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## Databricks Databricks-Generative-AI-Engineer-Associate Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>• Governance: Generative AI Engineers who take the exam get knowledge about masking techniques, guardrail techniques, and legal</li><li>• licensing requirements in this topic.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>• Evaluation and Monitoring: This topic is all about selecting an LLM choice and key metrics. Moreover, Generative AI Engineers learn about evaluating model performance. Lastly, the topic includes sub-topics about inference logging and usage of Databricks features.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>• Application Development: In this topic, Generative AI Engineers learn about tools needed to extract data, Langchain</li><li>• similar tools, and assessing responses to identify common issues. Moreover, the topic includes questions about adjusting an LLM's response, LLM guardrails, and the best LLM based on the attributes of the application.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>• Assembling and Deploying Applications: In this topic, Generative AI Engineers get knowledge about coding a chain using a pyfunc mode, coding a simple chain using langchain, and coding a simple chain according to requirements. Additionally, the topic focuses on basic elements needed to create a RAG application. Lastly, the topic addresses sub-topics about registering the model to Unity Catalog using MLflow.</li></ul>

Topic 5	<ul style="list-style-type: none"> <li>• Data Preparation: Generative AI Engineers covers a chunking strategy for a given document structure and model constraints. The topic also focuses on filter extraneous content in source documents. Lastly, Generative AI Engineers also learn about extracting document content from provided source data and format.</li> </ul>
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## Databricks Certified Generative AI Engineer Associate Sample Questions (Q52-Q57):

### NEW QUESTION # 52

A Generative AI Engineer is building a RAG application that will rely on context retrieved from source documents that are currently in PDF format. These PDFs can contain both text and images. They want to develop a solution using the least amount of lines of code.

Which Python package should be used to extract the text from the source documents?

- A. **unstructured**
- B. beautifulsoup
- C. flask
- D. numpy

**Answer: A**

Explanation:

\* Problem Context: The engineer needs to extract text from PDF documents, which may contain both text and images. The goal is to find a Python package that simplifies this task using the least amount of code.

\* Explanation of Options:

\* Option A: flask: Flask is a web framework for Python, not suitable for processing or extracting content from PDFs.

\* Option B: beautifulsoup: Beautiful Soup is designed for parsing HTML and XML documents, not PDFs.

\* Option C: unstructured: This Python package is specifically designed to work with unstructured data, including extracting text from PDFs. It provides functionalities to handle various types of content in documents with minimal coding, making it ideal for the task.

\* Option D: numpy: Numpy is a powerful library for numerical computing in Python and does not provide any tools for text extraction from PDFs.

Given the requirement, Option C (unstructured) is the most appropriate as it directly addresses the need to efficiently extract text from PDF documents with minimal code.

### NEW QUESTION # 53

A Generative AI Engineer is building a production-ready LLM system which replies directly to customers.

The solution makes use of the Foundation Model API via provisioned throughput. They are concerned that the LLM could potentially respond in a toxic or otherwise unsafe way. They also wish to perform this with the least amount of effort.

Which approach will do this?

- A. **Host Llama Guard on Foundation Model API and use it to detect unsafe responses**

- B. Ask users to report unsafe responses
- C. Add some LLM calls to their chain to detect unsafe content before returning text
- D. Add a regex expression on inputs and outputs to detect unsafe responses.

**Answer: A**

Explanation:

The task is to prevent toxic or unsafe responses in an LLM system using the Foundation Model API with minimal effort. Let's assess the options.

\* Option A: Host Llama Guard on Foundation Model API and use it to detect unsafe responses

\* Llama Guard is a safety-focused model designed to detect toxic or unsafe content. Hosting it via the Foundation Model API (a Databricks service) integrates seamlessly with the existing system, requiring minimal setup (just deployment and a check step), and leverages provisioned throughput for performance.

\* Databricks Reference: "Foundation Model API supports hosting safety models like Llama Guard to filter outputs efficiently" ("Foundation Model API Documentation," 2023).

\* Option B: Add some LLM calls to their chain to detect unsafe content before returning text

\* Using additional LLM calls (e.g., prompting an LLM to classify toxicity) increases latency, complexity, and effort (crafting prompts, chaining logic), and lacks the specificity of a dedicated safety model.

\* Databricks Reference: "Ad-hoc LLM checks are less efficient than purpose-built safety solutions" ("Building LLM Applications with Databricks").

\* Option C: Add a regex expression on inputs and outputs to detect unsafe responses

\* Regex can catch simple patterns (e.g., profanity) but fails for nuanced toxicity (e.g., sarcasm, context-dependent harm), requiring significant manual effort to maintain and update rules.

\* Databricks Reference: "Regex-based filtering is limited for complex safety needs" ("Generative AI Cookbook").

\* Option D: Ask users to report unsafe responses

\* User reporting is reactive, not preventive, and places burden on users rather than the system. It doesn't limit unsafe outputs proactively and requires additional effort for feedback handling.

\* Databricks Reference: "Proactive guardrails are preferred over user-driven monitoring" ("Databricks Generative AI Engineer Guide").

Conclusion: Option A (Llama Guard on Foundation Model API) is the least-effort, most effective approach, leveraging Databricks' infrastructure for seamless safety integration.

## NEW QUESTION # 54

A Generative AI Engineer is tasked with deploying an application that takes advantage of a custom MLflow Pyfunc model to return some interim results.

How should they configure the endpoint to pass the secrets and credentials?

- A. Pass the secrets in plain text
- B. Use `spark.conf.set()`
- C. Pass variables using the Databricks Feature Store API
- **D. Add credentials using environment variables**

**Answer: D**

Explanation:

Context: Deploying an application that uses an MLflow Pyfunc model involves managing sensitive information such as secrets and credentials securely.

Explanation of Options:

\* Option A: Use `spark.conf.set()`: While this method can pass configurations within Spark jobs, using it for secrets is not recommended because it may expose them in logs or Spark UI.

\* Option B: Pass variables using the Databricks Feature Store API: The Feature Store API is designed for managing features for machine learning, not for handling secrets or credentials.

\* Option C: Add credentials using environment variables: This is a common practice for managing credentials in a secure manner, as environment variables can be accessed securely by applications without exposing them in the codebase.

\* Option D: Pass the secrets in plain text: This is highly insecure and not recommended, as it exposes sensitive information directly in the code.

Therefore, Option C is the best method for securely passing secrets and credentials to an application, protecting them from exposure.

## NEW QUESTION # 55

A Generative AI Engineer is responsible for developing a chatbot to enable their company's internal HelpDesk Call Center team to more quickly find related tickets and provide resolution. While creating the GenAI application work breakdown tasks for this project, they realize they need to start planning which data sources (either Unity Catalog volume or Delta table) they could choose for this application. They have collected several candidate data sources for consideration:

call\_rep\_history: a Delta table with primary keys representative\_id, call\_id. This table is maintained to calculate representatives' call resolution from fields call\_duration and call\_start\_time.

transcript Volume: a Unity Catalog Volume of all recordings as a \*.wav files, but also a text transcript as \*.txt files.

call\_cust\_history: a Delta table with primary keys customer\_id, call\_id. This table is maintained to calculate how much internal customers use the HelpDesk to make sure that the charge back model is consistent with actual service use.

call\_detail: a Delta table that includes a snapshot of all call details updated hourly. It includes root\_cause and resolution fields, but those fields may be empty for calls that are still active.

maintenance\_schedule - a Delta table that includes a listing of both HelpDesk application outages as well as planned upcoming maintenance downtimes.

They need sources that could add context to best identify ticket root cause and resolution.

Which TWO sources do that? (Choose two.)

- A. call\_cust\_history
- **B. call\_detail**
- C. maintenance\_schedule
- **D. transcript Volume**
- E. call\_rep\_history

**Answer: B,D**

Explanation:

In the context of developing a chatbot for a company's internal HelpDesk Call Center, the key is to select data sources that provide the most contextual and detailed information about the issues being addressed. This includes identifying the root cause and suggesting resolutions. The two most appropriate sources from the list are:

\* Call Detail (Option D):

\* Contents: This Delta table includes a snapshot of all call details updated hourly, featuring essential fields like root\_cause and resolution.

\* Relevance: The inclusion of root\_cause and resolution fields makes this source particularly valuable, as it directly contains the information necessary to understand and resolve the issues discussed in the calls. Even if some records are incomplete, the data provided is crucial for a chatbot aimed at speeding up resolution identification.

\* Transcript Volume (Option E):

\* Contents: This Unity Catalog Volume contains recordings in .wav format and text transcripts in .txt files.

\* Relevance: The text transcripts of call recordings can provide in-depth context that the chatbot can analyze to understand the nuances of each issue. The chatbot can use natural language processing techniques to extract themes, identify problems, and suggest resolutions based on previous similar interactions documented in the transcripts.

Why Other Options Are Less Suitable:

\* A (Call Cust History): While it provides insights into customer interactions with the HelpDesk, it focuses more on the usage metrics rather than the content of the calls or the issues discussed.

\* B (Maintenance Schedule): This data is useful for understanding when services may not be available but does not contribute directly to resolving user issues or identifying root causes.

\* C (Call Rep History): Though it offers data on call durations and start times, which could help in assessing performance, it lacks direct information on the issues being resolved.

Therefore, Call Detail and Transcript Volume are the most relevant data sources for a chatbot designed to assist with identifying and resolving issues in a HelpDesk Call Center setting, as they provide direct and contextual information related to customer issues.

## NEW QUESTION # 56

A Generative AI Engineer has already trained an LLM on Databricks and it is now ready to be deployed.

Which of the following steps correctly outlines the easiest process for deploying a model on Databricks?

- A. Save the model along with its dependencies in a local directory, build the Docker image, and run the Docker container
- B. Log the model as a pickle object, upload the object to Unity Catalog Volume, register it to Unity Catalog using MLflow, and start a serving endpoint
- **C. Log the model using MLflow during training, directly register the model to Unity Catalog using the MLflow API, and start a serving endpoint**
- D. Wrap the LLM's prediction function into a Flask application and serve using Gunicorn

**Answer: C**

## NEW QUESTION # 57

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