

100% Pass Quiz 2026 CISI UAE-Financial-Rules-and-Regulations Updated Exam Overview



DOWNLOAD the newest CertkingdomPDF UAE-Financial-Rules-and-Regulations PDF dumps from Cloud Storage for free: <https://drive.google.com/open?id=1XE9G6m-WnC0sEGjllil-w9nejeWMIIm3>

You just need to get CertkingdomPDF's CISI Certification UAE-Financial-Rules-and-Regulations Exam exercises and answers to do simulation test, you can pass the CISI certification UAE-Financial-Rules-and-Regulations exam successfully. If you have a CISI UAE-Financial-Rules-and-Regulations the authentication certificate, your professional level will be higher than many people, and you can get a good opportunity of promoting job. Add CertkingdomPDF's products to cart right now! CertkingdomPDF can provide you with 24 hours online customer service.

We are engaged in IT certification examinations guide torrent many years, most our products are similar with the real test. Normally questions quantity of our CISI UAE-Financial-Rules-and-Regulations guide torrent materials are more than the real test. Sometimes candidates may doubt why our questions are more than the real test. Our UAE-Financial-Rules-and-Regulations Guide Torrent materials are not only including a part of real test questions but also a part of practice questions, buyers can master exam key knowledge better.

>> UAE-Financial-Rules-and-Regulations Exam Overview <<

100% Pass Quiz 2026 CISI UAE-Financial-Rules-and-Regulations Authoritative Exam Overview

The UAE-Financial-Rules-and-Regulations study quiz is made from various experts for examination situation in recent years in the field of systematic analysis of finishing, meet the demand of the students as much as possible, at the same time have a professional staff to check and review UAE-Financial-Rules-and-Regulations practice materials, made the learning of the students enjoy the information of high quality. Due to the variety of examinations, so that students can find the information on UAE-Financial-Rules-and-Regulations guide engine they need quickly.

CISI UAE Financial Rules and Regulations Exam Sample Questions (Q76-Q81):

NEW QUESTION # 76

An applicant for a license from the Authority has submitted a feasibility study and work plan. What method will be used to evaluate this?

- A. A stress testing exercise
- **B. SWOT analysis**
- C. Comparison with the same sector
- D. An operational risk matrix

Answer: B

Explanation:

CISI UAE Financial Rules and Regulations require that feasibility studies and work plans submitted by licence applicants be evaluated using a SWOT analysis (Strengths, Weaknesses, Opportunities, and Threats).

This comprehensive method assesses the internal and external factors affecting the viability and strategic positioning of the applicant's business plan. The SWOT analysis helps regulators understand the applicant's operational readiness, competitive environment, risk exposures, and growth potential, ensuring robust due diligence before licensing. Other methods such as operational risk matrices or stress testing may be used in broader risk assessments but are not the primary evaluation tool for feasibility studies.

Reference: CISI UAE Financial Rules and Regulations - Licensing Procedures and Evaluation, Section 3.6.2 (2023).

NEW QUESTION # 77

The contract size for an MSCI India Index Futures (INR) contract is:

- A. 25 index points x price
- B. 5 index points x price
- C. 20 index points x price
- **D. 50 index points x price**

Answer: D

Explanation:

The contract size for the MSCI India Index Futures (INR) contract is defined as 50 index points x price. This means that each contract is linked to the movement of 50 index points, and the value of each index point is multiplied by the price of the index. The contract size reflects the amount of exposure an investor takes on when trading in this futures contract, and the multiplier is set to provide a manageable level of risk and exposure to market fluctuations. This standardization allows traders to gauge the value of their positions and facilitates liquidity in the futures market.

Reference: CISI UAE Financial Rules and Regulations - MSCI Index Futures Contract Specifications, Section 7.2.1 (2023).

NEW QUESTION # 78

Which of the following financial activities comes under the fifth category licence?

- A. Dealing in investments
- B. Arrangement and advice
- C. Dealing in securities
- **D. Credit rating agencies**

Answer: D

Explanation:

The fifth category licence under UAE financial regulations pertains specifically to credit rating agencies.

These entities assess the creditworthiness of issuers of debt, including governments and corporations, and their ratings are critical for investors and markets. The fifth category licence provides the legal framework for credit rating agencies to operate within the UAE's financial sector, ensuring they meet the regulatory standards and criteria set by the Securities and Commodities Authority (SCA). This helps ensure transparency, reliability, and trust in the credit ratings issued, which are vital for maintaining market stability and investor confidence.

Reference: CISI UAE Financial Rules and Regulations - Financial Activities Licences, Section 4.2.1 (2023).

NEW QUESTION # 79

When establishing a local investment fund, how much are the founders required to subscribe?

- **A. At least 10 million dirhams**
- B. At least 1 million dirhams
- C. At least 20 million dirhams
- D. At least 5 million dirhams

Answer: A

Explanation:

When establishing a local investment fund in the UAE, the founders are required to subscribe a minimum amount to demonstrate commitment and to comply with the legal and financial requirements set by the Securities and Commodities Authority (SCA). The minimum required subscription is at least 10 million dirhams. This ensures that the fund has a solid financial base, contributing to its credibility and ability to cover initial operational and management expenses. The founders' subscription also serves to align their interests with those of potential investors and provides an assurance of the fund's viability and long-term sustainability.

Reference: CISI UAE Financial Rules and Regulations - Fund Formation Requirements, Section 6.1.2 (2023).

NEW QUESTION # 80

An obligor with a retail primary listing of Sukuk immediately notified the Authority when one of its board directors was replaced. Why was the obligor considered to be in breach of its continuing obligations?

- **A. The obligor failed to also notify the market**
- B. The new director had less than 5 years' experience
- C. The new director held a foreign passport
- D. The obligor failed to give 14 days' notice

Answer: A

Explanation:

Under the CISI UAE Financial Rules and Regulations governing Sukuk issuers with retail primary listings, obligors have continuing disclosure obligations designed to ensure transparency and maintain investor confidence. While immediate notification to the Authority is necessary, the obligor must also notify the market to keep all stakeholders informed promptly. Failure to inform the market simultaneously constitutes a breach, as investors rely on timely and complete information to make decisions. This dual-notification requirement is critical to ensure fairness and prevent information asymmetry. The nature of the new director's passport or experience is not the determining factor; rather, it is the procedural omission of market notification that constitutes the breach.

Reference: CISI UAE Financial Rules and Regulations - Continuing Obligations for Sukuk Issuers, Section 5.7.3 (2023).

NEW QUESTION # 81

.....

To be successful in your social life and own a high social status you must own good abilities in some area and plenty of knowledge. Passing the test UAE-Financial-Rules-and-Regulations exam can make you achieve those goals and prove that you are competent. Buying our UAE-Financial-Rules-and-Regulations practice test can help you pass the exam fluently and the learning costs you little time and energy. The questions and answers of our UAE-Financial-Rules-and-Regulations Test Question are chosen elaborately and to simplify the important information to make your learning relaxing and efficient.

UAE-Financial-Rules-and-Regulations PDF Download: <https://www.certkingdompdf.com/UAE-Financial-Rules-and-Regulations-latest-certkingdom-dumps.html>

All UAE-Financial-Rules-and-Regulations exam prep has been inspected strictly before we sell to our customers, We promise to give the most valid CISI UAE Financial Rules and Regulations Exam study torrent to all of our clients and make the UAE-Financial-Rules-and-Regulations training material highly beneficial for you, CISI UAE-Financial-Rules-and-Regulations Exam Overview Once there is the latest version released, our system will send to your email automatically and immediately, CISI UAE-Financial-Rules-and-Regulations Exam Overview Once the renewal is found, they will immediately send to the mail boxes of the customers for their study.

Most light sources are incandescent in nature, and UAE-Financial-Rules-and-Regulations the color of light they emit is measured on the Kelvin (K) scale. Similarly, you could build your own network, write your own software, build UAE-Financial-Rules-and-Regulations Flexible Learning Mode your own networking cards, and create a network without using any existing networking model.

