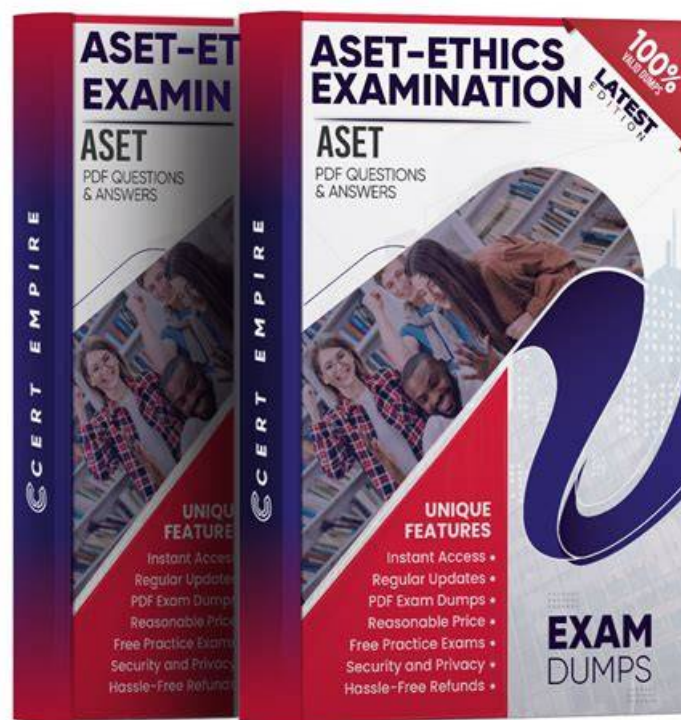


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ASET ASET-Ethics-Examination Exam Syllabus Topics:

Section	Weight	Objectives
Topic 1: Code of Ethics & Professional Duties	25%	- ASET Code of Ethics 1. Duty to employer and client 2. Duty to the profession and colleagues 3. Duty to public safety, health and welfare
Topic 2: Safety, Health & Regulatory Compliance	15%	- Occupational Health and Safety Act (Alberta) 1. Risk management and safety protocols 2. Whistleblowing and reporting obligations
Topic 3: Professional Responsibility & Practice	20%	- Competence and scope of practice 1. Accountability and professional conduct 2. Conflict of interest and confidentiality

Topic 4: Law & Liability in Practice	15%	- Negligence and professional liability • 1. Intellectual property rights • 2. Contracts and legal agreements
Topic 5: Legal Framework & Legislation	25%	- Engineering and Geoscience Professions Act • 1. ASET Regulations • 2. Legal obligations and liabilities

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ASET Ethics Examination-ASET Professional Practice Exam (PPE) Sample Questions (Q82-Q87):

NEW QUESTION # 82

ASET has many boards and committees as a part of its corporate structure. Which of the following is a function of its Council?

- **A. To be a governing body for ASET**
- B. To create bylaws and Acts that affect regulated members
- C. To provide support to ASET staff and executives
- D. To appoint members of the public to ASET Council

Answer: A

Explanation:

Under the framework of the Engineering and Geoscience Professions Act (EGPA), professional regulatory organizations are governed by a specific corporate structure. The ASET Council functions as the ultimate governing body or "board of directors" for the association. Its primary role is to provide strategic direction, establish regulatory policies, oversee the registration and disciplinary processes, and ensure that ASET fulfills its statutory mandate to protect the public interest. The Council does not handle day-to-day staff support (that is the CEO's job). Furthermore, while the Council creates administrative bylaws (which must often be ratified by the membership), it absolutely does not create "Acts"-only the provincial legislature (the government) has the legal authority to create or amend the governing legislation (the EGPA). Finally, the Council does not appoint public members to itself; public members are appointed directly by the provincial government to ensure independent oversight. Therefore, acting as the high-level governing body is the correct primary function of the ASET Council.

NEW QUESTION # 83

A project is situated inside a forested area. A regulated member of ASET wants to provide a clear pathway for equipment by removing all vegetation and brush within a 1 km radius around the site. This removal was not noted on the maps in the Phase 1 environmental report. Which of the following actions should the member take to address this situation?

- **A. Consult with the contractor responsible for the environmental impact assessment before determining a course of action.**
- B. Advise the equipment operators to drive over the vegetation and brush to get to the project site.
- C. Report the contractor responsible for the environmental impact assessment to ASET.
- D. Immediately remove the vegetation and brush.

Answer: A

Explanation:

Environmental protection is a paramount duty for ASET members. When working on projects involving natural habitats, all actions must strictly align with the approved Environmental Site Assessments (ESAs) and permits. A Phase 1 ESA outlines the baseline environmental conditions and historical uses of a site. If a proposed construction activity—such as massive, unauthorized deforestation within a 1 km radius—is not explicitly noted and approved in the environmental reports, proceeding with it (Option A) could violate provincial and federal environmental protection laws (such as CEPA or wildlife protection acts), leading to severe legal penalties and ecological damage. Simply driving over it (Option B) also causes unassessed damage. The professional course of action is to halt the proposed deviation and consult with the environmental experts or the contractor who prepared the ESA. They must assess whether the proposed clearing requires a new permit, a Phase 2 assessment, or an alternative logistical approach that minimizes environmental impact.

NEW QUESTION # 84

A regulated member of ASET prepares permits for municipal construction projects. In reviewing a recent application for a power plant, the member believes that the plans provided are inadequate to meet environmental regulation requirements. The member takes this information to a supervisor, who disagrees with the assessment and orders the member to issue the permit. The member refuses to issue the permit and the discussion is closed. The following week, the member discovers that the permit for the power plant was issued by the supervisor. What is the first step the member should take to address the situation?

- A. Report concerns with the issuing of the permit to upper management.
- B. Wait until the power plant is developed and then notify the appropriate regulatory authority.
- C. No further action is required from the member.
- D. Report the supervisor to ASET for unethical conduct.

Answer: A

Explanation:

ASET members have a paramount duty to protect the public and the environment. When a member identifies a violation of environmental regulations, they cannot simply look the other way, even if overruled by a supervisor. The ethical obligation is to ensure that the consequences of disregarding professional advice are clearly presented. However, the escalation of such disputes must follow a logical and professional chain of command before seeking external intervention. While the member rightfully refused to personally issue the non-compliant permit, discovering that the supervisor bypassed them to issue it anyway requires further action. The correct immediate next step is to escalate the concern internally by reporting the issue to upper management. This allows the organization the opportunity to correct the error, overrule the immediate supervisor, and comply with the law internally. Going straight to an external regulator or ASET (whistleblowing) is generally considered a last resort, to be used only after internal avenues have been exhausted or if there is a threat of immediate, catastrophic harm.

NEW QUESTION # 85

A regulated member of ASET receives a phone call from a client requesting information about one of the member's other clients. Which of the following actions should the member take?

- A. Share only limited information between clients.
- B. Do not under any circumstances share the information.
- C. Request permission from the other client to share information.
- D. Freely provide information between clients.

Answer: C

Explanation:

Confidentiality is a cornerstone of professional ethics. The ASET Code of Ethics explicitly requires members to act with integrity and maintain confidentiality regarding the business affairs, technical processes, and personal data of their clients and employers. Information gathered during a professional relationship legally and ethically belongs to the client, not the technologist. Therefore, a professional cannot freely or even partially share one client's information with another, even if the clients are in the same industry or the member believes the information is harmless. However, saying "do not under any circumstances share" is technically incorrect, as confidentiality can be waived by the owner of the information. The correct and ethical procedure, if a member believes sharing the information could be mutually beneficial or is necessary, is to explicitly request permission (informed consent) from the client whose information is being sought. Only after receiving clear, preferably written, authorization from the original client can the member legally and ethically share that specific information.

NEW QUESTION # 86

A senior executive is reviewing an inaccurate deficiency report for a public building that was compiled by another employee. A regulated member is asked to review and approve the report. The client is pressuring the firm to complete this task, so the executive tells the member to approve the report as it is written. Which of the following is the appropriate next step by the member?

- **A. Advise the executive that the member cannot approve the report as it contains inaccurate information about the project's deficiencies and may compromise the project's overall safety.**
- B. Discuss the report with the employee who compiled it, carefully reviewing all the information provided and then revising the sections that need revision.
- C. Advise the senior executive that the report will be sufficient for the client's needs for the project.
- D. Make slight revisions to the report so that it appears to have a better understanding of the deficiencies of the client's project.

Answer: A

Explanation:

Under the ASET Code of Ethics, members must only provide professional opinions founded upon adequate knowledge and honest conviction, and they must hold paramount the safety of the public.

Approving a deficiency report for a public building that the member knows is inaccurate is an act of professional fraud. By signing or approving it, the member takes legal and professional responsibility for those inaccuracies, potentially allowing dangerous structural or safety flaws to go uncorrected.

Client pressure and executive orders never supersede a professional's ethical obligation to tell the truth and protect the public. While discussing it with the original compiler (Option C) might be a subsequent step, the immediate appropriate response to the executive's direct order is to refuse. The member must explicitly advise the executive that they cannot and will not approve the report because the inaccurate information compromises public safety and violates professional ethical standards.

NEW QUESTION # 87

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