

CSC2 Study Plan & CSC2 Updated Demo



NORZAGARAY COLLEGE
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LEARNING MODULE IN Programming Fundamentals (CSC2)

PRELIMINARY TERM
1ST SEMESTER A.Y. 2022-2023

ROEMA T. PALAD
CS Instructor

COLEGE OF COMPUTING STUDIES

CSC2
Programming Fundamentals

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CSI Canadian Securities Course Exam2 Sample Questions (Q79-Q84):

NEW QUESTION # 79

What is the Sharpe ratio given the following information?

□

- A. 0.4
- B. 2.5
- C. 1.5
- D. 0

Answer: C

NEW QUESTION # 80

What is the likely outcome at the end of a five-year term of a rate-reset preferred share if the issuer does not redeem the shares?

- A. The shareholder exchanges the rate-reset preferred share for a floating-rate preferred share
- B. The shareholder exchanges the rate-reset preferred share for an unsecured bond
- C. The shareholder exchanges the rate-reset preferred share for a specified number of common shares.
- D. The shareholder exchanges the rate-reset preferred share for a fixed-rate preferred share.

Answer: A

Explanation:

At the end of the five-year term, if the issuer does not redeem the rate-reset preferred shares, the shareholder can choose to:

- * Continue holding the shares at the reset fixed rate.
- * Convert them into floating-rate preferred shares with rates tied to a benchmark (e.g., prime or LIBOR).

This conversion offers flexibility to the shareholder based on market conditions.

- * A. Exchange for a specified number of common shares: Rate-reset preferred shares do not have this feature.
- * B. Exchange for a fixed-rate preferred share: The fixed-rate component is reset, not exchanged.
- * C. Exchange for an unsecured bond: This is not a feature of rate-reset preferred shares.

Reference:CSC Volume 1, Chapter 8, "Preferred Shares - Rate-Reset Features" outlines the conversion options for rate-reset preferred shares.

NEW QUESTION # 81

What is a disadvantage of fee-based accounts when compared to commission-based accounts?

- A. There is a more restricted selection of investment opportunities.
- B. The advisor may be more inclined to do more frequent trading.
- C. There may be a limit to the number of trades permitted.
- D. The advisor may be unable to provide a broad range of services to clients.

Answer: C

Explanation:

Fee-based accounts charge clients a fixed percentage of assets under management rather than commissions on individual trades. While these accounts offer benefits like cost transparency and reduced incentive for excessive trading, they may also impose restrictions on the number of trades allowed without incurring additional fees.

* Disadvantages of Fee-Based Accounts:

- * Trade Limits: Some fee-based accounts cap the number of trades to ensure trading costs remain within the agreed fee structure.
- * Higher Fixed Costs: These accounts can be more expensive for clients who trade infrequently or have smaller portfolios.
- * Why Other Options Are Incorrect:
 - * B: Fee-based accounts reduce the advisor's incentive for frequent trading as they are not commission-based.
 - * C: Fee-based accounts typically allow access to a broad range of advisory services.
 - * D: Investment opportunities are not restricted in fee-based accounts.

References:

- * CSC Volume 2, Chapter 25: Advantages and Disadvantages of Fee-Based Accounts.

NEW QUESTION # 82

An advisor wants to explain the benefits of labour sponsored funds (LSVCC) to some of his clients. With which client should the advisor have this discussion?

- A. Client 1.
- B. Client 4.
- C. Client 2.
- D. Client 3.

Answer: A

NEW QUESTION # 83

An investor has the following separate stock transactions:

What is the investor's overall adjusted cost base per share? (Round two decimal points.)

- A. \$15.83
- B. \$15.72
- C. \$15.95
- D. \$16.38

Answer: C

NEW QUESTION # 84

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