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CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> The Canadian Investment Marketplace: This section of the exam measures the skills of a Securities Industry Professional and covers the structure and operation of Canada's investment marketplace. It includes the roles of investment dealers and financial intermediaries, capital market functions, financial instruments, and the complete Canadian regulatory environment with its regulatory bodies, principles of regulation, client remediation options, and ethical standards for financial services professionals.

Topic 2	<ul style="list-style-type: none"> • Portfolio Analysis: This section of the exam measures the skills of a Portfolio Manager and covers portfolio management approaches including risk and return measurement, portfolio optimization strategies, management styles, and the complete portfolio management process from objective setting to performance evaluation and rebalancing.
Topic 3	<ul style="list-style-type: none"> • Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.
Topic 4	<ul style="list-style-type: none"> • Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.
Topic 5	<ul style="list-style-type: none"> • The Economy: This section of the exam measures the skills of an Economic Analyst and covers fundamental economic concepts including microeconomics and macroeconomics, economic growth measurement, business cycles, labor markets, interest rates, inflation, international trade, and both fiscal and monetary policy with emphasis on the Bank of Canada's role and government policy challenges.

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Overall we can say that Canadian Securities Course Exam2; CSC2 certification can provide you with several benefits that can assist you to advance your career and achieve your professional goals. Are you ready to gain all these personal and professional benefits? Looking for a sample, is smart and quick for CSI CSC2 Exam Dumps preparation? If your answer is yes then you do not need to go anywhere, just download FreeCram CSC2 Questions and start CSI CSC2 exam preparation with complete peace of mind and satisfaction.

CSI Canadian Securities Course Exam2 Sample Questions (Q144-Q149):

NEW QUESTION # 144

How can an analyst use trend analysis to analyze a company's financial statements?

- **A. Computer the company's current ratios with its ratios from prior years to determine a trend.**
- B. Analyze the ratios against companies in a wide a range of industries to see how the company is trending in the current economic cycle.
- C. Review the company's ratio over the past year, as they provide the best estimate of near-term performance and future trends.
- D. Identity trends by selecting the lowest ratio for the base year, even if a loss is experienced, as it represents a good starting point for analyzing the growth in the ratios.

Answer: A

Explanation:

Trend analysis involves comparing a company's financial ratios or metrics over several periods to identify patterns or changes that may indicate performance trends. This approach is essential for evaluating a company's financial health over time and detecting improvements or declines in critical financial metrics.

By analyzing the current ratios—which measure liquidity and the company's ability to cover short-term obligations—with data from prior years, an analyst can determine trends such as increasing efficiency, solvency, or potential financial stress. This method provides meaningful insights into a company's financial trajectory, supporting better decision-making.

Option B and C are incorrect because they either limit the analysis to a short timeframe or ignore the significance of using a stable and representative base year. Option D deviates from the principle of selecting relevant industry peers.

References:

* Volume 2, Chapter 14: Company Analysis, Trend Analysis, Canadian Securities Course.

NEW QUESTION # 145

Anwar is placing a market order to purchase 100 shares of AJL when the bid/ask is \$10.25/\$10.75. Before the trade is complete, the bid/ask moves to \$10.20/\$10.70. What is the share price that Anwar will pay on the purchase transaction?

- A. \$10.20
- **B. \$10.70**
- C. \$10.75
- D. \$10.29

Answer: B

Explanation:

A market order executes immediately at the best available ask price for a purchase transaction. In this case, the bid/ask initially was \$10.25/\$10.75. However, before execution, the ask price updated to \$10.70, meaning Anwar will pay \$10.70 per share.

- * B. \$10.75: This was the previous ask price but is no longer valid after the update.
- * C. \$10.29: This value is not relevant to the current bid/ask spread.
- * D. \$10.20: This represents the updated bid price, which applies to sell orders, not buy orders.

NEW QUESTION # 146

What is typically discussed during the establishment of the client-advisor relationship step?

- A. By what means does the client prefer to communicate with the advisor.
- **B. What services will the advisor provide.**
- C. The client's investment goals.
- D. The current financial and personal status.

Answer: B

NEW QUESTION # 147

Over the previous three calendar years, fund LMO had five drawdowns as follows:

Year 1	15% from January to March and 7.5% from September to November
Year 2	18% from February to March and 8.25% from May to June
Year 3	8.75% from June to August

What was the maximum drawdown during this time period?

- A. 22.50%
- **B. 18.00%**
- C. 52.50%
- D. 21.25%

Answer: B

NEW QUESTION # 148

A fixed-rate bond was originally priced at \$100 and paid \$5 per year in interest. Currently, the bond is trading at \$102.75. What is the impact on the current yield of coupon of the bond as a result of the change in price?

- A. The coupon is lower than 5%.
- B. The current yield is higher than 5%.
- **C. The current yield is lower than 5%**
- D. The coupon is higher than 5%.

Answer: C

Explanation:

The coupon rate of the bond remains fixed at 5%, as it is based on the bond's original par value of \$100.

The current yield, however, decreases because the bond's price has increased to \$102.75. Current yield is calculated as:

$$\text{Current Yield} = \frac{\text{Coupon Payment}}{\text{Current Price}}$$

$$\text{Current Yield} = \frac{5}{102.75}$$

Given:

* Coupon Payment= \$5

* Current Price= \$102.75

Current Yield = $\frac{5}{102.75} \approx 4.87\%$

* A. The coupon is higher than 5%: The coupon remains fixed at 5%.

* B. The current yield is higher than 5%: The current yield is lower than 5% due to the increased price.

* D. The coupon is lower than 5%: The coupon does not change with the bond's price.

NEW QUESTION # 149

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