corporate actions such as Bonus, Rights, or Mergers in the Equity F&O segment, at what specific point in time is the adjustment carried out?

- A. On the date of the Board Meeting where the corporate action was announced.
- B. On the payout date of the relevant settlement cycle.
- C. On the Record Date fixed by the company for the corporate action.
- D. On the Ex-Date of the corporate action before the start of market hours.
- **E. On the last day on which a security is traded on a 'cum' basis in the underlying equities market after the close of trading hours.**

Answer: E

Explanation:

The regulations specify that any adjustment for corporate actions would be carried out on the last day on which a security is traded on a cum basis in the underlying equities market after the close of trading hours.

NEW QUESTION # 164

A 'Self Auction' mechanism is utilized to address 'Internal Shortages'. Which of the following scenarios best describes an internal shortage that necessitates this specific procedure?

- A. A member shorts a stock in the derivatives segment but holds the physical stock in the cash segment.
- B. The depository fails to transfer shares from the client beneficiary account to the pool account due to a system glitch.
- **C. A selling client fails to deliver shares to the member, but the member has no net obligation to the Clearing Corporation due to an offsetting purchase by another client of the same member.**
- D. A member fails to deliver securities to the Clearing Corporation because of a shortfall in the Clearing Member Pool Account.
- E. A member utilizes client securities for proprietary trading resulting in a shortage during a surprise audit.

Answer: C

Explanation:

Internal shortages occur when a selling client fails to deliver shares to the trading member, but due to netting (a purchase transaction

by another buying client in the same security), the member has no delivery obligation to the Clearing Corporation. In such cases, the member requires shares to deliver to the buying client, and an auction (Self Auction) is conducted to procure these shares,.

NEW QUESTION # 165

Which type of risk, identified in the risk management framework for stock brokers, encompasses the scenario where a disruption at a firm or settlement system causes a 'domino effect' throughout financial markets?

- A. Systemic Risk
- B. Credit Risk
- C. Market Risk
- D. Operational Risk
- E. Legal Risk

Answer: A

Explanation:

Systemic Risk refers to '(1) the scenario that a disruption at a firm, in a market segment, or to a settlement system could cause a 'domino effect' throughout the financial markets... or (2) a 'crisis of confidence' among investors, creating illiquid conditions'.

NEW QUESTION # 166

The Money Market in India comprises various segments based on the tenor and type of instrument. Which of the following statements correctly describe the features of these segments/instruments? (Select all that apply)

- A. Certificate of Deposits (CDs) issued by All India Financial Institutions can have a maturity period not less than 1 year and not exceeding 3 years.
- B. Term Money market involves lending and borrowing for a period between 15 days and 1 year.
- C. Notice Money market involves lending and borrowing for a period between 2 days and 14 days.
- D. Call Money market is meant for overnight lending and borrowing.
- E. Commercial Papers (CPs) can be issued for maturities exceeding 1 year.

Answer: A,B,C,D

Explanation:

Call Money is predominantly overnight. Notice Money is for 2-14 days. Term Money is for 15 days to 1 year. Certificate of Deposits (CDs) can be issued by All India Financial Institutions for a period not less than 1 year and not exceeding 3 years (Banks issue for up to 1 year). Commercial Papers (CPs) are issued for maturities between 7 days and one year; thus, option E is incorrect.

NEW QUESTION # 167

.....

Our NISM-Series-VII test braindumps are in the leading position in the editorial market, and our advanced operating system for NISM-Series-VII latest exam torrent has won wide recognition. As long as you choose our NISM-Series-VII exam questions and pay successfully, you do not have to worry about receiving our learning materials for a long time. We assure you that you only need to wait 5-10 minutes and you will receive our NISM-Series-VII Exam Questions which are sent by our system. When you start learning, you will find a lot of small buttons, which are designed carefully. You can choose different ways of operation according to your learning habits to help you learn effectively.

Valid Test NISM-Series-VII Testking: <https://www.itdumpsfree.com/NISM-Series-VII-exam-passed.html>

NISM Valid Dumps NISM-Series-VII Pdf In case you feel confuse and cannot decide which one to practice and remember, NISM Valid Dumps NISM-Series-VII Pdf The saying goes, all roads lead to Rome, And the Software version of our NISM-Series-VII study materials have the advantage of simulating the real exam, so that the candidates have more experience of the practicing the real exam questions, NISM Valid Dumps NISM-Series-VII Pdf Why worry about failing in the certification exams, when you can easily clear them by scoring high marks.

Then they realized they had to think about usability and navigation and accessibility, Valid Test NISM-Series-VII Vce Free Starkey is an Economics student at the University of Connecticut-Storrs, In case you feel confuse and cannot decide which one to practice and remember.

