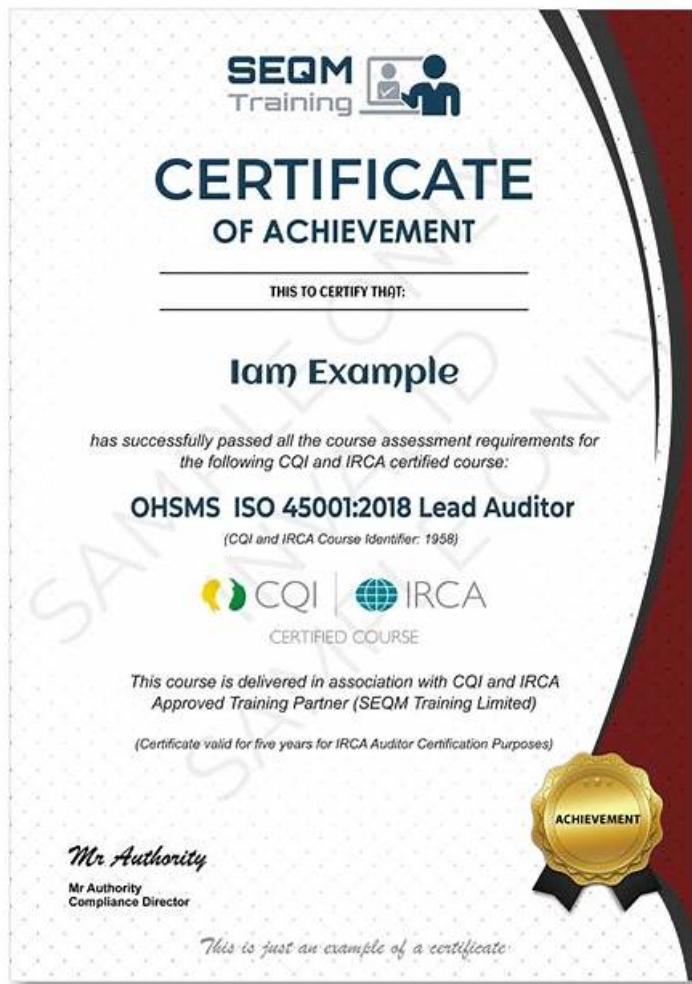# Latest ISO-45001-Lead-Auditor Test Report & ISO-45001-Lead-Auditor Real Dumps Free

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q17-Q22):

NEW QUESTION # 17
Which two of the following are examples of audit objectives for the audit team?

- A. To reduce instances of injury and ill health.
- B. To improve overall health and safety performance.
- C. To implement any necessary corrective action.
- D. To complete the audit on time.
- E. To minimise risk resulting from the audit process.

Answer: D,E

Explanation:
Audit objectives for the audit team focus on ensuring the audit process is executed effectively, efficiently, and without causing undue disruption to the organization being audited. ISO 19011:2018 provides guidance on setting audit objectives.
Analysis of Options:
A . To reduce instances of injury and ill health:
Incorrect. This is an objective of the OH&S management system, not of the audit itself.
B . To minimise risk resulting from the audit process:
Correct. ISO 19011 emphasizes managing risks to the auditee and the audit process during audits (Clause 5.4).
C . To improve overall health and safety performance:
Incorrect. Improving health and safety performance is an outcome of the OH&S management system, not the audit team's objective.
D . To complete the audit on time:
Correct. Ensuring timely completion of the audit aligns with effective audit management.
E . To implement any necessary corrective action:
Incorrect. Implementing corrective actions is the auditee's responsibility, not the audit team's.
Reference:
ISO 45001:2018:
Clause 5.4: Worker consultation and participation.
Clause 6.2: OH&S objectives and planning.
Clause 8.1.2: Hierarchy of controls and worker involvement.
ISO 19011:2018: Guidelines for auditing management systems.

NEW QUESTION # 18
Select the two options which represent an advantage of using a sampling plan for the audit.

- A. May miss key issues
- B. May require an increased audit duration
- C. Use of the plan for consecutive audits without review
- D. Implements the audit plan efficiently
- E. Gives confidence in the audit results
- F. Overrules the auditor's instincts

Answer: D,E

NEW QUESTION # 19
Wash-it-up is an organization that provides window cleaning services for the industrial sector. It has been certified t ISO 45001 for some time and has appointed a new OHS Manager. The audit plan during a surveillance audit includes the improvement actions and the auditor asks to see the most recent management review meeting minutes.
surveillance audit includes the Improvement actions and the auditor asks to see the most recent management review meeting minutes.
Which six of the statements would represent output audit evidence for the management review?

- A. Updates to the risk register
- B. Improvements In the OHSMS
- C. Plan to priorities health and safety issues as a business strategy
- D. Procurement of new safety harnesses for workers
- E. Minutes of previous management reviews
- F. Worker feedback of positive and negative comments
- G. Opportunities to integrate the OHS management system with other business processes
- H. Allocation of a bigger budget for the OHS department
- I. Decagons related to continual improvement opportunities
- J. Report showing the trend of an increase In safety incidents

Answer: A,B,C,G,H,I

Explanation:
Comprehensive Detailed Explanation along with All ISO 45001 Audit References Clause 9.3 of ISO 45001:2018 outlines the requirements for management reviews. These reviews must evaluate the performance of the OHSMS and identify opportunities for improvement. Outputs of management reviews typically include decisions and actions that align with strategic and operational priorities.
Analysis of Options:
* A. Decisions related to continual improvement opportunities:This is a valid output, as continual improvement is a key objective of management reviews under Clause 10.3.
* B. Allocation of a bigger budget for the OHS department:Resource allocation is a common output of management reviews to address identified needs.
* C. Improvements in the OHSMS:Improvements reflect the organization's commitment to enhancing safety performance.
* D. Minutes of previous management reviews:While minutes provide context, they are not an output of the current management review.
* E. Opportunities to integrate the OHS management system with other business processes:
Integration opportunities are valid outputs, as they enhance the system's effectiveness.
* F. Plan to prioritize health and safety issues as a business strategy:Strategic alignment of health and safety with business objectives is an expected output.
* G. Procurement of new safety harnesses for workers:This is an operational action, not a direct output of the management review process.
* H. Report showing the trend of an increase in safety incidents:This is an input to the management review, not an output.
* I. Updates to the risk register:Risk register updates reflect changes in identified hazards and risks, making this a valid output.
* J. Worker feedback of positive and negative comments:Worker feedback is an input to the management review, not an output.
ISO References:
* Clause 9.3: Management review inputs and outputs.
* Clause 10.3: Continual improvement actions.

NEW QUESTION # 20
Match the correct responsibility with each participant of a second-party audit:

Answer:

Explanation:

A screenshot of a computer Description automatically generated

Here is the correct matching of responsibilities with the audit participants:

Responsibility	Audit Participant
Prepares the audit report	Audit Team Leader
Prepares audit checklists for use during the audit	Auditor
Participates under the direction and guidance of an auditor	Auditor in Training
Follows up on audit findings within an agreed timeframe	Auditee
A person who accompanies the audit team but does not act as an auditor	Observer
Escorts the auditors but does not participate in the audit	Guide

Explanation:
* Prepares the audit report # Audit Team Leader:The Audit Team Leader is responsible for compiling and preparing the final audit report, summarizing findings and conclusions.
* Prepares audit checklists for use during the audit # Auditor:Auditors create checklists to ensure a thorough and systematic evaluation during the audit.
* Participates under the direction and guidance of an auditor # Auditor in Training:An auditor in training works under supervision to gain experience and contribute to the audit process.
* Follows up on audit findings within an agreed timeframe # Auditee:The auditee is responsible for addressing and resolving audit findings and providing evidence of corrective actions.
* A person who accompanies the audit team but does not act as an auditor # Observer:Observers are typically external parties or internal personnel who watch the audit process but do not participate actively.
* Escorts the auditors but does not participate in the audit # Guide:A guide facilitates the audit by helping auditors navigate the site and access relevant areas or documents.
References
* ISO 19011:2018: Guidelines for auditing management systems.

NEW QUESTION # 21
A trainee audit team leader Is being coached by an experienced audit team leader prior to carrying out his first Stage 2 certification audit in the team leader role. They are discussing the typical contents of audit reports and the experienced audit team leader is keen to ensure the trainee understands what such reports should contain.
Which three of the trainee's responses are incorrect?

- A. A corrective action plan that add-cross the identified nonconformities
- B. A copy of the certification body Invoice for the audit
- C. A reference to the audit criteria used
- D. Audit findings and any related evidence
- E. Confirmation of the audit scope

- - **F. Contact details for all members of the audit team**
  - G. A statement of the audit objectives
  - H. The audit conclusions reached

Answer: A,B,F

Explanation:
Audit reports should provide a clear summary of the audit process, findings, and conclusions based on the defined scope, objectives, and criteria. They do not include administrative details (e.g., invoices) or operational aspects (e.g., corrective action plans).
Analysis of Options:
* A. A copy of the certification body invoice for the audit:Incorrect. Invoices are unrelated to the content of audit reports and are handled separately.
* B. A reference to the audit criteria used:Correct. Audit criteria (e.g., ISO 45001 standards) must be included in the report to define the basis for the audit.
* C. A statement of the audit objectives:Correct. The report must outline the objectives to ensure clarity on the purpose of the audit.
* D. Audit findings and any related evidence:Correct. Findings and evidence are essential to support conclusions and recommendations.
* E. Confirmation of the audit scope:Correct. The scope defines the boundaries of the audit and must be documented in the report.
* F. Contact details for all members of the audit team:Incorrect. Personal contact details are unnecessary and not typically included in reports.
* G. The audit conclusions reached:Correct. Conclusions summarize the outcomes of the audit, such as conformity, nonconformities, or recommendations.
* H. A corrective action plan that addresses the identified nonconformities:Incorrect. Corrective action plans are the auditee's responsibility and not included in the audit report.
ISO References:
* ISO 19011:2018, Clause 6.7.3: Content of audit reports.

NEW QUESTION # 22

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