

Quiz ISO-45001-Lead-Auditor - Useful Latest PECB Certified ISO 45001 Lead Auditor Exam Exam Price

Article I. SO 45001 Lead Auditor Sample Exam

Questions and Answers:

There are 4 sections in the ISO 45001 OHSMS Lead Auditor examination as illustrated in table 1 below. In this article ISO 45001 lead auditor sample exam questions and answers, we will look into one question per section and provide their answers.

In the below table, you can find the question break-ups and the passing scores.

Table 1: ISO 45001 Exam Section and Question break-up

Section	No of Questions	Minimum Pass Mark	Maximum Pass Mark
1	5	4.5	10
2	4	9.5	20
3	3	14.5	30
4	3	14.5	30
Total	15	62.5	90

In the above table, you see the total number of available questions and the minimum passing scores on each section. It is mandatory to pass each section. For example: if you have scored 7 marks on section 1, 19 marks on section 2, 28 marks on section 3 & 10 marks on section 4, your subtotal would be 64 marks. Though you have scored a total of 64 marks, since you haven't scored the minimum passing marks on section 4, it will be considered a fail.

Now, let's look at a few sample exam questions in each section.

Section 1:

Section 1 contains 5 questions and each carries 2 marks, minimum passing score on section 1 would be 4.5 marks out of 10 marks.

Sample Question: Write 2 examples of OHSMS Opportunities.

Sample Answer:

- Enhancing the incident investigation process(es)
- Improving the process(es) for worker participation

Note: CQI IRCA provides ATP a grading scheme. However, while grading the paper, it is not necessary that your answer must match exactly as per the grading scheme. Trainers will use their discretion while grading the paper

Page 1 of 7

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The PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) is one of the popular exams of ISO-45001-Lead-Auditor. It is designed for PECB aspirants who want to earn the PECB Certified ISO 45001 Lead Auditor Exam (ISO-45001-Lead-Auditor) certification and validate their skills. The ISO-45001-Lead-Auditor test is not an easy exam to crack. It requires dedication and a lot of hard work. You need to prepare well to clear the ISO-45001-Lead-Auditor test on the first attempt. One of the best ways to prepare successfully for the ISO-45001-Lead-Auditor examination in a short time is using real PECB ISO-45001-Lead-Auditor Exam Dumps.

PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.

Topic 2	<ul style="list-style-type: none"> Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.
Topic 3	<ul style="list-style-type: none"> ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 4	<ul style="list-style-type: none"> Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q75-Q80):

NEW QUESTION # 75

An auditor 's personal behaviour during an audit is key to ensuring that the audit objectives are achieved.

Match each of the descriptions to the correct type of behaviour.

To complete the table click on the blank section you want to complete so it is highlighted in red and then click on the applicable text from the options below. Alternatively, drag and drop each of the following descriptions.

□

Answer:

Explanation:

□ Explanation:

Ethical - C

Diplomatic - F

Open to improvement - B

Perceptive - E

These behaviours are standard auditor personal attributes used in lead auditor training and are aligned with audit competence guidance.

Ethical - C. Fair, truthful, sincere, honest, discreet

An ethical auditor acts with integrity. This includes honesty, truthfulness, sincerity, fairness, and discretion when handling audit evidence, findings, and confidential information. Ethical behaviour is essential because audit conclusions must be trusted and based on professional conduct.

Diplomatic - F. Tactful in dealing with individuals

A diplomatic auditor interacts with people carefully and respectfully. During audits, questions may be sensitive, findings may be difficult to communicate, and interviewees may feel pressure. Being tactful helps maintain cooperation and supports effective evidence gathering.

Open to improvement - B. Willing to learn from situations

An auditor who is open to improvement learns from audit experience, changing conditions, and new information. This behaviour

supports continual development and better audit effectiveness over time.

Perceptive - E. Aware of and able to understand situations

A perceptive auditor recognizes what is happening in the audit environment and understands the significance of what is seen, heard, and observed. This helps the auditor detect inconsistencies, identify risks, and understand process realities beyond prepared answers.

Why the other options are not used here:

* A. Persistent and focused on objectives describes tenacious behaviour.

* D. Actively observing surroundings/activities describes observant behaviour.

So the correct matching is:

Ethical - C

Diplomatic - F

Open to improvement - B

Perceptive - E

NEW QUESTION # 76

XYZ Corporation is an organisation that employs 100 people. As an audit team leader, you are conducting a certification audit at Stage 1. When reviewing the OH and S management system (OHSMS), you find that the objectives have been defined by an external consultant using those of a competitor, but nothing is documented. The Health and Safety Manager complains that this has created a lot of resistance to the OHSMS, and the Chief Executive is asking questions about how much it will cost.

Select two of the options which describe the circumstances in which you could raise a nonconformity against clause 0.2 of ISO 45001.

- A. Establishing OH and S objectives did not include top management.
- B. The organization cannot afford to undertake OH and S objectives all at once
- C. OH and S objectives were not established in alignment with the organization's OH and S policy.
- D. OH and S objectives are not maintained as documented information.
- E. OH and S objectives are not being implemented by the organization's personnel.
- F. The consultant has not interpreted ISO 45001 correctly.

Answer: A,C

Explanation:

Clause 0.2 of ISO 45001 emphasizes the involvement of top management and alignment of OH and S objectives with the organization's OH and S policy.

* Nonconformities Identified:

* The objectives were developed by an external consultant without involving top management, which undermines leadership accountability and commitment.

* The objectives were based on a competitor's framework, not aligned with the organization's unique OH and S policy or context.

* Analysis of Options:

* A. Establishing OH and S objectives did not include top management. True. Clause 5.1 (Leadership) and Clause 6.2 (Objectives) emphasize that top management must be involved in defining and supporting OH and S objectives.

* B. OH and S objectives are not being implemented by personnel. Implementation relates to operationalization, not the development phase, and is not relevant to Clause 0.2.

* C. OH and S objectives are not maintained as documented information. While documentation is required, the absence of documented information is a separate issue under Clause 7.5, not Clause 0.2.

* D. OH and S objectives were not established in alignment with the organization's OH and S policy. True. Clause 6.2.1 requires objectives to align with the OH and S policy, which reflects the organization's commitment to safety and health.

* E. The consultant has not interpreted ISO 45001 correctly. While possibly true, the issue here is the organization's failure to involve top management, not the consultant's interpretation.

* F. The organization cannot afford to undertake OH and S objectives all at once. Financial constraints are not relevant to Clause 0.2; objectives can be prioritized for phased implementation.

ISO References:

* Clause 0.2: Leadership commitment and alignment with organizational policy.

* Clause 5.1: Top management's role in leadership and participation.

* Clause 6.2.1: Establishing OH and S objectives aligned with the policy.

NEW QUESTION # 77

You have been assigned by the audit team leader to evaluate the process of complying with application legislation.

Which three of the following statements about the evaluation of compliance are true?

- A. 'Other requirements' include requirements that the organisation has chosen to comply with.
- B. The organisation is required to evaluate its compliance status with OH&S legal and other requirements
- C. Every member of an audit team must have a detailed understanding of the legal requirements for the sector and type of organisation they are auditing.
- D. The organisation cannot outsource its process for evaluating compliance.
- E. A management review is required in all instances where one or more legal requirements are not being met.
- F. If the organisation is failing to address a legal requirement relating to another discipline e.g. Environmental management, this cannot be raised in the audit.
- G. The organization must evaluate its compliance status at least once a year
- H. The organisation is required to establish a process for evaluating compliance

Answer: A,B,H

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 9.1.2 of ISO 45001:2018 outlines the need for organizations to evaluate compliance with applicable legal and other requirements as part of their OH&S management system.

Analysis of Options:

A :The organization must evaluate its compliance status at least once a year:

The standard does not prescribe a specific frequency but states that evaluations must be conducted at planned intervals.

B : 'Other requirements' include requirements that the organization has chosen to comply with:

Correct. Other requirements may include voluntary standards, industry codes, or contractual obligations.

C :A management review is required in all instances where one or more legal requirements are not being met:

This is not true. Management reviews address compliance but are not mandated for every noncompliance.

D :Every member of an audit team must have a detailed understanding of the legal requirements for the sector and type of organization they are auditing:

Not true. Auditors must have general competency but can rely on subject-matter experts for legal specifics.

E :If the organization is failing to address a legal requirement relating to another discipline (e.g., Environmental management), this cannot be raised in the audit:

Not true. If the legal noncompliance impacts OH&S, it can be raised.

F :The organization cannot outsource its process for evaluating compliance:

The organization can outsource evaluation but retains accountability.

G :The organization is required to establish a process for evaluating compliance:

Correct. Clause 9.1.2 requires a process for compliance evaluation.

H :The organization is required to evaluate its compliance status with OH&S legal and other requirements:

Correct. Clause 9.1.2 explicitly states this requirement.

ISO Reference:

Clause 9.1.2: Evaluation of compliance.

Clause 7.5: Documented information requirements

NEW QUESTION # 78

Which three of the following statements about closing meetings are true?

- A. The audit team leader can instruct members of the audit team to attend the closing meeting virtually.
- B. The closing meeting represents a final opportunity for the auditee to have the audit report findings changed if they are unhappy.
- C. The first- and second-party closing meeting must always be documented.
- D. A closing meeting should be held in all instances, irrespective of whether the audit conducted was first-, second- or third-party.
- E. The audit team must meet formally immediately after the closing meeting to consider recommendations for the report.
- F. The audit team leader cannot instruct audit team members of their role at the closing meeting.
- G. Closing meetings should be chaired by the audit client or their designated representative.
- H. A closing meeting for a first-party audit is likely to be less formal than the closing meeting for a third- party audit.

Answer: A,D,H

Explanation:

The correct answers are B, E, and G .

G is true because ISO 19011 states that a closing meeting should be held to present the audit findings and conclusions . This applies

to audits generally, not only third-party audits. (Oshisis) E is true because ISO 19011 guidance says that for some audit situations the closing meeting may be formal, but in other instances, such as internal audits, the closing meeting can be less formal and may simply consist of communicating the findings and conclusions. That means a first-party closing meeting is commonly less formal than a third-party certification closing meeting. (Synerisia Foundation) B is also true . ISO 19011 and related guidance allow the use of remote methods / ICT in audit activities, and remote audit methods may be combined with on-site methods. From that, it follows that the audit team leader may arrange for audit team members to join activities such as the closing meeting virtually , where appropriate and controlled. This is an inference from the permitted use of ICT in audits. (ISO) Why the others are false:

* A is false because the closing meeting is for presenting findings and conclusions, not for changing findings simply because the auditee is unhappy. Findings can be clarified, but not changed without objective evidence. (Oshisis)

* C is false because there is no rule that the audit team must meet formally immediately after the closing meeting to consider recommendations.

* D is false because ISO 19011 says some closing meetings may be formal and recorded, but internal audits can be less formal, so they do not always have to be documented in that way. (Synerisia Foundation)

* F is false because the audit team leader is responsible for managing the audit team and assigning roles.

* H is false because the closing meeting should be chaired by the audit team leader , not by the audit client. (Oshisis)

NEW QUESTION # 79

You are an audit team leader conducting an ISO 45001 Stage 2 audit of a nautical college that provides courses for those working in the maritime sector. The College Principal is absent, and the Deputy Principal represents top management.

At the closing meeting attended solely by the Deputy Principal, you inform him that you have found numerous gaps in the OHSMS processes which constitute poor planning and control of the OHSMS. Your team raises a large number of findings including major nonconformities, minor nonconformities, and opportunities for improvement.

Select three of the options which would represent major nonconformities from the evidence described.

- A. Students were not given OH and S safety training during their initiation to the courses being undertaken.
- B. The college curriculum did not include detailed coverage of maritime regulations concerning the safety of life at sea.
- C. No internal audits of the OHSMS had been conducted because the report from the consultant appointed to plan, implement, and maintain the internal audit programme was not complete.
- D. Student Union representatives were unaware of the OH and S policy of the college.
- E. Fire Marshalls had not been appointed to manage emergency response in the event of a fire breaking out at the college.
- F. Rainwater dripping from the ceiling in a corridor presented a slip hazard with no mitigating action being taken.
- G. Some of the college staff did not wear personal protective equipment (PPE) during fire drills.
- H. College staff members were not consulted by an external OH and S consultant who was appointed to plan, establish, and maintain the OHSMS.

Answer: A,C,E

NEW QUESTION # 80

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