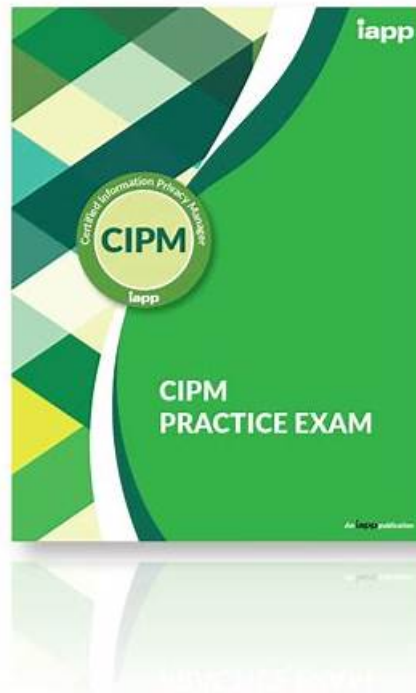


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The IAPP CIPM Exam is designed for professionals who have experience in managing privacy programs and want to enhance their knowledge and skills in this area. It covers a range of topics related to privacy management, including privacy laws and regulations, risk management, data governance, and compliance.

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The CIPM Certification Exam is developed and administered by the International Association of Privacy Professionals (IAPP), a non-profit organization that is dedicated to advancing privacy management practices worldwide. The IAPP CIPM certification is recognized as a mark of excellence in the field of privacy management and is highly valued by organizations across industries. Certified Information Privacy Manager (CIPM) certification exam covers various topics such as privacy program governance, privacy program operational lifecycle, privacy regulations and standards, and more.

## IAPP Certified Information Privacy Manager (CIPM) Sample Questions (Q74-Q79):

### NEW QUESTION # 74

Which of the following is TRUE about the Data Protection Impact Assessment (DPIA) process as required under the General Data Protection Regulation (GDPR)?

- A. The DPIA must include a description of the proposed processing operation and its purpose.
- B. The DPIA is required if the processing activity entails risk to the rights and freedoms of an EU individual.
- C. The DPIA result must be reported to the corresponding supervisory authority.
- D. The DPIA report must be published to demonstrate the transparency of the data processing.

**Answer: A**

Explanation:

Explanation

The statement that is true about the Data Protection Impact Assessment (DPIA) process as required under the General Data Protection Regulation (GDPR) is that the DPIA must include a description of the proposed processing operation and its purpose. According to Article 35(7) of the GDPR, a DPIA shall contain at least:

- \* "a systematic description of the envisaged processing operations and the purposes of the processing";
  - \* "an assessment of the necessity and proportionality of the processing operations in relation to the purposes";
  - \* "an assessment of the risks to the rights and freedoms of data subjects";
  - \* "the measures envisaged to address the risks";
  - \* "safeguards", "security measures";
  - \* "mechanisms to ensure the protection of personal data";
  - \* "to demonstrate compliance with this Regulation taking into account the rights and legitimate interests of data subjects and other persons concerned"
- 5 Therefore, a DPIA must include a description of what data processing activities are planned and why they are needed as part of its content. This helps to provide a clear overview of the processing operation and its objectives as well as to assess its necessity and proportionality in relation to its purposes
- 6 References: 5:

[General Data Protection Regulation (GDPR) - Official Legal Text], Article 35(7); 6: Data protection impact assessments | ICO

### NEW QUESTION # 75

#### SCENARIO

Please use the following to answer the next question:

Edufox has hosted an annual convention of users of its famous e-learning software platform, and over time, it has become a grand event. It fills one of the large downtown conference hotels and overflows into the others, with several thousand attendees enjoying three days of presentations, panel discussions and networking. The convention is the centerpiece of the company's product rollout schedule and a great training opportunity for current users. The sales force also encourages prospective clients to attend to get a better sense of the ways in which the system can be customized to meet diverse needs and understand that when they buy into this system, they are joining a community that feels like family.

This year's conference is only three weeks away, and you have just heard news of a new initiative supporting it: a smartphone app for attendees. The app will support late registration, highlight the featured presentations and provide a mobile version of the conference program. It also links to a restaurant reservation system with the best cuisine in the areas featured. "It's going to be great," the developer, Deidre Hoffman, tells you, "if, that is, we actually get it working!" She laughs nervously but explains that because of the tight time frame she'd been given to build the app, she outsourced the job to a local firm. "It's just three young people," she says, "but they do great work." She describes some of the other apps they have built. When asked how they were selected for this job, Deidre shrugs. "They do good work, so I chose them." Deidre is a terrific employee with a strong track record. That's why she's been charged to deliver this rushed project. You're sure she has the best interests of the company at heart, and you don't doubt that she's under pressure to meet a deadline that cannot be pushed back. However, you have concerns about the app's handling of personal data and its security safeguards. Over lunch in the break room, you start to talk to her about it, but she quickly tries to reassure you, "I'm sure with your help we can fix any security issues if we have to, but I doubt there'll be any. These people build apps for a living, and they know what they're doing. You worry too much, but that's why you're so good at your job!" Since it is too late to restructure the contract with the vendor or prevent the app from being deployed, what is the best step for you to take next?

- A. Insist on an audit of the vendor's privacy procedures and safeguards
- B. Develop security protocols for the vendor and mandate that they be deployed
- C. Ask the vendor for verifiable information about their privacy protections so weaknesses can be identified
- D. Implement a more comprehensive suite of information security controls than the one used by the vendor

**Answer: C**

## NEW QUESTION # 76

### SCENARIO

Please use the following to answer the next QUESTION:

John is the new privacy officer at the prestigious international law firm - A&M LLP. A&M LLP is very proud of its reputation in the practice areas of Trusts & Estates and Merger & Acquisition in both U.S. and Europe.

During lunch with a colleague from the Information Technology department, John heard that the Head of IT, Derrick, is about to outsource the firm's email continuity service to their existing email security vendor - MessageSafe. Being successful as an email hygiene vendor, MessageSafe is expanding its business by leasing cloud infrastructure from Cloud Inc. to host email continuity service for A&M LLP.

John is very concerned about this initiative. He recalled that MessageSafe was in the news six months ago due to a security breach. Immediately, John did a quick research of MessageSafe's previous breach and learned that the breach was caused by an unintentional mistake by an IT administrator. He scheduled a meeting with Derrick to address his concerns.

At the meeting, Derrick emphasized that email is the primary method for the firm's lawyers to communicate with clients, thus it is critical to have the email continuity service to avoid any possible email downtime.

Derrick has been using the anti-spam service provided by MessageSafe for five years and is very happy with the quality of service provided by MessageSafe. In addition to the significant discount offered by MessageSafe, Derrick emphasized that he can also speed up the onboarding process since the firm already has a service contract in place with MessageSafe. The existing on-premises email continuity solution is about to reach its end of life very soon and he doesn't have the time or resource to look for another solution.

Furthermore, the off-premises email continuity service will only be turned on when the email service at A&M LLP's primary and secondary data centers are both down, and the email messages stored at MessageSafe site for continuity service will be automatically deleted after 30 days.

Which of the following is a TRUE statement about the relationship among the organizations?

- A. Cloud Inc. should enter into a data processor agreement with A&M LLP.
- B. A&M LLP's service contract must be amended to list Cloud Inc. as a sub-processor.
- **C. MessageSafe is liable if Cloud Inc. fails to protect data from A&M LLP.**
- D. Cloud Inc. must notify A&M LLP of a data breach immediately.

**Answer: C**

Explanation:

A true statement about the relationship among the organizations is that MessageSafe is liable if Cloud Inc.

fails to protect data from A&M LLP. This statement reflects the principle of accountability under the GDPR, which requires data controllers and processors to be responsible for complying with the GDPR and demonstrating their compliance<sup>4</sup> As a data processor for A&M LLP, MessageSafe is liable for any damage caused by processing that infringes the GDPR or by processing that does not comply with A&M LLP's lawful instructions<sup>5</sup> This liability extends to any sub-processors that MessageSafe engages to carry out specific processing activities on behalf of A&M LLP<sup>5</sup> Therefore, if Cloud Inc., as a sub-processor for MessageSafe, fails to protect data from A&M LLP and causes harm to the data subjects or breaches the GDPR or A&M LLP's instructions, MessageSafe will be held liable for such failure and may have to pay compensation or face administrative fines or other sanctions<sup>6</sup>

References: 4: Article 5 GDPR | General Data Protection Regulation (GDPR); 5: Article 82 GDPR | General Data Protection Regulation (GDPR); 6: Article 83 GDPR | General Data Protection Regulation (GDPR)

## NEW QUESTION # 77

### SCENARIO

Please use the following to answer the next QUESTION:

John is the new privacy officer at the prestigious international law firm - A&M LLP. A&M LLP is very proud of its reputation in the practice areas of Trusts & Estates and Merger & Acquisition in both U.S. and Europe.

During lunch with a colleague from the Information Technology department, John heard that the Head of IT, Derrick, is about to outsource the firm's email continuity service to their existing email security vendor - MessageSafe. Being successful as an email hygiene vendor, MessageSafe is expanding its business by leasing cloud infrastructure from Cloud Inc. to host email continuity service for A&M LLP.

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email continuity solution is about to reach its end of life very soon and he doesn't have the time or resource to look for another solution.

Furthermore, the off-premises email continuity service will only be turned on when the email service at A&M LLP's primary and secondary data centers are both down, and the email messages stored at MessageSafe site for continuity service will be automatically deleted after 30 days.

Which of the following is the most effective control to enforce MessageSafe's implementation of appropriate technical countermeasures to protect the personal data received from A&M LLP?

- A. MessageSafe must apply due diligence before trusting Cloud Inc. with the personal data received from A&M LLP.
- B. MessageSafe must notify A&M LLP of a data breach.
- C. MessageSafe must flow-down its data protection contract terms with A&M LLP to Cloud Inc.
- **D. MessageSafe must apply appropriate security controls on the cloud infrastructure.**

**Answer: D**

Explanation:

Explanation

The most effective control to enforce MessageSafe's implementation of appropriate technical countermeasures to protect the personal data received from A&M LLP is to require MessageSafe to apply appropriate security controls on the cloud infrastructure. This control ensures that MessageSafe takes responsibility for securing the personal data that it processes on behalf of A&M LLP on the cloud platform provided by Cloud Inc. According to the GDPR, data processors must implement appropriate technical and organisational measures to ensure a level of security appropriate to the risk of processing personal data<sup>1</sup> These measures may include encryption, pseudonymisation, access control, backup and recovery, logging and monitoring, vulnerability management, incident response, etc<sup>2</sup> Furthermore, data processors must ensure that any sub-processors they engage to process personal data on behalf of the data controller also comply with the same obligations<sup>3</sup> Therefore, MessageSafe must ensure that Cloud Inc. provides adequate security guarantees for the cloud infrastructure and services that it uses to host the email continuity service for A&M LLP. MessageSafe must also monitor and audit the security performance of Cloud Inc. and report any issues or breaches to A&M LLP. References: 1: Article 32 GDPR | General Data Protection Regulation (GDPR); 2: Guidelines 4/2019 on Article 25 Data Protection by Design and by Default | European Data Protection Board; 3: Article 28 GDPR | General Data Protection Regulation (GDPR)

#### NEW QUESTION # 78

If an organization maintains a separate ethics office, to whom would its officer typically report to in order to retain the greatest degree of independence?

- **A. The Board of Directors.**
- B. The Chief Financial Officer.
- C. The Human Resources Director.
- D. The organization's General Counsel.

**Answer: A**

Explanation:

If an organization maintains a separate ethics office, its officer would typically report to the Board of Directors in order to retain the greatest degree of independence. This is because the Board of Directors is the highest governing body of the organization and has the authority and responsibility to oversee the ethical conduct and performance of the organization and its management<sup>1</sup> Reporting to the Board of Directors would enable the ethics officer to avoid any potential conflicts of interest or undue influence from other senior executives or managers who may have a stake in the ethical issues or decisions that the ethics office handles<sup>2</sup> Reporting to the Board of Directors would also enhance the credibility and legitimacy of the ethics office and its recommendations, as well as demonstrate the organization's commitment to ethical values and culture<sup>3</sup> The other options are not as suitable as reporting to the Board of Directors for retaining the greatest degree of independence for the ethics office. Reporting to the Chief Financial Officer may create a conflict of interest or a perception of bias if the ethical issues or decisions involve financial matters or implications<sup>4</sup> Reporting to the Human Resources Director may limit the scope or authority of the ethics office to deal with ethical issues or decisions that go beyond human resources policies or practices<sup>5</sup> Reporting to the organization's General Counsel may blur the distinction or create confusion between legal compliance and ethical conduct, as well as raise concerns about attorney-client privilege or confidentiality<sup>6</sup> Reference: 1: Board Responsibilities | BoardSource; 2: Ethics Officer: Job Description, Duties and Requirements; 3: The Role Of The Ethics And Compliance Officer In The 21st Century | Corporate Compliance Insights; 4: Ethics Officer: Job Description, Duties and Requirements; 5: Ethics Officer: Job Description, Duties and Requirements; 6: Ethics Officer: Job Description, Duties and Requirements

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