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Lead-Auditor exam questions are essential to clear the ISO-45001-Lead-Auditor exam in one go. Applicants are better prepared to succeed when they prepare with the updated PECB ISO-45001-Lead-Auditor Questions. These ISO-45001-Lead-Auditor exam questions give applicants the knowledge they need to quickly ace the ISO-45001-Lead-Auditor examination.

PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.
Topic 2	<ul style="list-style-type: none"> ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 3	<ul style="list-style-type: none"> Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.
Topic 4	<ul style="list-style-type: none"> Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.

PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q42-Q47):

NEW QUESTION # 42

Out of the following, which is not the Part of PDCA cycle ISO 45001? Select the correct option, and then select Submit.

- A. Analyzing OHSMS Performance
- B. Treatment of Risks
- C. OHSMS Policy
- D. Environment Management Program

Answer: D

Explanation:

The PDCA cycle (Plan-Do-Check-Act) is a core framework in ISO 45001 for continual improvement of the OH and S management system. An Environmental Management Program is part of ISO 14001 (Environmental Management System) and not ISO 45001.

Analysis of Options:

- * A. Treatment of Risks: Correct. Risk treatment is part of the Plan phase.
- * B. OHSMS Policy: Correct. The policy is developed in the Plan phase.
- * C. Environment Management Program: Incorrect. This is outside the scope of ISO 45001 and relates to ISO 14001.
- * D. Analyzing OHSMS Performance: Correct. Performance analysis is part of the Check phase.

ISO References:

- * Clause 0.3: PDCA framework in ISO 45001.

NEW QUESTION # 43

In the ISO 45001 health and safety management system, what is ' documented information ' ? Select the ONE best answer.

- A. The master copies of the documents used to operate the system, held in any format

- B. Paperwork such as Permits to work and risk assessments
- C. Any information about the system, or generated by it, held in any format
- D. Any paperwork generated when the system is in operation

Answer: C

Explanation:

ISO 45001 defines "documented information" as information required to be controlled and maintained by an organization. This can include policies, procedures, records, or other relevant data in any format (Clause 3.19). It encompasses all information used to establish, operate, and demonstrate the effectiveness of the OH and S management system.

Analysis of Options:

- * A. Any paperwork generated when the system is in operation: Incorrect. This is too narrow and excludes digital or other formats.
- * B. Any information about the system, or generated by it, held in any format: Correct. This aligns with the ISO 45001 definition in Clause 3.19.
- * C. Paperwork such as Permits to Work and risk assessments: Incorrect. These are examples of documented information but do not encompass the full scope of the term.
- * D. The master copies of the documents used to operate the system, held in any format: Incorrect. This definition is limited to master copies, while documented information includes records as well.

ISO References:

- * Clause 3.19: Definition of documented information.
- * Clause 7.5: Control of documented information.

NEW QUESTION # 44

You are conducting a Stage 2 certification audit to ISO 45001 at an adventure park in the Scottish Highlands.

The park offers treetop walks, zip-line rides, walking trails, and horse-riding trips. The park is open to adults and children of any age. You are particularly interested in compliance with legal requirements and interview the Park Manager.

You: How do you evaluate the risks to participants that the various activities present?

Park Manager: Our risks are covered by insurance, and we operate under health and safety legislation that requires frequent checks of all our facilities. For example, we trust staff to check all our harnesses every morning.

You: Are you required to have an independent inspection carried out of zip lines, for example?

Park Manager: Yes, our insurance company employs a reputable body to do that sort of thing.

You: Can you show me a copy of the latest inspection report? Park Manager: I'd need to get that from the insurance organisation. I have the initial one when we opened eight years ago.

You examine the inspection report, which takes the form of a checklist that does not identify individual zip lines, treetop platforms, harnesses, or rope ladders. It is dated eight years previously and has a scribbled signature with no other identification of the inspection engineer. Select the two statements for which there is evidence of a nonconformity to ISO 45001.

Select two statements.

- A. The inspection organisation employed by the insurance organisation was not evaluated.
- B. Testing to determine the safe loading of equipment was not carried out.
- C. The park did not have the required documentation to demonstrate compliance with legal requirements.
- D. The organisation did not monitor the safety checks by the staff of equipment.
- E. The park relied on the insurance organisation to evaluate its OHS risks.

Answer: A,C

Explanation:

ISO 45001 requires organizations to identify and maintain documentation to demonstrate compliance with legal and other requirements (Clause 7.5 and Clause 9.1.2). It also requires the evaluation and control of outsourced processes (Clause 8.1.4.3).

Analysis of Options:

- * A. The park did not have the required documentation to demonstrate compliance with legal requirements: Correct. The outdated and incomplete inspection report (8 years old, lacking detailed identification) fails to demonstrate compliance with health and safety legislation.
- * B. The organization did not monitor the safety checks by staff of equipment: Incorrect. While there is a potential gap in monitoring, the evidence provided does not directly indicate a lack of monitoring of staff checks.
- * C. The inspection organization employed by the insurance organization was not evaluated: Correct. ISO 45001 requires the organization to evaluate outsourced services, including those provided by the inspection body, to ensure their adequacy.
- * D. The park relied on the insurance organization to evaluate its OHS risks: Incorrect. Reliance on external evaluations may not

constitute a nonconformity if risks are properly managed, but there is no evidence provided for noncompliance here.

* E. Testing to determine the safe loading of equipment was not carried out: Incorrect. While load testing is crucial, there is no evidence presented to confirm this specific issue as a nonconformity.

ISO References:

* Clause 7.5: Control of documented information.

* Clause 8.1.4.3: Control of outsourced processes.

* Clause 9.1.2: Evaluation of compliance.

NEW QUESTION # 45

A trainee audit team leader is being coached by an experienced audit team leader prior to carrying out his first Stage 2 certification audit in the team leader role. They are discussing the typical contents of audit reports and the experienced audit team leader is keen to ensure the trainee understands what such reports should contain.

Which three of the trainee's responses are incorrect?

- A. Contact details for all members of the audit team
- B. Confirmation of the audit scope
- C. A copy of the certification body Invoice for the audit
- D. A corrective action plan that add-cross the identified nonconformities
- E. The audit conclusions reached
- F. Audit findings and any related evidence
- G. A reference to the audit criteria used
- H. A statement of the audit objectives

Answer: A,C,D

Explanation:

Audit reports should provide a clear summary of the audit process, findings, and conclusions based on the defined scope, objectives, and criteria. They do not include administrative details (e.g., invoices) or operational aspects (e.g., corrective action plans).

Analysis of Options:

A : A copy of the certification body invoice for the audit:

Incorrect. Invoices are unrelated to the content of audit reports and are handled separately.

B : A reference to the audit criteria used:

Correct. Audit criteria (e.g., ISO 45001 standards) must be included in the report to define the basis for the audit.

C : A statement of the audit objectives:

Correct. The report must outline the objectives to ensure clarity on the purpose of the audit.

D : Audit findings and any related evidence:

Correct. Findings and evidence are essential to support conclusions and recommendations.

E : Confirmation of the audit scope:

Correct. The scope defines the boundaries of the audit and must be documented in the report.

F : Contact details for all members of the audit team:

Incorrect. Personal contact details are unnecessary and not typically included in reports.

G : The audit conclusions reached:

Correct. Conclusions summarize the outcomes of the audit, such as conformity, nonconformities, or recommendations.

H: A corrective action plan that addresses the identified nonconformities:

Incorrect. Corrective action plans are the auditee's responsibility and not included in the audit report.

ISO Reference:

ISO 19011:2018, Clause 6.7.3: Content of audit reports.

NEW QUESTION # 46

In the ISO 45001 health and safety management system, what is 'documented information'? Select the ONE best answer.

- A. The master copies of the documents used to operate the system, held in any format
- B. Paperwork such as Permits to work and risk assessments
- C. Any information about the system, or generated by it, held in any format
- D. Any paperwork generated when the system is in operation

Answer: C

Explanation:

ISO 45001 defines "documented information" as information required to be controlled and maintained by an organization. This can include policies, procedures, records, or other relevant data in any format (Clause 3.19). It encompasses all information used to establish, operate, and demonstrate the effectiveness of the OH&S management system.

Analysis of Options:

- * A. Any paperwork generated when the system is in operation: Incorrect. This is too narrow and excludes digital or other formats.
- * B. Any information about the system, or generated by it, held in any format: Correct. This aligns with the ISO 45001 definition in Clause 3.19.
- * C. Paperwork such as Permits to Work and risk assessments: Incorrect. These are examples of documented information but do not encompass the full scope of the term.
- * D. The master copies of the documents used to operate the system, held in any format: Incorrect.

This definition is limited to master copies, while documented information includes records as well.

ISO References:

- * Clause 3.19: Definition of documented information.
- * Clause 7.5: Control of documented information.

NEW QUESTION # 47

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