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## CSI Canadian Securities Course Exam2 Sample Questions (Q123-Q128):

### NEW QUESTION # 123

The principle of retraction in retractable preferred shares is identical to what other security?

- A. Redeemable preferred shares.
- **B. Retractable bonds and debentures**
- C. Callable preferred shares.
- D. Retractable common shares

**Answer: B**

Explanation:

The principle of retraction in retractable preferred shares allows the shareholder to force the issuing company to redeem the shares for cash at a predetermined price on or after a specified date. This feature is identical to retractable bonds and debentures, which give the bondholder the option to require the issuer to repay the principal before maturity.

\* A. Callable preferred shares: Callability benefits the issuer, not the holder, and is not similar to retraction.

\* B. Retractable common shares: Such securities are not common in the market and are not comparable to retractable preferred shares.

\* C. Redeemable preferred shares: Redemption is at the issuer's discretion, unlike retraction, which is at the holder's discretion.

Reference:CSC Volume 1, Chapter 8, "Preferred Shares - Retractable Preferred Shares" explains the retraction feature and its similarity to retractable bonds.

#### NEW QUESTION # 124

An investor has the following separate stock transactions:

What is the investor's overall adjusted cost base per share? (Round two decimal points.)

- A. \$15.72
- B. \$16.38
- C. \$15.95
- D. \$15.83

**Answer: C**

#### NEW QUESTION # 125

What is typically discussed during the establishment of the client-advisor relationship step?

- A. By what means does the client prefer to communicate with the advisor.
- B. The client's investment goals.
- C. The current financial and personal status.
- D. What services will the advisor provide.

**Answer: D**

#### NEW QUESTION # 126

The following information is available for REW Co:

What is the price of REW Co. if calculated using the dividend discount model?

- A. \$14.29.
- B. \$13.75.
- C. \$12.50.
- D. \$15.71.

**Answer: A**

#### NEW QUESTION # 127

What is a characteristic of provincial savings bonds that distinguishes them from other provincial bonds?

- A. They are backed by provincial assets pledged as security.
- B. They do not have redemption rules.
- C. They can & purchased only by residents of the province.
- D. They can be purchased at any time of the year.

**Answer: C**

Explanation:

A key characteristic of provincial savings bonds is that they can only be purchased by residents of the issuing province. This restriction differentiates them from other provincial bonds, which may be available to investors nationwide or internationally.

Other options:

\* Backed by provincial assets pledged as security: All provincial bonds are backed by the credit of the issuing government, not specifically by pledged assets.

\* Purchased at any time of the year: Provincial savings bonds are available only during specific sales campaigns.

\* Do not have redemption rules: Savings bonds often have specific redemption rules, unlike this statement.

References:

\* Volume 1, Chapter 6: Fixed-Income Securities, section on "Provincial and Municipal Bonds" explains the restrictions and features of provincial savings bonds.

## NEW QUESTION # 128

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