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## IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> <li>Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.</li> </ul>
Topic 2	<ul style="list-style-type: none"> <li>Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.</li> </ul>

Topic 3	<ul style="list-style-type: none"> <li>• <b>Understanding the Foundations of AI Governance:</b> This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>• <b>Understanding How to Govern AI Development:</b> This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.</li> </ul>

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## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q65-Q70):

### NEW QUESTION # 65

A company that deploys AI but is not currently a provider or developer intends to develop and market its own AI system. Which obligation would then be likely to apply?

- A. Conducting an impact assessment including a post-deployment monitoring plan.
- **B. Developing documentation on the system, the potential risks and the safeguards applied.**
- C. Implementing a risk management framework.
- D. Developing a reporting plan for any observed algorithmic discrimination or harms to individuals' rights and freedoms.

**Answer: B**

Explanation:

Once a company moves from being a deployer to also acting as a provider or developer, it assumes new obligations under regulations like the EU AI Act. One of the core requirements for providers is to produce and maintain technical documentation, including descriptions of the model, associated risks, and mitigation strategies.

From the AI Governance in Practice Report 2024:

"Providers of high-risk AI systems must draw up technical documentation demonstrating the system's conformity with the requirements... including potential risks and safeguards applied." (p. 34)

"This documentation must be available before placing the system on the market." (p. 35)

### NEW QUESTION # 66

Which of the following compliance related controls within an organization is most easily adapted to identify AI risks?

- A. Privacy training.
- B. Transfer risk assessments.
- C. Penetration testing.
- **D. Privacy impact assessments.**

**Answer: D**

Explanation:

Privacy impact assessments are already structured to identify risks related to data use, impacts on individuals, and mitigation strategies, making them the easiest existing control to adapt for evaluating AI-related risks.

#### NEW QUESTION # 67

All of the following are potential benefits of using private over public LLMs EXCEPT:

- A. Reduction in time taken for data validation and verification.
- **B. Reduction in possibility of hallucinated information.**
- C. Application for specific use cases within the enterprise.
- D. Confirmation of security and confidentiality.

**Answer: B**

Explanation:

Using a private LLM does not inherently reduce the possibility of hallucinations; hallucination is a core limitation of LLM architectures regardless of whether they are public or private.

#### NEW QUESTION # 68

##### CASE STUDY

Please use the following answer the next question:

A mid-size US healthcare network has decided to develop an AI solution to detect a type of cancer that is most likely arise in adults. Specifically, the healthcare network intends to create a recognition algorithm that will perform an initial review of all imaging and then route records a radiologist for secondary review pursuant Agreed-upon criteria (e.g., a confidence score below a threshold).

To date, the healthcare network has taken the following steps: defined its AI ethical principles; conducted discovery to identify the intended uses and success criteria for the system; established an AI governance committee; assembled a broad, crossfunctional team with clear roles and responsibilities; and created policies and procedures to document standards, workflows, timelines and risk thresholds during the project.

The healthcare network intends to retain a cloud provider to host the solution and a consulting firm to help develop the algorithm using the healthcare network's existing data and de-identified data that is licensed from a large US clinical research partner.

Which of the following steps can best mitigate the possibility of discrimination prior to training and testing the AI solution?

- A. Engage a third party to perform an audit.
- B. Create a bias bounty program.
- **C. Perform an impact assessment.**
- D. Procure more data from clinical research partners.

**Answer: C**

Explanation:

Performing an impact assessment is the best step to mitigate the possibility of discrimination before training and testing the AI solution. An impact assessment, such as a Data Protection Impact Assessment (DPIA) or Algorithmic Impact Assessment (AIA), helps identify potential biases and discriminatory outcomes that could arise from the AI system. This process involves evaluating the data and the algorithm for fairness, accountability, and transparency. It ensures that any biases in the data are detected and addressed, thus preventing discriminatory practices and promoting ethical AI deployment. Reference: AIGP Body of Knowledge on Ethical AI and Impact Assessments.

#### NEW QUESTION # 69

Your company is developing an AI system for global markets. This system involves personal data processing and some decision making that may affect rights of the individuals. Part of your role as an AI governance professional is to ensure the AI system complies with various international regulatory requirements. Which of the following approaches best aligns with a comprehensive understanding of and compliance with AI regulatory requirements in this scenario?

- **A. Develop a compliance strategy based on the strictest requirements in various regulations, including the EU AI Act, GDPR and HIPAA, and harmonize into a unified compliance framework.**
- B. Consider that if the AI system complies with local regulations of the country where the company is based, it is not

necessary to comply with international regulations as AI is adaptable globally.

- C. Focus on the EU AI Act as it is the most comprehensive regulation and its likely compliance with the act will ensure compliance with other international regulations that apply to AI.
- D. Adopt a region-specific compliance strategy where the AI is modified to meet regulatory requirements of each region independently, disregarding commonalities or overlaps in international regulations.

**Answer: A**

## NEW QUESTION # 70

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