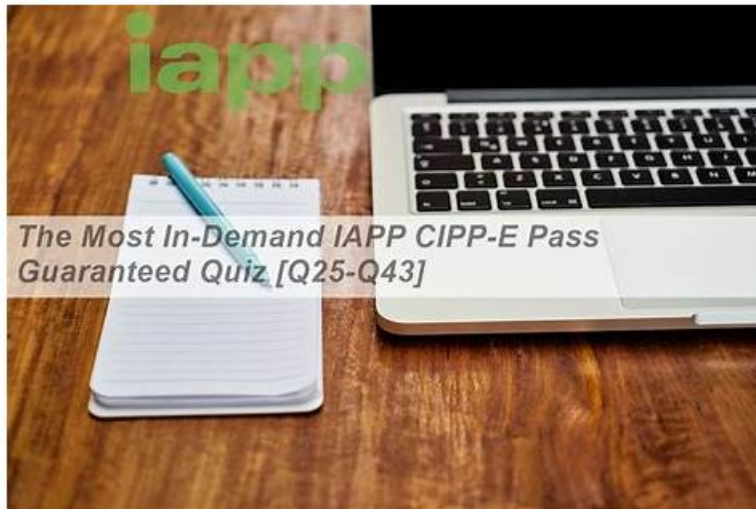


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### IAPP AIGP Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.</li></ul>

Topic 2	<ul style="list-style-type: none"> <li>• <b>Understanding How Laws, Standards, and Frameworks Apply to AI:</b> This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.</li> </ul>
Topic 3	<ul style="list-style-type: none"> <li>• <b>Understanding the Foundations of AI Governance:</b> This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>• <b>Understanding How to Govern AI Development:</b> This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.</li> </ul>

## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q141-Q146):

### NEW QUESTION # 141

#### CASE STUDY

A company is considering the procurement of an AI system designed to enhance the security of IT infrastructure. The AI system analyzes how users type on their laptops, including typing speed, rhythm and pressure, to create a unique user profile. This data is then used to authenticate users and ensure that only authorized personnel can access sensitive resources.

When prioritizing the updates to its policies, rules and procedures to include the new AI system for user authentication, the organization should:

- A. Update third-party data sharing policies
- **B. Ensure that any personal data used is only processed for a specific and lawful purpose**
- C. Update security controls for sensitive data
- D. Reduce the complexity of the policy to make it easier for non-technical employees to understand

**Answer: B**

Explanation:

The correct answer is C. This action ties directly into principles of data minimization, purpose limitation, and lawfulness of processing, which are central to privacy and AI governance.

From the AIGP Body of Knowledge, Section on Privacy Considerations:

"Personal data must only be processed for specified and lawful purposes. Organizations must consider whether they have a legal basis for processing such data under data protection laws like the GDPR or CCPA." Additionally, AI Governance in Practice Report 2024 emphasizes:

"One of the most significant challenges when designing and developing AI systems is ensuring the data used is appropriate for the intended purpose... Managing unnecessary data, especially data that may contain sensitive attributes, can increase risk."

### NEW QUESTION # 142

#### CASE STUDY

A global marketing agency is adapting a large language model ("LLM") to generate content for an upcoming marketing campaign for a client's new product: a hard hat designed for construction workers of any gender to better protect them from head injuries.

The marketing agency is accessing the LLM through an application programming interface ("API") developed by a third-party technology company. They want to generate text to be used for targeted advertising communications that highlight the benefits of the hard hat to potential purchasers. Both the marketing agency and the technology company have taken reasonable steps to address AI governance.

The marketing company has:

- \* Entered into a contract with the technology company with suitable representations and warranties.
- \* Completed an impact assessment on the LLM for this intended use.
- \* Built technical guidance on how to measure and mitigate bias in the LLM.
- \* Enabled technical aspects of transparency, explainability, robustness and privacy.
- \* Followed applicable regulatory requirements.
- \* Created specific legal statements and disclosures regarding the use of the AI on its client's advertising.

The technology company has:

- \* Provided guidance and resources to developers to address environmental concerns.
- \* Build technical guidance on how to measure and mitigate bias in the LLM.
- \* Provided tools and resources to measure bias specific to the LLM.
- \* Enabled technical aspects of transparency, explainability, robustness and privacy.
- \* Mapped and mitigated potential societal harms and large-scale impacts.
- \* Followed applicable regulatory requirements and industry standards.
- \* Created specific legal statements and disclosures regarding the LLM, including with respect to IP and rights to data.

The technology company has also addressed environmental concerns and societal harms.

Which of the following results would be considered biased outputs from this AI system EXCEPT?

- A. The generated ads are sent to construction companies, not individual workers
- B. The content generated for minority construction workers is insufficient
- C. The images of female workers are hyper-sexualized
- D. The advertising text generated for female audiences focuses on color and style

**Answer: A**

Explanation:

The correct answer is A. Sending ads to construction companies (business entities) rather than individual workers is a business targeting decision, not inherently a biased AI output.

From the AIGP ILT Participant Guide - Bias & Fairness Module:

"Biased outputs often include stereotyping, exclusion of underrepresented groups, or reinforcing harmful societal assumptions."

Examples like insufficient representation of minority groups or gender-stereotyping in visuals or language are typical manifestations of bias.

AI Governance in Practice Report 2024 also notes:

"Bias in generative models may manifest in representation gaps, stereotyping, or unequal performance across demographic groups."

Option A, by contrast, describes a distribution strategy, not a bias generated by the AI model.

## **NEW QUESTION # 143**

### **CASE STUDY**

Please use the following answer the next question:

A local police department in the United States procured an AI system to monitor and analyze social media feeds, online marketplaces and other sources of public information to detect evidence of illegal activities (e.g., sale of drugs or stolen goods). The AI system works by surveilling the public sites in order to identify individuals that are likely to have committed a crime. It cross-references the individuals against data maintained by law enforcement and then assigns a percentage score of the likelihood of criminal activity based on certain factors like previous criminal history, location, time, race and gender.

The police department retained a third-party consultant assist in the procurement process, specifically to evaluate two finalists. Each of the vendors provided information about their system's accuracy rates, the diversity of their training data and how their system works. The consultant determined that the first vendor's system has a higher accuracy rate and based on this information, recommended this vendor to the police department.

The police department chose the first vendor and implemented its AI system. As part of the implementation, the department and consultant created a usage policy for the system, which includes training police officers on how the system works and how to incorporate it into their investigation process.

The police department has now been using the AI system for a year. An internal review has found that every time the system scored a likelihood of criminal activity at or above 90%, the police investigation subsequently confirmed that the individual had, in fact, committed a crime. Based on these results, the police department wants to forego investigations for cases where the AI system gives a score of at least 90% and proceed directly with an arrest.

During the procurement process, what is the most likely reason that the third-party consultant asked each vendor for information

about the diversity of their datasets?

- A. To comply with applicable law.
- B. To determine the explainability of the AI system.
- C. To evaluate the reliability of the AI system.
- **D. To assist the fairness of the AI system.**

**Answer: D**

Explanation:

The third-party consultant asked each vendor for information about the diversity of their datasets to assist in ensuring the fairness of the AI system. Diverse datasets help prevent biases and ensure that the AI system performs equitably across different demographic groups. This is crucial for a law enforcement application, where fairness and avoiding discriminatory practices are of paramount importance. Ensuring diversity in training data helps in building a more just and unbiased AI system. Reference: AIGP Body of Knowledge on Ethical AI and Fairness.

#### **NEW QUESTION # 144**

The most important policy to assess the operations of an AI model is to follow the:

- A. Code of conduct policy of the model provider.
- B. Security policy of the model provider.
- **C. Acceptable use policy of the model provider.**
- D. Privacy policy of the model provider.

**Answer: C**

Explanation:

The acceptable use policy defines how the model is permitted to be operated, including restrictions, prohibited behaviors, and required safeguards. It is the most important policy for assessing whether the AI model is being used appropriately and within operational boundaries.

#### **NEW QUESTION # 145**

After initially deploying a third-party AI model, you learn the developer has released a new version. As deployer of this third-party model, what should you do?

- A. Seek input from data scientists.
- B. Communicate necessary updates to your users.
- **C. Audit the model.**
- D. Retrain the model.

**Answer: C**

Explanation:

When a new version of a third-party model is released, the deployer must ensure it still meets safety, performance, and compliance requirements - which calls for a formal audit.

From the AI Governance in Practice Report 2025:

"Any updates or changes to AI systems should trigger a re-evaluation to ensure continued compliance and performance." (p. 12)

"Post-market monitoring includes reassessing the impact of updated models or retraining." (p. 35)

#### **NEW QUESTION # 146**

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