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Article I. SO 45001 Lead Auditor Sample Exam Questions and Answers:

There are 4 sections in the ISO 45001 OHSMS Lead Auditor examination as illustrated in table 1 below. In this article ISO 45001 lead auditor sample exam questions and answers, we will look into one question per section and provide their answers.

In the below table, you can find the question break-ups and the passing scores.

Table 1: ISO 45001 Exam Section and Question break-up

Section	No of Questions	Minimum Pass Mark	Maximum Pass Mark
1	5	4.5	10
2	4	9.5	20
3	3	14.5	30
4	3	14.5	30
Total	15	62.5	90

In the above table, you see the total number of available questions and the minimum passing scores on each section. It is mandatory to pass each section. For example: if you have scored 7 marks on section 1, 19 marks on section 2, 28 marks on section 3 & 10 marks on section 4, your subtotal would be 64 marks. Though you have scored a total of 64 marks, since you haven't scored the minimum passing marks on section 4, it will be considered a fail.

Now, let's look at a few sample exam questions in each section.

Section 1:

Section 1 contains 5 questions and each carries 2 marks, minimum passing score on section 1 would be 4.5 marks out of 10 marks.

Sample Question: Write 2 examples of OHSMS Opportunities.

Sample Answer:

1. Enhancing the incident investigation process(es)
2. Improving the process(es) for worker participation

Note: CQI IRCA provides ATP a grading scheme. However, while grading the paper, it is not necessary that your answer must match exactly as per the grading scheme. Trainers will use their discretion while grading the paper

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q62-Q67):

NEW QUESTION # 62
Wokrer's participations is a key factor for the success of OHSMS. Which three of the following ISO 45001 activities require workers' participation?

- A. Establishing OHS policy
- B. Establishing OHS objectives
- C. Managing internal audit programme
- D. Determining what needs to be communciated
- E. Determining competence requirements
- F. Determining actions to eliminate hazards

Answer: A,B,F

Explanation:
Worker participation is a fundamental principle of ISO 45001 (Clause 5.4). It ensures workers at all levels are involved in key activities to enhance the OH&S management system's effectiveness.
Analysis of Options:
A . Determining competence requirements:
Incorrect. Determining competence is primarily the responsibility of management, though workers may provide input.
B . Establishing OHS objectives:
Correct. Worker involvement ensures objectives are realistic and relevant to workplace conditions (Clause 6.2).
C . Managing internal audit programme:
Incorrect. Managing audits is typically a managerial responsibility, though workers may participate in audits.
D . Determining actions to eliminate hazards:
Correct. Workers' insights are critical in identifying and implementing actions to eliminate hazards (Clause 8.1.2).
E . Determining what needs to be communicated:
Incorrect. While communication strategies impact workers, their participation in determining communication needs is not explicitly required.
F . Establishing OHS policy:
Correct. Workers should be involved in developing policies to ensure relevance and buy-in (Clause 5.2).
ISO Reference:
Clause 5.4: Worker participation.
Clause 6.2: OHS objectives.
Clause 8.1.2: Hierarchy of controls and hazard elimination.

NEW QUESTION # 63
You are in the closing meeting of a second-party audit to ISO 45001. Which three of the following topics are most likely to come for discussion?

- A. The extent to which the auditee is conforming to the OH&S requirements contained in supply contracts with the auditing organisation.
- B. The extent to which the auditee Is conforming to the requirements set out in ISO 45001.
- C. Whether the work instructions for a specify auditee OH&S process are focused on efficiency.
- D. The extent of the auditee's documented information system.
- E. The names and email address of a attendees at the closing meeting. The question of who should chair the closing meeting.
- F. nature of the trading relationship that currently exists between the auditee's and auditor's organisations.

- G. Whether the audit has correctly performed in current contracts with other customers.

Answer: A,B,F

Explanation:
The closing meeting of a second-party audit focuses on the findings, conformance to agreed requirements, and areas for improvement. ISO 19011:2018 provides guidance on closing meetings, stating that the results of the audit, including conformity with criteria, must be reviewed and agreed upon.
Analysis of Options:
A: The names and email addresses of attendees at the closing meeting:
Irrelevant. Attendance details are not part of the audit discussion.
B: The extent of the auditee's documented information system:
While relevant during the audit, it is not typically a focus in the closing meeting.
C: The extent to which the auditee is conforming to OH&S requirements in supply contracts:
Correct. Second-party audits often assess compliance with contractual requirements.
D: The extent to which the auditee conforms to ISO 45001 requirements:
Correct. The core purpose of the audit is to evaluate conformity to ISO 45001.
E: The nature of the trading relationship between the organizations:
Correct. The trading relationship often shapes the scope and context of second-party audits.
F: Whether the audit has correctly performed in current contracts with other customers:
Irrelevant. The focus is on the specific audit, not contracts with other customers.
G: Whether the work instructions for a specific OH&S process are focused on efficiency:
Irrelevant. Efficiency is not the primary focus of an OH&S audit.
ISO Reference:
ISO 19011:2018, Clause 6.6.1: Conducting the closing meeting.
ISO 45001:2018, Clause 9.2: Internal audit requirements.

NEW QUESTION # 64
You will lead a second-party audit of an organization that manufactures car batteries. When you are checking the audit plan with the auditee's Safety Manager, she asks you to reduce the production line audit from two hours to one hour and to extend the audit to the warehouse from two hours to three hours. She argues that in the last four internal audits, she did not raise any nonconformities in Production while more than 10 were raised in the warehouse.
Which of the following would be your best answer?

- A. OK. I will change the plan. If necessary, I will use the lunchtime to stay longer in the warehouse.
- B. OK. I will change the plan accordingly.
- C. I will not change the plan, but I will consider your comments during the audit.
- D. OK. I will reduce the time in Production to one hour and will review with you (the Safety Manager) the corrective action process in detail during the second hour.

Answer: C

NEW QUESTION # 65
The following options show key actions involved in a third-party audit. They are not in order. Order the stages in the table to show the sequence in which the actions should take place.
To complete the sequence click on the blank section you want to complete so it is highlighted in red and then click on the applicable stage from the options below. Alternatively, drag and drop the options to the appropriate blank section.
These stages take place after a certification application.

1	Certification application
2	
3	
4	
5	
6	Issue certificate

| Review the application | Appointment of an audit team leader | Documentation review | Conduct the audit |

Answer:

Explanation:

1	Certification application
2	Review the application
3	Appointment of an audit team leader
4	Documentation review
5	Conduct the audit
6	Issue certificate

| Review the application | Appointment of an audit team leader | Documentation review | Conduct the audit |

1	Certification application
2	Review the application
3	Appointment of an audit team leader
4	Documentation review
5	Conduct the audit
6	Issue certificate

NEW QUESTION # 66

In the ISO 45001 health and safety management system, what is 'documented information'? Select the ONE best answer.

- A. The master copies of the documents used to operate the system, held in any format
- B. Any paperwork generated when the system is in operation

- C. Paperwork such as Permits to work and risk assessments
- D. Any information about the system, or generated by it, held in any format

Answer: D

Explanation:
ISO 45001 defines "documented information" as information required to be controlled and maintained by an organization. This can include policies, procedures, records, or other relevant data in any format (Clause 3.19). It encompasses all information used to establish, operate, and demonstrate the effectiveness of the OH&S management system.
Analysis of Options:
A . Any paperwork generated when the system is in operation:
Incorrect. This is too narrow and excludes digital or other formats.
B . Any information about the system, or generated by it, held in any format:
Correct. This aligns with the ISO 45001 definition in Clause 3.19.
C . Paperwork such as Permits to Work and risk assessments:
Incorrect. These are examples of documented information but do not encompass the full scope of the term.
D . The master copies of the documents used to operate the system, held in any format:
Incorrect. This definition is limited to master copies, while documented information includes records as well.
ISO Reference:
Clause 3.19: Definition of documented information.
Clause 7.5: Control of documented information.

NEW QUESTION # 67

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