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CMT Association CMT-Level-I Exam is divided into three levels, with Level I being the first and most basic level. The Level I exam covers the fundamental principles of technical analysis and is designed to test the candidate's knowledge of basic charting techniques, market indicators, and technical analysis tools. Candidates who pass the Level I exam are awarded the CMT Level I certification, which is recognized globally as a mark of excellence in technical analysis.

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CMT Association CMT Level 1 Exam Sample Questions (Q66-Q71):

NEW QUESTION # 66

Which BEST characterizes continuation patterns?

- A. They take a long time to form
- B. They signal the start of a new trend
- C. They usually represent long-term patterns
- D. There is sideways price action

Answer: D

Explanation:

Detailed Explanation:

Continuation patterns (like flags, pennants, and rectangles) are typically characterized by sideways price action or a consolidation range. They represent a pause in the prevailing trend where the market "catches its breath" before resuming the original direction.

NEW QUESTION # 67

Which of the following is the MOST likely use of an oscillator in a trading system?

- A. To hedge other positions
- **B. As a timing tool**
- C. To determine position sizes
- D. To forecast future price levels

Answer: B

Explanation:

Detailed Explanation:

Oscillators are primarily used for timing entries and exits-specifically identifying overbought/oversold conditions or divergences to time a trade within a broader trend22222222.

NEW QUESTION # 68

In relation to the principles of technical analysis, the phrase "patterns are fractal" refers to the assumption that:

- A. Chart patterns found in an intraday chart can generate signals in a daily chart
- B. Mandelbrot originated the concept of chart patterns
- **C. Pattern analysis is universal and independent of time**
- D. Patterns tend to break existing trends

Answer: C

Explanation:

Detailed Explanation:

Fractal nature means self-similarity. A Head and Shoulders pattern looks and behaves the same whether it appears on a 5-minute chart or a monthly chart. This implies pattern analysis is universal and independent of time (timeframe).

NEW QUESTION # 69

Manuel Luscher, CMT, is meeting with a potential client. Luscher tells the potential client that "My biggest client, Tom Cardazo, is a good friend of yours and has been very happy with his compound annual return of over 10%." Luscher also tells the potential client that "I will make sure that your transactions are completed before trading for my family's accounts." With respect to the MTA Code of Ethics, Luscher's actions are:

- A. In violation of the Code with respect to the priority of transactions, but not with respect to disclosing client information
- B. Not in violation of the Code
- C. In violation of the Code with respect to both disclosing client information and the priority of transactions
- **D. In violation of the Code with respect to disclosing client information, but not with respect to the priority of transactions**

Answer: D

Explanation:

Detailed Explanation:

* Client Info:Luscher violated confidentiality by revealing the name of a client (Tom Cardazo) and his returns without permission.

* Priority:Stating that client transactions come before family accounts is actuallyconsistentwith the Code (Priority of Transactions).

Clients come first. Therefore, he is only in violation regarding the client information disclosure9999.

NEW QUESTION # 70

Which of the following is most likely to be considered a "material" piece of information regarding a company?

- A. The loss of a customer representing a negligible portion of a company's gross sales
- B. A competitor CEO's speculation of a pending management change at the company
- **C. The loss of a customer representing a significant portion of a company's gross sales**
- D. A former board member predicting a long-term decline in the company's stock

Answer: C

Explanation:

Detailed Explanation:

Information is material if its disclosure would likely affect the price of the security or if a reasonable investor would want to know it before making an investment decision. The loss of a customer representing a significant portion of sales would clearly impact earnings and the stock price.

NEW QUESTION # 71

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