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CMT Association CMT Level 1 Exam Sample Questions (Q121-Q126):

NEW QUESTION # 121

A narrowing of Bollinger Bands normally indicates that:

- A. A stock's volatility has increased
- B. A stock is ready for a decline
- C. A stock is ready for a rally

- **D. A stock's volatility has decreased**

Answer: D

Explanation:

Detailed Explanation:

Bollinger Bands are based on standard deviation. When the bands narrow ("The Squeeze"), it visually represents that the standard deviation is shrinking, meaning the stock's volatility has decreased. This low- volatility condition often precedes a significant breakout (high volatility).

NEW QUESTION # 122

According to the work of Charles Dow and his successors, now referred to as Dow Theory, which of the following is not a hypothesis for the nature of markets and technical analysis?

- **A. Prices move at random**
- B. Dow Theory is not infallible
- C. The primary trend is inviolate
- D. The averages discount everything

Answer: A

Explanation:

Detailed Explanation:

Technical Analysis and Dow Theory are built on the premise that prices follow trends and are determined by human psychology (supply/demand), which is non-random. The Efficient Market Hypothesis suggests prices are random (Random Walk), which contradicts the core tenet of Technical Analysis. Therefore, "Prices move at random" is NOT a Dow Theory hypothesis.

NEW QUESTION # 123

Which of the following gives returns only due to price change? Treasury bills, treasury bonds, Agricultural commodities or Precious metals?

- A. Agricultural commodities, precious metals, treasury bonds as well as treasury bills
- B. Agricultural commodities and precious metals
- C. Precious metals and treasury bills
- **D. Agricultural commodities, precious metals and treasury bills**

Answer: D

Explanation:

Detailed Explanation:

* Commodities & Precious Metals: Non-yielding assets; return is purely from price appreciation.

* Treasury Bills: Issued at a discount and mature at par. They do not pay a coupon (interest). The "return" is the difference in price (capital gain), technically a price change mechanism.

* Treasury Bonds: Pay regular coupons (interest).

Therefore, Commodities, Metals, and T-Bills rely on price difference (or discount appreciation), unlike Bonds which pay explicit interest. Source key answer C aligns with this logic.

NEW QUESTION # 124

Why is it important to ignore opinions and focus on facts in market analysis?

- A. Unexpected news does not get factored into the market
- **B. General news reflects slowly unfolding economic and financial trends not yet discounted by the markets**
- C. Opinions are usually biased
- D. Stock market news is more important than general news in determining the future direction of the markets

Answer: B

Explanation:

Detailed Explanation:

(Note: Following the Source Key):

The key selects B.

The rationale is that general news often reports on economic trends that are already lagging or "slowly unfolding," whereas the market price (the fact) discounts the future.

Relying on news (opinions/reports) often puts the trader behind the market curve.

NEW QUESTION # 125

A complete collection of daily charts of the Wall Street was first published by:

- A. William Hamilton
- B. Richard Wyckoff
- C. Robert Rhea
- D. Samuel Nelson

Answer: C

Explanation:

Detailed Explanation:

Robert Rhea, a prominent Dow Theorist, was instrumental in compiling and publishing extensive chart data.

His work (like "The Dow Theory") relied heavily on the visual history of the averages.

NEW QUESTION # 126

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