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CFA Institute Sustainable Investing Certificate (CFA-SIC) Exam Sample Questions (Q655-Q660):

NEW QUESTION # 655

Compared to developed markets, a challenge of ESG investing in emerging markets is less:

- A. Data variability between companies
- B. Data variability between countries
- C. Data disclosure

Answer: A

Explanation:

ESG data variability is more pronounced between countries (B) than between companies (C) within the same country. Developed markets have more standardized ESG disclosure requirements, while emerging markets lack regulatory consistency, making cross-country comparisons difficult.

Reference:

MSCI ESG Data Challenges in Emerging Markets Report

World Bank Report on ESG Disclosures in Emerging Markets

CFA Institute ESG Disclosure Standardization Analysis

NEW QUESTION # 656

Which of the following parties is most likely to help investors identify the extent and depth to which investment funds integrate ESG?

- A. Investment consultants
- B. Fund labellers
- C. Investment platforms

Answer: B

Explanation:

Fund labellers are most likely to help investors identify the extent and depth to which investment funds integrate ESG. Fund labellers provide certifications or labels that signify a fund's adherence to specific ESG criteria, making it easier for investors to identify and compare funds based on their ESG integration.

Role of fund labellers: Organizations that provide ESG labels or certifications evaluate funds against defined ESG standards. These labels serve as a signal to investors that the fund meets certain ESG criteria, facilitating informed investment decisions.

Comparison with other parties:

Investment platforms (B): These platforms facilitate access to a wide range of investment products but may not provide detailed ESG integration assessments.

Investment consultants (C): Consultants can offer tailored advice on ESG integration but may not provide the same standardized and widely recognized certification as fund labellers.

Reference:

CFA ESG Investing Principles

Information on ESG fund labelling organizations such as the EU Ecolabel, Morningstar, and MSCI

NEW QUESTION # 657

Credit-rating agencies are most likely classified as:

- A. algorithm-driven ESG research providers
- B. "traditional" ESG data and research providers
- C. "nontraditional" ESG data and research providers

Answer: B

Explanation:

Traditional ESG Providers: These include established entities such as credit-rating agencies that have long been involved in providing financial data and have integrated ESG factors into their traditional credit rating processes.

Role of Credit-Rating Agencies: They assess the creditworthiness of issuers, including sovereign, corporate, and municipal issuers, and increasingly incorporate ESG factors into their ratings to reflect potential risks and opportunities.

Nontraditional Providers: These include newer, often technology-driven firms focusing solely on ESG data, sometimes using alternative data sources and innovative methodologies.

CFA ESG Investing References:

The CFA Institute's materials on ESG integration recognize credit-rating agencies as traditional ESG data providers because they have expanded their analysis to include ESG factors alongside traditional financial metrics.

NEW QUESTION # 658

Research on ESG integration in strategic asset allocation has tended to focus most on:

- A. environmental criteria.
- **B. governance criteria.**
- C. social criteria.

Answer: B

Explanation:

Governance criteria have historically been the main focus of ESG integration in strategic asset allocation, as governance is directly linked to corporate performance, risk management, and long-term value creation. (ESGTextBook[PallasCatFin], Chapter 5, Page 236)

NEW QUESTION # 659

Creating long-term stakeholder value by implementing a strategy that focuses on the ethical, social, environmental, cultural and economic dimensions of doing business is best described as:

- A. corporate sustainability.
- **B. corporate social responsibility.**
- C. triple bottom line accounting.

Answer: B

Explanation:

Corporate social responsibility (CSR) refers to the practice of businesses engaging in initiatives that benefit society. The term emphasizes the company's commitment to conduct business in an ethical manner by considering social, environmental, and economic factors. CSR goes beyond compliance, encouraging companies to engage in activities that can positively impact their stakeholders. (ESGTextBook[PallasCatFin], Chapter 1, Page 6)

NEW QUESTION # 660

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