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IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	• Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.
Topic 2	• Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.
Topic 3	• Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.
Topic 4	• Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.

IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q163-Q168):

NEW QUESTION # 163
CASE STUDY
Please use the following answer the next question:
ABC Corp, is a leading insurance provider offering a range of coverage options to individuals. ABC has decided to utilize artificial intelligence to streamline and improve its customer acquisition and underwriting process, including the accuracy and efficiency of pricing policies.
ABC has engaged a cloud provider to utilize and fine-tune its pre-trained, general purpose large language model ("LLM"). In particular, ABC intends to use its historical customer data-including applications, policies, and claims-and proprietary pricing and risk strategies to provide an initial qualification assessment of potential customers, which would then be routed a human underwriter for final review.
ABC and the cloud provider have completed training and testing the LLM, performed a readiness assessment, and made the decision to deploy the LLM into production. ABC has designated an internal compliance team to monitor the model during the first month, specifically to evaluate the accuracy, fairness, and reliability of its output. After the first month in production, ABC realizes that the LLM declines a higher percentage of women's loan applications due primarily to women historically receiving lower salaries than men.
Which of the following is the most important reason to train the underwriters on the model prior to deployment?

* A. Tosolicit on-going feedback on model performance.

- B. Toapply their own judgment to the initial assessment.
- C. Toprovide a reminder of a right appeal.
- D. Toensure they provide transparency applicants on the model.

Answer: B

Explanation:
Training underwriters on the model prior to deployment is crucial so they can apply their own judgment to the initial assessment. While AI models can streamline the process, human judgment is still essential to catch nuances that the model might miss or to account for any biases or errors in the model's decision-making process.
Reference: The AIGP Body of Knowledge emphasizes the importance of human oversight in AI systems, particularly in high-stakes areas such as underwriting and loan approvals. Human underwriters can provide a critical review and ensure that the model's assessments are accurate and fair, integrating their expertise and understanding of complex cases.

NEW QUESTION # 164

Pursuant to the White House Executive Order of November 2023, who is responsible for creating guidelines to conduct red-teaming tests of AI systems?

- A. Department of Homeland Security (DHS).
- B. Office of Science and Technology Policy (OSTP).
- C. National Institute of Standards and Technology (NIST).
- D. National Science and Technology Council (NSTC).

Answer: C

Explanation:
The White House Executive Order of November 2023 designates the National Institute of Standards and Technology (NIST) as the responsible body for creating guidelines to conduct red-teaming tests of AI systems. NIST is tasked with developing and providing standards and frameworks to ensure the security, reliability, and ethical deployment of AI systems, including conducting rigorous red-teaming exercises to identify vulnerabilities and assess risks in AI systems.
Reference: AIGP BODY OF KNOWLEDGE, sections on AI governance and regulatory frameworks, and the White House Executive Order of November 2023.

NEW QUESTION # 165

All of the following may be permissible uses of an AI system under the EU AI Act EXCEPT?

- A. To implement social scoring.
- B. To promote equitable distribution of welfare benefits.
- C. To manage border control.
- D. To detect an individual's intent for law enforcement purposes.

Answer: A

Explanation:
The EU AI Act explicitly prohibits the use of AI systems for social scoring by public authorities, as it can lead to discrimination and unfair treatment of individuals based on their social behavior or perceived trustworthiness. While AI can be used to promote equitable distribution of welfare benefits, manage border control, and even detect an individual's intent for law enforcement purposes (within strict regulatory and ethical boundaries), implementing social scoring systems is not permissible under the Act due to the significant risks to fundamental rights and freedoms.

NEW QUESTION # 166

What is the 1956 Dartmouth summer research project on AI best known as?

- A. A research project on the impacts of technology on society.
- B. A research project to create a test for machine intelligence.
- C. A meeting focused on the impacts of the launch of the first mass-produced computer.
- D. A meeting focused on the founding of the AI field.

Answer: D

Explanation:
The 1956 Dartmouth summer research project on AI is best known as a meeting focused on the founding of the AI field. This conference is historically significant because it marked the formal beginning of artificial intelligence as an academic discipline. The term "artificial intelligence" was coined during this event, and it laid the foundation for future research and development in AI.
Reference: The AIGP Body of Knowledge highlights the importance of the Dartmouth Conference as a pivotal moment in the history of AI, which established AI as a distinct field of study and research.

NEW QUESTION # 167
CASE STUDY
Please use the following answer the next question:
XYZ Corp., a premier payroll services company that employs thousands of people globally, is embarking on a new hiring campaign and wants to implement policies and procedures to identify and retain the best talent. The new talent will help the company's product team expand its payroll offerings to companies in the healthcare and transportation sectors, including in Asia.
It has become time consuming and expensive for HR to review all resumes, and they are concerned that human reviewers might be susceptible to bias.
Address these concerns, the company is considering using a third-party AI tool to screen resumes and assist with hiring. They have been talking to several vendors about possibly obtaining a third-party AI-enabled hiring solution, as long as it would achieve its goals and comply with all applicable laws.
The organization has a large procurement team that is responsible for the contracting of technology solutions.
One of the procurement team's goals is to reduce costs, and it often prefers lower-cost solutions. Others within the company are responsible for integrating and deploying technology solutions into the organization's operations in a responsible, cost-effective manner.
The organization is aware of the risks presented by AI hiring tools and wants to mitigate them. It also questions how best to organize and train its existing personnel to use the AI hiring tool responsibly. Their concerns are heightened by the fact that relevant laws vary across jurisdictions and continue to change.
The frameworks that would be most appropriate for XYZ's governance needs would be the NIST AI Risk Management Framework and?

- A. IEEE Ethical System Design Risk Management Framework (IEEE 7000-21).
- B. NIST Cyber Security Risk Management Framework (CSF 2.0).
- C. Human Rights, Democracy, and Rule of Law Impact Assessment (HUDERIA).
- D. NIST Information Security Risk (NIST SP 800-39).

Answer: A

Explanation:
The IEEE Ethical System Design Risk Management Framework (IEEE 7000-21) would be most appropriate for XYZ Corp's governance needs in addition to the NIST AI Risk Management Framework. The IEEE framework specifically addresses ethical concerns during system design, which is crucial for ensuring the responsible use of AI in hiring. It complements the NIST framework by focusing on ethical risk management, aligning well with XYZ Corp's goals of deploying AI responsibly and mitigating associated risks.

NEW QUESTION # 168
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