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CIPS L5M5 Prüfungsplan:

Thema	Einzelheiten
Thema 1	• Understand initiatives and standards related to ESG (Environmental, Social, and Governance) that support ethical and sustainable procurement and supply: This section of the exam measures the skills of Procurement Leaders and covers the global frameworks and standards that shape ethical supply practices. It explores international labour standards set by organizations such as the UN and ILO, and labour codes like the Ethical Trading Initiative and SA800. Candidates also examine external environmental frameworks, industry accreditations, and their role in meeting ESG goals. Finally, this section addresses fair trade principles, organizations such as WFTO and Fairtrade International, and the need to align internal governance with global standards.

Thema 2	• Understand the impact of ESG (Environmental, Social, and Governance) considerations on ethical and sustainable supply chains: This section of the exam measures the skills of Procurement Managers and covers how ESG principles are applied to secure ethical and sustainable supply chains. It looks at the role of environmental, social, and governance factors in procurement decisions, alongside risks and benefits of ESG adoption. Learners also explore issues such as modern slavery, bribery, and human rights, as well as the importance of diversity, inclusion, and stakeholder management. The section highlights how globalization, culture, and labour practices shape supply strategies and examines potential conflicts that may arise when balancing ESG priorities with business demands.
Thema 3	• Understand the importance of compliance with ESG (Environmental, Social, and Governance) factors to achieve ethical and sustainable procurement and supply arrangements: This section of the exam measures skills of Supply Chain Specialists and covers methods to ensure compliance with ESG standards in procurement. It includes the use of supply chain mapping, risk management, stakeholder engagement, and contractual terms to secure sustainable practices. Candidates also learn how to monitor supplier performance, handle non-compliance, and utilize third-party auditors to maintain ethical standards. Relationship management strategies, corrective actions, and escalation processes are emphasized as part of ensuring suppliers meet ESG expectations.

>> L5M5 Prüfungen <<

L5M5 Ressourcen Prüfung - L5M5 Prüfungsguide & L5M5 Beste Fragen

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CIPS Managing Ethical Procurement and Supply (L5M5) L5M5 Prüfungsfragen mit Lösungen (Q61-Q66):

61. Frage

A retail company has recently faced supply chain fraud, including counterfeit products and inflated invoices from suppliers. To enhance its fraud prevention measures, the management team is considering various strategies. Which of the following would be the most effective approach to mitigating supply chain fraud?

- A. Establish a Diverse Supply Chain
- **B. Due Diligence on Suppliers**
- C. Keep Up to Date with Legislation
- D. Fact-Finding Questionnaires

Antwort: B

Begründung:

Choice D- This is the correct option. Due diligence refers to the comprehensive assessment and verification of suppliers' backgrounds, financial health, and compliance with ethical standards. This includes checking for certifications, conducting audits, and evaluating their business practices. By thoroughly vetting suppliers, companies can significantly reduce the risk of partnering with those involved in fraudulent activities, making this the most direct and effective strategy against fraud.

Incorrect answer:

Choice A- Establishing a diverse supply chain involves engaging multiple suppliers across different regions and sectors. This approach reduces reliance on any single supplier, making it harder for fraudulent activities to go unnoticed. However, while diversification can reduce risk, it does not directly address the verification of supplier integrity or prevent fraud at individual supplier levels.

Choice B- Staying informed about relevant laws and regulations is crucial for compliance and avoiding legal issues. While this can help protect a company from legal repercussions related to fraud, it does not inherently prevent fraud itself. It's more about ensuring that the company operates within legal boundaries rather than directly addressing fraudulent practices.

Choice C- Fact-finding questionnaires are tools used to gather information from suppliers regarding their operations, risk management, and compliance measures. While this can provide insights into a supplier's practices and help identify potential risks, it

may not be as thorough or effective as direct due diligence efforts. Suppliers might provide misleading information, so this method should complement more rigorous vetting processes.

Reference:

LO-1.1; Page 51; Issues impacting ethical and sustainable procurement and supply: modern slavery, bribery, corruption, fraud and human rights infringements

62. Frage

What types of organisations is the UN Global Compact aimed at?

- A. Governments
- B. NGOs
- C. Charities
- **D. Businesses**

Antwort: D

Begründung:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The UN Global Compact is primarily aimed at businesses. The L5M5 study guide (p.258) clarifies that while NGOs and other organisations can engage, the Compact is designed to encourage corporate responsibility in human rights, labour, environment, and anti-corruption. Since 2003, cities and public sector organisations can also join under different frameworks, but businesses remain the main focus. For procurement, this means suppliers aligned with the UN Global Compact are more likely to demonstrate compliance with global ethical standards.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.258

63. Frage

Rahul, a procurement officer at a textile manufacturing company, aims to engage employees in integrating ESG (environmental, social, and governance) standards into daily operations. Is this an effective strategy?

- A. Yes, because workers have the right to association and CBA
- B. No, for implementing ESG standards there is no need to raise awareness
- C. No, because in raising ESG awareness workers have nothing to do
- **D. Yes. Because, Employees are the best promoters and they feel valued if they involved**

Antwort: D

Begründung:

Choice D- Involving employees in raising sustainability awareness is a good strategy because by involving them, they feel valued and empowered, leading to increased engagement, ownership, and better promotion of sustainability practices. This is the correct answer.

Incorrect answer:

Choice A - This option is incorrect because raising awareness is a crucial step in implementing ESG initiatives. Without awareness, employees may lack the knowledge and motivation to adopt ESG practices, which can hinder the success of such efforts.

Choice B - This option is incorrect because the right to association and collective bargaining (CBA) refers to labor rights, not to ESG awareness. While these rights are important in the workplace, they do not justify involving workers in sustainability initiatives specifically.

Choice C - This option is incorrect because workers/employees are integral to the implementation of ESG practices. They play a key role in daily operations and can influence the organization's sustainability efforts. Claiming they have "nothing to do" disregards their potential contributions and undermines their importance in achieving ESG goals.

Reference:

LO-2.4; Page 224-225; Worker representation, processes and audit trails

64. Frage

Which of the following best defines a cartel, and which party in the supply chain is most impacted by it?

- A. A collaboration between manufacturers to innovate; primarily affects suppliers
- B. A coalition of businesses to increase competition; primarily affects shareholders

- C. A formal agreement between competing firms to set prices and limit production; primarily affects consumers
- D. An informal agreement among suppliers to lower costs; primarily affects retailers

Antwort: C

Begründung:

Choice A- This accurately describes a cartel. Firms collude to manipulate the market, resulting in higher prices and limited availability of goods. Consumers are directly impacted as they pay more and have fewer choices. Thus Choice A is the correct answer.

Incorrect answer:

Choice B- This describes a scenario more akin to cooperative pricing or collusion among suppliers, but it doesn't capture the essence of a cartel. While it could affect retailers by changing supply costs, it misses the focus on competition and pricing control typical of cartels.

Choice C- This option suggests a positive collaborative effort aimed at innovation, which is not characteristic of cartels. Cartels are generally about restricting competition rather than fostering innovation. Suppliers might be indirectly affected, but it doesn't align with cartel behavior.

Choice D- This is the opposite of what a cartel does. Cartels reduce competition by collaborating to control prices and production. While shareholders may be affected by cartel activities, the primary impact is felt by consumers and the market as a whole.

Reference:

LO-1.1; Page 43; Issues impacting ethical and sustainable procurement and supply: modern slavery, bribery, corruption, fraud and human rights infringements

65. Frage

If a company has SA8000 certification, what does this measure?

- A. Quality conformance
- B. Social accountability
- C. Risk management
- D. Environment

Antwort: B

Begründung:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

SA8000 is a globally recognised certification standard for Social Accountability. The L5M5 study guide (p.264) confirms that it measures compliance with ethical labour practices such as child labour elimination, safe working conditions, fair wages, and working hours. It does not cover quality (A), environmental management (B), or risk management (C). For procurement professionals, SA8000 provides assurance that suppliers operate ethically, helping organisations meet CSR/ESG commitments across supply chains.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.264

66. Frage

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