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ISO 9001 Lead Auditor Sample Exam Questions and Answers

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CQI IRCA Certified ISO 9001 Lead Auditor Sample Exam Questions and Answers

To successfully complete [CQI IRCA Certified ISO 9001 QMS Lead Auditor course](#), learners must pass both the continuous evaluation and the final examination.

1. Continuous Evaluation

It is a formative assessment that will be held throughout the 5 days course. You will undergo audit activities, and be provided daily feedback on your progress which will help you increase your knowledge in performing audits. The activities of each delegate are graded by the tutor. You need to successfully complete all the activities and score a minimum passing grade in the [continuous evaluation](#).

2. Final Examination

Here are some of the key details you need to know before appearing for the final examination.

1. The duration of the exam is 120 Minutes, however, if you are a non-native speaker you will be given an extra 24 Minutes in addition to the 120 Minutes.
2. The exam is conducted both in class or online depending on the mode of your training. (3FOLD Education Centre conducts the [entire training](#) and the [final exam online](#))
3. The only resource that you can use during the exam is the relevant ISO Scheme standard ISO 9001:2015. It is not permissible to use ISO 19011 standard
4. English dictionary is allowed, however, it is not found useful by many of the participants.

ISO 9001 Lead Auditor Sample Exam Questions and Answers:

There are 4 sections in the ISO 9001 QMS Lead Auditor examination as illustrated in table 1 below. In this ISO 9001 lead auditor sample exam questions and answer article, we will examine one question per section and provide their answers.

In table 1 you can find the question break-ups and the passing scores.

Table 1: ISO 9001 Exam Section and Question break-up

Section	No of Questions	Minimum Pass Mark	Maxim
1	5	4.5	
2	4	9.5	

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A good learning platform should not only have abundant learning resources, but the most intrinsic things are very important, and the most intuitive things to users are also indispensable. The ISO-9001-Lead-Auditor test material is professional editorial team, each test product layout and content of proofreading are conducted by experienced professionals, so by the editor of fine typesetting and strict check, the latest ISO-9001-Lead-Auditor Exam Torrent is presented to each user's page is refreshing, and ensures the accuracy of all kinds of ISO-9001-Lead-Auditor learning materials is extremely high.

PECB ISO-9001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">• Fundamental principles and concepts of a quality management system: The main objective of this domain is to evaluate your skills of explaining and applying ISO 9001 principles and concepts.
Topic 2	<ul style="list-style-type: none">• Quality management system (QMS) requirements: It assesses your abilities to point out and explain different requirements for a quality management system based on ISO 9001.

Topic 3	<ul style="list-style-type: none"> Preparing an ISO 9001 audit: This topic covers sub-topics related to preparing a quality management system audit.
Topic 4	<ul style="list-style-type: none"> Conducting an ISO 9001 audit: It evaluates your skills to conduct a QMS audit.
Topic 5	<ul style="list-style-type: none"> Managing an ISO 9001 audit program: This topic evaluates your abilities to establish and managing a QMS audit program.
Topic 6	<ul style="list-style-type: none"> Fundamental audit concepts and principles: Questions about interpreting and applying the main concepts and principles related to a QMS audit appear in this topic.

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PECB QMS ISO 9001:2015 Lead Auditor Exam Sample Questions (Q115-Q120):

NEW QUESTION # 115

An audit team of three people is conducting a Stage 2 audit to ISO 9001 of an engineering organisation which manufactures sacrificial anodes for the oil and gas industry in marine environments. These are aluminium products designed to prevent corrosion of submerged steel structures. As one of the auditors, you find that the organisation has shipped anodes for Project DK in the Gulf of Mexico before the galvanic efficiency test results for the anodes have been fully analysed and reported as required by the customer. The Quality Manager explains that the Managing Director authorised the release of the anodes to avoid late delivery as penalties would be imposed. The customer was not informed since the tests rarely fall below the required efficiency. You raise a nonconformity against clause 8.6 of ISO 9001.

During the audit team meeting in preparation for the Closing meeting, the second auditor disagreed with the clause of ISO 9001 selected for the above nonconformity. He thinks it should be clause 9.1.1.

Choose three options for how the audit team leader should best respond to the situation:

- A. Suggest that neither clause is accurate and instead propose clause 9.1.3 as the best one for the nonconformity.
- B. Immediately overrule the objection of the second auditor with no discussion of the clause.
- C. Try to obtain a consensus between you and the second auditor after a discussion of the different opinions.**
- D. Invite you and the second auditor to fully explain your point of view and then decide which clause to select.**
- E. Immediately agree with the second auditor that clause 9.1.1 would be better.
- F. The audit team leader will refer to the quality manager to determine which clause they agree with.
- G. Review the evidence with you and the second auditor, and then decide which clause of ISO 9001 would best apply.**
- H. Advise that he will think about the clause and announce his decision during the Closing meeting.

Answer: C,D,G

Explanation:

A: the audit team leader, it is crucial to manage differing opinions constructively and ensure that the correct clause is selected for the nonconformity based on solid evidence. Here's how the situation should be handled:

E: Invite you and the second auditor to fully explain your point of view and then decide which clause to select: This promotes collaboration and transparency, allowing both auditors to present their rationale for choosing the specific clause.

F:Review the evidence with you and the second auditor, and then decide which clause of ISO 9001 would best apply: Reviewing the evidence in relation to the specific requirements of ISO 9001 is essential for determining which clause is most appropriate.

H: Try to obtain a consensus between you and the second auditor after a discussion of the different opinions:

Consensus-building is a crucial skill for an audit team leader. Achieving agreement ensures the nonconformity is addressed accurately

and with full team support.

Options such as overruling immediately (D) or deferring the decision without full discussion (B) could undermine team dynamics and the audit process. Consulting the quality manager (A) or selecting an entirely different clause (G) is unnecessary, as the team should resolve the issue internally.

NEW QUESTION # 116

According to ISO 9000, what is quality?

- A. The efficiency with which resources are utilized in achieving objectives
- **B. The degree to which a set of inherent characteristics of an object fulfills requirements**
- C. The ability of an object to realize an output that will fulfill the requirements for that output
- D. A set of conditions under which work is performed

Answer: B

Explanation:

Comprehensive and Detailed In-Depth Explanation:

ISO 9000:2015 defines quality as "the degree to which a set of inherent characteristics of an object fulfills requirements." Clause 3.6.2 (Quality) confirms this definition.

Quality is determined by how well an object (product, service, or process) meets defined requirements (customer, regulatory, or internal).

The other options do not align with the official ISO definition:

Option A refers to performance capability but does not define quality.

Option C describes work conditions, not quality.

Option D focuses on efficiency rather than fulfilling requirements.

Reference:

ISO 9000:2015, Clause 3.6.2 - Quality

NEW QUESTION # 117

An organisation wants to certify their ISO 9001:2015-based QMS for the first time. Arrange the activities in the correct sequence from 2 to 5.

To complete the sequence, click on the blank section you want to complete so it is highlighted in red and then click on the applicable text from the options below. Alternatively, drag and drop the options to the appropriate blank section.

Answer:

Explanation:

Explanation:

To certify an organization's ISO 9001:2015-based Quality Management System (QMS) for the first time, the correct sequence of activities would be:

- * Establish the management system (already in place).
- * Supplier audit
- * Internal audit
- * Management review
- * Initial certification audit - stage 1
- * Initial certification audit - stage 2 (already in place).

This sequence follows the typical path for preparing and ensuring that a QMS is functioning as required, leading up to certification.

NEW QUESTION # 118

You are conducting an audit at a single-site organisation seeking certification to ISO 9001 for the first time.

The organisation manufactures cosmetics for major retailers and the name of the retailer supplied appears on the product packaging. Sales turnover has increased significantly over the past five years.

You are interviewing the new Product Development Manager. You note that a software application called SWIFT is used to help control the product development process.

You have gathered audit evidence as outlined in the table. Match the ISO 9001 clause 8.3 extracts to the audit evidence.

Answer:

Explanation:

A screenshot of a computer AI-generated content may be incorrect.

Comprehensive and Detailed In-Depth Explanation:

* Clause 8.3.2 e) - Internal Resource Needs: Delays due to staff shortages highlight a lack of internal resources, directly relating to this clause that requires internal resource planning for design and development.

* Clause 8.3.6 - Design and Development Changes: Ongoing formulation changes due to feedback need to be documented and reviewed. This clause requires retention of documented information on changes.

* Clause 8.3.5 - Design and Development Outputs: Customer approvals for formulations are outputs of the design process and must be retained as documented information, as per this clause.

* Clause 8.3.4 d) - Design and Development Controls: Consumer trials are a validation activity. This clause ensures such trials are conducted to confirm that resulting products meet defined requirements.

* Clause 8.3.2 e) - External Resource Needs: Shelf-life tests done by an external lab are part of the external resources needed for development and are referenced here.

NEW QUESTION # 119

Select the word that best completes the sentence:

Answer:

Explanation:

Explanation:

According to the ISO - Management system standards page, the key benefits of an effective management system include improved operational effectiveness and efficiency, improved risk management and protection of people and the environment, and enhanced drive for innovation. The Integrated Use of Management System Standards (IUMSS) handbook also states that the purpose and objectives of management system standards are to help organizations improve their performance by specifying repeatable steps that organizations consciously implement to achieve their goals and objectives.

Therefore, the complete sentence is:

"The purpose of a management system standard is to improve the performance of an organisation."

NEW QUESTION # 120

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