

# ISO-45001-Lead-Auditor Exam Certification, ISO-45001-Lead-Auditor Exam Pattern



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One of the most effective strategies to prepare for the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) exam successfully is to prepare with actual PECB ISO-45001-Lead-Auditor exam questions. It would be difficult for the candidates to pass the ISO-45001-Lead-Auditor exam on the first try if the ISO-45001-Lead-Auditor study materials they use are not updated. Studying with invalid ISO-45001-Lead-Auditor practice material results in a waste of time and money. Therefore, updated ISO-45001-Lead-Auditor practice questions are essential for the preparation of the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) exam.

## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>ISO 45001 requirements for an OH&amp;S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.</li></ul>
Topic 5	<ul style="list-style-type: none"><li>Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li></ul>

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### PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q16-Q21):

#### NEW QUESTION # 16

XYZ Corporation is an organisation that employs 100 people. As an audit team leader, you are conducting a certification audit at Stage1. When reviewing the OH&S management system (OHSMS), you find that the objectives have been defined by an external consultant using those of a competitor, but nothing is documented. The Health and Safety Manager complains that this has created a lot of resistance to the OHSMS, and the Chief Executive Is asking questions about how much It will cost.

Select two erf the options which describe the circumstances h which you could raise a nonconformity against clause 0.2 of ISO 45001.

- A. Establishing OH&S objectives did not include top management.
- B. OH&S objectives are not being implemented by the organisation's personnel.
- C. The consultant has not Interpreted ISO 4S001 correctly.
- D. H&S objectives were not establish in alignment with the organizations OH&S polity.
- E. The organization cannot afford to undertake OH&S objectives all at once
- F. H&S objectives ace not maintained as documented information.

**Answer: A,D**

Explanation:

Clause 0.2 of ISO 45001 emphasizes the involvement of top management and alignment of OH&S objectives with the organization's OH&S policy.

Nonconformities Identified:

The objectives were developed by an external consultant without involving top management, which undermines leadership accountability and commitment.

The objectives were based on a competitor's framework, not aligned with the organization's unique OH&S policy or context.

Analysis of Options:

A . Establishing OH&S objectives did not include top management.

True. Clause 5.1 (Leadership) and Clause 6.2 (Objectives) emphasize that top management must be involved in defining and supporting OH&S objectives.

B . OH&S objectives are not being implemented by personnel.

Implementation relates to operationalization, not the development phase, and is not relevant to Clause 0.2.

C . OH&S objectives are not maintained as documented information.

While documentation is required, the absence of documented information is a separate issue under Clause 7.5, not Clause 0.2.

D . OH&S objectives were not established in alignment with the organization's OH&S policy.

True. Clause 6.2.1 requires objectives to align with the OH&S policy, which reflects the organization's commitment to safety and health.

E . The consultant has not interpreted ISO 45001 correctly.

While possibly true, the issue here is the organization's failure to involve top management, not the consultant's interpretation.

F . The organization cannot afford to undertake OH&S objectives all at once.

Financial constraints are not relevant to Clause 0.2; objectives can be prioritized for phased implementation.

ISO Reference:

Clause 0.2: Leadership commitment and alignment with organizational policy.

Clause 5.1: Top management's role in leadership and participation.

Clause 6.2.1: Establishing OH&S objectives aligned with the policy.

### NEW QUESTION # 17

Aitchandness inc, manufactures safety footwear and other items of personal protective equipment (PPE). The organisation applied for ISO 45001 certification, and after the initial certificate audit, the certificate body decided that the audit team leader should carry out a follow-up audit to determine whether the non-conformities could be closed out.

A major non-conformity was raised at Stage 2 because of inadequate storage and handling of chemical used in the production process. Minor non-conformities related to retaining documentation, staff safety training and inadequate testing of emergency procedures.

Select four of the actions which the auditor could take at the follow-up audit to determine the effectiveness of corrective action for the non-conformities.

- A. Interview staff to see whether they have received training in the revised emergency response procedures.
- B. Inspect the relevant areas to check whether chemical were being stored and handled in a safe manner.
- C. Ask to review the latest emergency response drill report to check whether the revised emergency response process is fit for purpose
- D. Check whether documented procedures for the revised processes have been signed off by the OH&S Manager.
- E. Ask whether the organization's internal audit schedule was revised to included more audit associated with the storage and handling of chemicals.
- F. Check that the safety data sheets associated with the chemical being used where checked for completeness.
- G. Determine whether an updated risk assessment has been carried out on the revised chemical storage and handling processes.
- H. Interview the OH&S Manager to determine whether additional health and safety objectives have been established.

**Answer: B,C,D,G**

Explanation:

Follow-up audits verify that corrective actions have been implemented effectively and address the root cause of the nonconformities.

Analysis of Options:

- \* A. Review the latest emergency response drill report:Correct. This verifies whether emergency response processes are functional and effective.
- \* B. Check if the internal audit schedule was revised:Incorrect. While beneficial, this is not directly related to closing out the nonconformity.
- \* C. Check safety data sheets for completeness:Incorrect. While this ensures compliance, it is not directly related to verifying corrective actions.
- \* D. Check if revised procedures were signed off:Correct. This confirms that changes were properly documented and approved.
- \* E. Determine whether an updated risk assessment was carried out:Correct. Risk assessments confirm the effectiveness of new controls.
- \* F. Inspect areas for safe chemical storage and handling:Correct. Direct observation ensures that corrective actions are implemented effectively.
- \* G. Interview staff on revised emergency procedures:Incorrect. While important, this does not directly address the effectiveness of corrective actions for chemical storage.
- \* H. Interview the OH&S Manager about additional objectives:Incorrect. This is unrelated to the specific nonconformities raised.

ISO References:

- \* Clause 10.2.2: Verification of corrective actions.
- \* Clause 8.1.2: Hazard identification and controls.

### NEW QUESTION # 18

An audit team member assigned to audit the management review and planning processes prepares a work document.

What is the name of the document prepared by the auditor?

- A. Audit report
- B. Audit nonconformity report
- C. Audit checklist
- D. Audit plan

**Answer: C**

### NEW QUESTION # 19

The process for collecting and verifying information during an audit is key for ensuring that the audit conclusion is determined based on objective and verifiable evidence.

To complete the sequence, click on the blank section you want to complete so it is highlighted in red and then click on the applicable text from the options below. Alternatively, drag and drop the options to the appropriate blank section."

**Answer:**

**Explanation:**

#### NEW QUESTION # 20

Showitoff is an organization specialization in the design and production of wall decorating materials for the domestic market.

During an ISO 45001 certification audit of the site, the auditor comes across an open, walled area just outside the maintenance department. It contains various scraps of wood and metal as well as several rusty components. Several heavy concrete beams are leaning against a thin brick wall in which cracks are available. When asked about it, the OHS Manager states that he presumes that the materials come from maintenance work, so it is the Maintenance Manager's responsibility.

The auditor interview the Maintenance Manager in his department. He asks about the area outside and is told that it contains some excess material that the Manager likes to keep in case they come in handy at some stage.

The auditor points out that the wall appears to be unstable and could collapse at any moment. The Maintenance Manager is not

aware of such a situation.

Wash-it-up is an organization that provides window cleaning services for the industrial sector. It has been certified to ISO 45001 for some time and has appointed a new OHS Manager. The auditor asks to see the most recent management review meeting minutes. Which three statements would represent input audit evidence for the management review?

- A. Decisions on Improvements in the OHSMS
- B. Proposal to prioritize health and safety issues as a business strategy
- C. Updates to the risk register
- D. Worker feedback of positive and negative comments
- E. Procurement of new safety harnesses for workers
- F. Allocation of a bigger budget for the OHS department
- G. Decisions related to continual Improvement opportunities
- H. Report showing the trend of an increase in safety incidents

**Answer: C,D,H**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Clause 9.3 of ISO 45001:2018 defines inputs for management review, which must include information on performance trends, feedback, risks, and opportunities for improvement.

Analysis of Options:

- \* A. Allocation of a bigger budget for the OHS department: This is an output of management review, not an input.
- \* B. Decisions on improvements in the OHSMS: This is an output, not an input.
- \* C. Decisions related to continual improvement opportunities: This is an output, not an input.
- \* D. Procurement of new safety harnesses for workers: This is an operational action, not an input for management review.
- \* E. Proposal to prioritize health and safety issues as a business strategy: Proposals may be discussed during review, but they are outputs.
- \* F. Report showing the trend of an increase in safety incidents: Correct. This is a valid input that informs system performance.
- \* G. Updates to the risk register: Correct. Risk updates are required inputs under Clause 6.1.2.
- \* H. Worker feedback of positive and negative comments: Correct. Feedback is an essential input per Clause 5.4 and Clause 9.3.

ISO References:

- \* Clause 9.3: Management review inputs.
- \* Clause 5.4: Worker consultation and participation.

## NEW QUESTION # 21

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