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APEGS NPPE Exam Syllabus Topics:

Topic	Details
Topic 1	Professional Law: This domain focuses on legislation governing the professions, including acts and regulations establishing self-regulation and licensure. It covers admission requirements, mobility agreements, and enforcement against illegal practice.
Topic 2	Ethics: This domain examines ethical theories and their application to professional dilemmas. It covers Canadian codes of ethics and common ethical challenges like conflicts of interest, whistleblowing, and balancing competing obligations.
Topic 3	Regulation of Members & Discipline Processes: This domain examines member regulation through discipline procedures, complaint processes, practice reviews for individuals and firms, and continuing professional development requirements.

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New NPPE Exam Objectives | Valid NPPE Learning Materials

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APEGS National Professional Practice Examination (NPPE) Exam Sample Questions (Q128-Q133):

NEW QUESTION # 128

The role of securities commissions in Canada is best described as

- A. certifying the technical content of engineering and geoscience reports
- **B. regulating financial markets and enforcing public disclosure obligations**
- C. approving mergers and acquisitions between public companies
- D. licensing professionals in the resource and finance sectors

Answer: B

Explanation:

Securities commissions are provincial/territorial bodies responsible for regulating capital markets. Their core functions include overseeing trading in securities, protecting investors, fostering fair and efficient markets, and enforcing disclosure requirements for reporting issuers (including continuous disclosure, prospectus requirements, and rules against misrepresentation and insider trading). They do not "licence" professionals in the way professional regulators do (A). They do not directly approve mergers and acquisitions as a primary function (B), though securities laws can impose disclosure and procedural requirements that apply to such transactions. They also do not certify the technical accuracy of engineering/geoscience reports (C); rather, they regulate the disclosure framework and may require certain technical reports (e.g., in mining) to meet prescribed standards, with responsibility resting on the issuer and qualified persons. Therefore, D best describes their role.

NEW QUESTION # 129

Regulators of engineering and geoscience professions that require businesses to undergo practice/assurance /compliance reviews do so in order to

- A. carry out a detailed review on a random sample of a business' technical work to ensure that it complies with all technical standards and guidelines
- **B. assess a business' corporate policies, procedures, and systems to ensure that registrants maintain appropriate standards of professional practice**
- C. provide public protection and transparency by disclosing a business' practice/assurance/compliance review results on the regulator's website
- D. provide a decision as to whether a business can be formally investigated for malpractice

Answer: B

Explanation:

Practice assurance/compliance reviews are generally systems-focused: they evaluate whether a firm has appropriate governance, quality management processes, professional oversight, document control, checking /review procedures, and policies that support registrants in meeting professional standards. This aligns with NPPE discussions of competence assurance and quality management in professional practice. Option C captures the core purpose-assessing policies, procedures, and systems to ensure appropriate standards are maintained. Option A misstates the purpose; complaints and investigations determine malpractice matters, not routine assurance reviews. Option B is generally not accurate as a standard practice; regulators typically treat firm review results as part of compliance oversight rather than publishing detailed results publicly. Option D is too narrow and too technical-work-product-focused; while file sampling may occur, the primary intent is not to verify compliance with all technical standards but to confirm that the firm's systems for professional practice and quality control are adequate. Therefore, C is correct.

NEW QUESTION # 130

Professional geoscientist Bev has been a contract employee for ABC Mining Co. for the past eight years.

Recently, she was the lead due diligence investigator on the potential acquisition of the Bon u view Mine.

XYZ Mining, a major competitor of ABC Mining, subsequently acquires the Beau view Mine. Shortly thereafter, Bev's manager Indicates that there may soon be a downsizing In ABC Mining's geology department due to the unsuccessful acquisition of the Beouview Mine. The next day, XYZ Mining offers Bev a position identical to the one she has with ABC Mining but with a batter overall compensation package.

What action should Bev take to ensure that this offer is handled ethically?

- A. Assure ABC Mining that there will be no conflict of Interest after starting the new Job at XYZ Mining.
- B. Disclose to her manager at ABC Mining that she has been offered a job opportunity while she considers the XYZ Mining offer.
- C. Give her notice of resignation well in advance of the time Indicated in her contract once she accepts the XYZ Mining offer.
- D. Disclose XYZ Mining's job offer terms to her manager at ABC Mining while she considers the XYZ Mining offer.

Answer: B

Explanation:

To handle the job offer ethically, Bev should disclose to her manager at ABC Mining that she has been offered a job opportunity while she considers the XYZ Mining offer (Option A). This action aligns with ethical guidelines which advocate for transparency and honesty in professional relationships. It allows her current employer to be aware of potential conflicts of interest and to plan accordingly.

NEW QUESTION # 131

When requested by senior management to meet an Imminent deadline, a licensed professional can promptly authenticate a final professional work product prepared by

- A. an individual whose work was prepared under the licensed professional's direct supervision and control
- B. a busted senior licensed professional who is unavailable until after the deadline
- C. the professional's technical manager who assumes full responsibility for the work
- D. an Individual reporting directly to the professional whose previous work has been Impeccable

Answer: A

Explanation:

When faced with an imminent deadline requested by senior management, a licensed professional may promptly authenticate a final professional work product prepared under their direct supervision and control.

This requirement ensures that the professional responsible for the work has a comprehensive understanding and oversight of the work processes and outcomes, thus maintaining the quality and accuracy of the professional work. Authentication by the licensed professional in such cases is crucial to adhere to professional standards and regulatory requirements, ensuring that the work meets all professional and safety standards.

NEW QUESTION # 132

Which of the following reasons is the strongest one for engineering and geoscience professions in Canada (where applicable) to be self-regulating?

- A. Unlike in the United States, governments should not directly manage professional practice in engineering and geoscience, except where necessary.
- B. Laws that permit self-regulation in engineering and geoscience have always proven to work well in the past.
- C. Licensed professional engineers and geoscientists earn higher incomes because they have self-regulation, resulting in higher taxation returns and the highest regard for public safety.
- D. Professional Act compliance, regulations enforcement, and adherence to a code of ethics in the engineering and geoscience fields are best managed by peers within professional associations.

Answer: D

Explanation:

The NPPE rationale for self-regulation is grounded in public-interest protection combined with the specialized nature of engineering and geoscience work. Because the professions involve complex technical judgment and evolving standards, peers with relevant expertise are best positioned to define standards of practice, assess competence, and evaluate professional conduct against accepted norms-within a legislated framework and with accountability mechanisms. Option D captures this core justification: ensuring compliance with professional legislation, enforcing standards and ethical conduct, and administering discipline and competence systems are functions that rely on technical expertise and informed professional judgment, which are effectively carried out through self-regulating bodies established by statute. Options A, B, and C are weak or incorrect rationales: A is an appeal to history rather than principle; B is not the key Canadian argument and is framed as a comparison; C is inappropriate because higher incomes/taxation are not the purpose of self-regulation, and self-regulation is not justified by private benefit. Thus, D is the strongest reason.

NEW QUESTION # 133

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