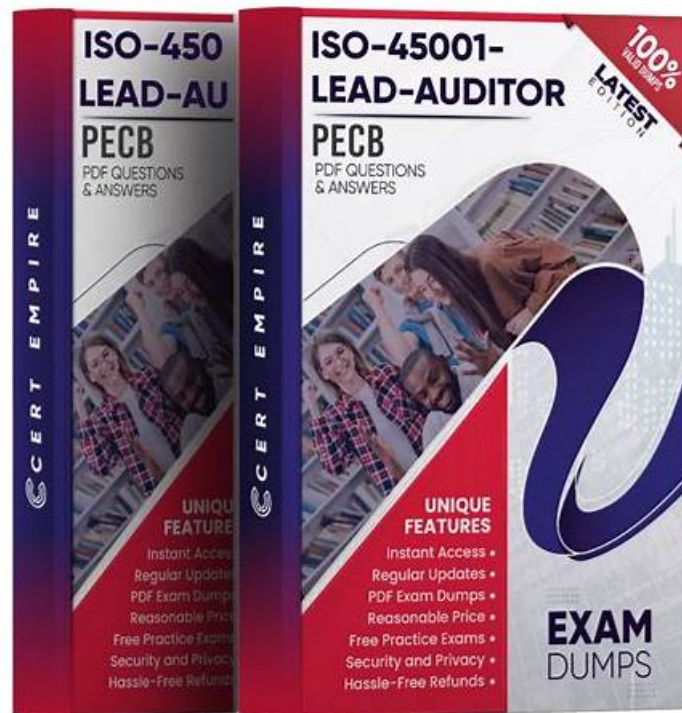


2026 Newest ISO-45001-Lead-Auditor: PECB Certified ISO 45001 Lead Auditor Exam Exam Questions



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PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.
Topic 2	<ul style="list-style-type: none"> Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.
Topic 3	<ul style="list-style-type: none"> Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.

Topic 4	<ul style="list-style-type: none"> • ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 5	<ul style="list-style-type: none"> • Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.
Topic 6	<ul style="list-style-type: none"> • Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q84-Q89):

NEW QUESTION # 84

An audit team leader arrives at a fabrication organisation that manufactures stairways to ..certification audit. At a meeting with the OHSMS Manager the audit finds that the responsibility for worker consultation and participation lies with the managerial team. Select from the options four actions by the managerial team that encourage worker consultation and participation.

- A. Implementing a payment scheme to reward worker's OHS performance
- B. Ignoring worker's suggestions
- C. Giving ownership of health and safety matters
- D. Promoting collaborating workers to higher positions
- E. Conducting toolbox talks on health and safety
- F. Implementing methods for engaging workers
- G. Appointing worker's representatives
- H. threatening reprisals to whistleblowers

Answer: C,E,F,G

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 5.4 of ISO 45001:2018 emphasizes the importance of worker consultation and participation in the OHS management system. It requires organizations to establish processes that ensure workers are actively involved in decision-making and implementation of OHS practices.

Analysis of Options:

A . Promoting collaborating workers to higher positions:

While promotions may boost morale, they do not directly contribute to worker consultation and participation in the OHSMS.

B . Appointing worker representatives:

This action fosters direct communication and representation of workers' interests in health and safety matters.

C . Conducting toolbox talks on health and safety:

Toolbox talks are an effective way to engage workers in discussing and addressing health and safety issues.

D . Giving ownership of health and safety matters:

Involving workers in decision-making and giving them responsibility for specific health and safety tasks encourages participation.

E. Ignoring workers' suggestions:

This undermines consultation and is contrary to ISO 45001 principles.

F. Implementing a payment scheme to reward workers' OHS performance:

While incentives can motivate safe behavior, they are not a consultation or participation mechanism as required by Clause 5.4.

G. Implementing methods for engaging workers:

This aligns directly with Clause 5.4, as effective engagement methods (e.g., surveys, focus groups) enhance participation.

H. Threatening reprisals to whistleblowers:

This violates ISO 45001 principles and discourages worker participation.

ISO Reference:

Clause 5.4: Worker consultation and participation.

Clause 5.1: Leadership's responsibility to foster participation.

NEW QUESTION # 85

You are conducting an ISO 45001 audit of Widgets Inc., an organization manufacturing machine parts for the aerospace industry. You find a short entry in the incident report file connecting a machine operator who suffered minor injury to his face four weeks ago when a small ribbon of metal hit him while operating his machine.

The subsequent investigation found that he was not wearing the required protective Personal Protective Equipment (PPE). He was subject to disciplinary action and warned that he would lose his job if it happened again.

Select two option of points of investigation which you would consider in order to determine conformity or nonconformity with clause 10.2 of ISO 45001.

- A. Interview the operator to check if he was wearing the correct PPE.
- B. Determine whether the Health and Safety Authority was notified of the incident
- C. Find out when the operator's machine was last subject to a maintenance programme.
- **D. Establish whether the Incident Investigation determined the root cause of the Incident**
- **E. Check whether any corrective actions were taken to eliminate or reduce the risk of recurrence of the Incident.**
- F. Find out whether the operator was sent to the hospital.

Answer: D,E

Explanation:

Clause 10.2 of ISO 45001 requires organizations to investigate incidents, determine root causes, and implement corrective actions to prevent recurrence.

* Analysis of Options:

* A. Check whether corrective actions were taken. This directly relates to the requirement to implement corrective actions to address the identified risks and hazards. Failure to do so would indicate nonconformity.

* B. Determine whether the Health and Safety Authority was notified. Notification is a legal requirement in certain jurisdictions, but it is not explicitly required under ISO 45001 unless the legal context demands it.

* C. Establish whether the root cause was determined. Root cause analysis is fundamental for effective corrective action. Without this, corrective measures may not address the underlying problem.

* D. Find out when the operator's machine was last subject to maintenance. While maintenance is important, it does not directly address the incident's nonconformity.

* E. Find out whether the operator was sent to the hospital. Sending the operator to the hospital is a reactive step and not relevant to compliance with Clause 10.2.

* F. Interview the operator about PPE usage. This is relevant but insufficient for determining compliance with Clause 10.2.

ISO References:

* Clause 10.2: Investigation of incidents, determination of causes, and implementation of corrective actions.

* Clause 8.1.2: Hierarchy of controls and risk reduction.

NEW QUESTION # 86

As a third-party auditor, you must audit company ABC, which has agreed with the Certification Body that the scope will be " manufacture of food for domestic animals ". They have recently bought the company XYZ across the road, which can manufacture the packaging materials for their food products. They have implemented a single OHS management system (ISO 45001) for both plants. However, for marketing purposes, they want to certify the management system for the food manufacturing only. They argue that XYZ has the role of a supplier.

What would be your response? Select one

- A. Agree to perform the audit if they commit to buy packaging material from a supplier other than XYZ.

- B. Agree to perform the audit if ABC commits to extending the scope of certification to the second plant in 12 months.
- C. Agree to perform the audit of the food manufacturing plant only. The new XYZ plant will be considered as one of ABC ' s suppliers.
- D. Do not agree to perform the audit because the scope of certification cannot be narrower than the scope of ABC ' s management system

Answer: D

Explanation:

The correct answer is D .

ABC states that it has implemented one single OH and S management system for both plants . Once a single management system covers both sites, the certification body has to determine and audit the scope of the management system being operated , not an artificially narrowed commercial scope. IAF MD 1 says a multi-site organization has a single management system , and the certification body must confirm that a single management system is deployed and determine the scope of the management system being operated

. It also says the certification document must reflect the scope of certification and the sites/legal entities covered by that multi-site certification. (IAF) The argument that XYZ is "just a supplier" does not solve the problem. IAF MD 5 makes an important distinction: where an external provider is only a supplier, the certification body audits the organization's control of the supplied activity, not the performance of the activity itself . But here, XYZ is not outside the management system; ABC has already said both plants are inside one single OHSMS . So XYZ cannot simply be treated as an external supplier for certification-scoping purposes. (IAF) This is also consistent with the multi-site certification rules that do not allow an organization to exclude covered sites just to avoid certification consequences. IAF MD 1 explicitly says it is not admissible to exclude a problematic site from scope during certification, and the certification documents must show the sites covered by the certified system. While this example is about a "problematic" site, the principle is the same: once the site is part of the single management system, it cannot be carved out simply for convenience or marketing. (IAF) Therefore, as a third-party auditor, you should not agree to audit only the food plant while ignoring the packaging plant if both are included in the same ISO 45001 management system.

NEW QUESTION # 87

Just before the closing meeting of a third-party audit, the audit team leader is invited to a meeting with the OHS Manager. He tells the audit team leader that a member of the audit team was seen taking videos on the factory floor using his mobile phone and wants him suspended from the closing meeting with any nonconformities raised by him rescinded. When asked about this, the auditor said that he had obtained permission from one of the supervisors who was a member of the safety team.

Select the three best options of how the audit team leader might deal with this situation.

- A. Advise the OHS Manager that they should speak to the supervisor to confirm the situation.
- B. Insist that the nonconformities must stand since there is clear evidence for them apart from the videos.
- C. Personally delete all photos taken from the auditor ' s personal phone.
- D. Apologise for the auditor ' s behaviour and advise the OHS Manager that he will be reported to Head Office.
- E. Instruct the auditor to delete all photos immediately in such a manner that the OHS Manager can personally confirm this deletion.
- F. State that the auditor will take no further part in the audit and all his videos will be deleted.
- G. Indicate that he, as audit team leader, will seek approval for the taking of videos by auditors at the opening meetings with management at all audits in the future.
- H. Inform the OHS Manager that auditors must take videos for their report.

Answer: A,B,G

NEW QUESTION # 88

Worker ' s participations is a key factor for the success of OHSMS. Which three of the following ISO 45001 activities require workers ' participation?

- A. Determining what needs to be communicated
- B. Establishing OHS objectives
- C. Managing internal audit programme
- D. Determining actions to eliminate hazards
- E. Determining competence requirements
- F. Establishing OHS policy

Answer: B,D,F

Explanation:

Worker participation is a fundamental principle of ISO 45001 (Clause 5.4). It ensures workers at all levels are involved in key activities to enhance the OH and S management system's effectiveness.

Analysis of Options:

- * A. Determining competence requirements: Incorrect. Determining competence is primarily the responsibility of management, though workers may provide input.
- * B. Establishing OHS objectives: Correct. Worker involvement ensures objectives are realistic and relevant to workplace conditions (Clause 6.2).
- * C. Managing internal audit programme: Incorrect. Managing audits is typically a managerial responsibility, though workers may participate in audits.
- * D. Determining actions to eliminate hazards: Correct. Workers' insights are critical in identifying and implementing actions to eliminate hazards (Clause 8.1.2).
- * E. Determining what needs to be communicated: Incorrect. While communication strategies impact workers, their participation in determining communication needs is not explicitly required.
- * F. Establishing OHS policy: Correct. Workers should be involved in developing policies to ensure relevance and buy-in (Clause 5.2).

ISO References:

- * Clause 5.4: Worker participation.
- * Clause 6.2: OHS objectives.
- * Clause 8.1.2: Hierarchy of controls and hazard elimination.

NEW QUESTION # 89

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