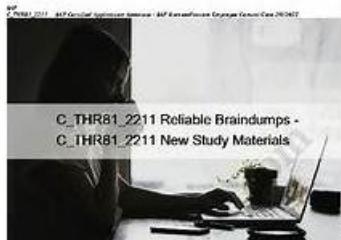


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## ISACA Advanced in AI Security Management (AAISM) Exam Sample Questions (Q33-Q38):

### NEW QUESTION # 33

AI developers often find deep learning systems difficult to explain PRIMARILY because:

- A. Knowledge dynamically changes without logs
- **B. Neural network architectures include statistical methods not fully understood**
- C. Training data is spread across public domains
- D. Algorithms rely on probability theories

### Answer: B

Explanation:

AAISM notes that deep learning systems lack transparency due to complex neural architectures, where internal representations are statistical, nonlinear, and not directly interpretable.

While probability (C) and data sourcing (D) contribute to opacity, the root cause is the intrinsic complexity and opacity of deep neural networks.

References: AAISM Study Guide - Explainability Challenges in Deep Learning.

### NEW QUESTION # 34

An organization needs large data sets to perform application testing. Which of the following would BEST fulfill this need?

- A. Reviewing AI model cards
- **B. Using open-source data repositories**
- C. Incorporating data from search content
- D. Performing AI data augmentation

### Answer: B

Explanation:

According to AAISM study guidance, the most direct and effective way to obtain large volumes of diverse data for application testing is through open-source data repositories. These repositories provide freely available, well-documented, and often standardized data that supports testing and benchmarking in a compliant manner. Model cards document AI behavior but do not provide data. Incorporating search content may introduce legal, privacy, and quality risks. Data augmentation is useful for expanding existing sets but does not provide the breadth or size required when starting with insufficient data. The recommended best practice for sourcing large testing datasets is therefore the use of open-source repositories.

References:

AAISM Study Guide - AI Technologies and Controls (Data Sources and Testing Practices) ISACA AI Security Management - Data Governance and Compliance in AI Testing

### NEW QUESTION # 35

Which of the following is the MOST important course of action when implementing continuous monitoring and reporting for AI-based systems?

- A. Develop standardized risk reporting templates for different stakeholder groups
- B. Establish an automated alert system for threshold breaches in risk metrics
- **C. Implement real-time monitoring of key risk indicators (KRIs) for AI systems**
- D. Implement a risk dashboard for visualizing and tracking AI-related risk over time

**Answer: C**

Explanation:

The AAISM governance framework specifies that the foundation of continuous monitoring is real-time tracking of key risk indicators. This ensures immediate detection of deviations, model drift, and operational anomalies. Automated alerts, dashboards, and reporting templates all support monitoring, but they rely on the presence of accurate, real-time KRI measurement as their source. Without live monitoring, the other controls are reactive rather than proactive. The most important course of action in establishing effective continuous monitoring is therefore real-time KRI tracking.

References:

AAISM Study Guide - AI Governance and Program Management (Continuous Monitoring and Assurance) ISACA AI Risk Guidance - Monitoring Key Risk Indicators

**NEW QUESTION # 36**

When evaluating a new AI tool for intrusion prevention, which of the following is the MOST important consideration to ensure the tool fits within the existing program architecture?

- A. Confirm tool capabilities align with the control objectives.
- B. Prioritize a tool that offers real-time anomaly detection.
- C. Ensure automated response orchestration.
- D. Select a tool that integrates with the existing SIEM.

**Answer: A**

Explanation:

The highest-priority fit criterion for introducing a new AI security capability is alignment to the organization's established control objectives and program architectures. Control objectives encode what must be achieved (e.g., detection coverage, response timeliness, accountability, auditability) and are the basis for requirements traceability across governance, risk, and technical controls. Ensuring the tool's capabilities directly satisfy those objectives provides architectural fit, policy conformance, and measurable assurance. While integration (e.g., SIEM), detection features (e.g., real-time anomaly detection), and orchestration are important, they are secondary to proving the tool maps to-and can be verified against-the control objectives that define the program's intended outcomes.

References:<sup>\*</sup> AI Security Management<sup>TM</sup> (AAISM) Body of Knowledge: AI Governance and Program Management - Security program alignment, control objectives, and requirements traceability<sup>\*</sup> AI Security Management<sup>TM</sup> Study Guide: Control objective mapping, architecture fit criteria, and solution selection governance

**NEW QUESTION # 37**

Which of the following is MOST important to ensure security throughout the AI data life cycle?

- A. Restricting use of data in third-party models
- B. Conducting periodic data reviews
- C. Maintaining a complete inventory with data lineage records
- D. Leveraging selected open-source models

**Answer: C**

Explanation:

AAISM emphasizes data lineage, provenance tracking, and inventory completeness as essential controls to ensure data security and accountability across all AI data life-cycle phases. This enables detection of unauthorized modifications, improper use, and compliance violations.

Periodic reviews (B) are necessary but insufficient without lineage. Restricting third-party use (C) is one control but not comprehensive. Open-source model choice (A) does not secure data.

References: AAISM Study Guide - AI Data Governance; Lineage and Traceability.

**NEW QUESTION # 38**

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